

## TEST REPORT IEC 62040-1 Uninterruptible power systems (UPS) –

| Part 1: General and safe | ty requirements for UPS |
|--------------------------|-------------------------|
|--------------------------|-------------------------|

| Report Number: :   | 70.409.21.016.09-00   |  |  |  |
|--|---|--|--|--|
| Date of issue:   | 2022-07-25  |  |  |  |
| Total number of pages :  | 89  |  |  |  |
| Name of testing laboratory preparing the report:   |   |  |  |  |
| Applicant's name:  | Suzhou Stealth Energy Technology Co., Ltd   |  |  |  |
| Address: :   | 8th Floor,Zhenghe Building ,No.198 Jinfeng Road,Sience and Technology City,Huqiu District,Suzhou,Jiangsu Province, PEOPLE'S REPUBLIC OF CHINA |  |  |  |
| Test specification:  |   |  |  |  |
| Standard: :  | IEC 62040-1:2017, IEC 62040-1:2017/AMD1:2021,   |  |  |  |
|  | EN IEC 62040-1:2019/A11:2021  |  |  |  |
| Test procedure::   | TUV MARK  |  |  |  |
| Non-standard test method :   | N/A   |  |  |  |
| Test Report Form No:   | IEC 62040_1E  |  |  |  |
| Test Report Form(s) Originator :   | TÜV Rheinland Japan Ltd.  |  |  |  |
| Master TRF :   | Dated 2017-11-10  |  |  |  |
| Copyright © 2017 IEC System of Conformity Assessment Schemes for Electrotechnical Equipment and Components (IECEE System). All rights reserved.  |   |  |  |  |
| This publication may be reproduced in whole or in part for non-commercial purposes as long as the IECEE is acknowledged as copyright owner and source of the material. IECEE takes no responsibility for and will not assume liability for damages resulting from the reader's interpretation of the reproduced material due to its placement and context. |   |  |  |  |
| If this Test Report Form is used by no<br>CB Scheme procedure shall be remov   | on-IECEE members, the IECEE/IEC logo and the reference to the ved.  |  |  |  |
| This report is not valid as a CB Test Report unless signed by an approved CB Testing Laboratory and appended to a CB Test Certificate issued by an NCB in accordance with IECEE 02.  |   |  |  |  |
| General disclaimer:  |   |  |  |  |
| The test results presented in this report relate only to the object tested.<br>This report shall not be reproduced, except in full, without the written approval of the Issuing CB Testing<br>Laboratory. The authenticity of this Test Report and its contents can be verified by contacting the NCB,   |   |  |  |  |

 $\ddot{\text{UUV}}$  SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



| Test item description:               | Rechargeable Li-ion Battery System  |
|--------------------------------------|---|
| Trade Mark:                          | 6   |
|                                      | S T E A L T H   |
| Manufacturer:                        | Suzhou Stealth Energy Technology Co., Ltd   |
|                                      | 8th Floor,Zhenghe Building ,No.198 Jinfeng Road,Sience and<br>Technology City,Huqiu District,Suzhou,Jiangsu Province,<br>PEOPLE'S REPUBLIC OF CHINA |
| Model/Type reference:                | SP-HE10227-H, SP-HE20427-H, SP-HE30727-H, SP-HE40927-H, SP-HE51227-H  |
| Ratings:                             | SP-HE10227-H: 102.4Vd.c., 27Ah;   |
|                                      | SP-HE20427-H: 204.8Vd.c., 27Ah;   |
|                                      | SP-HE30727-H: 307.2Vd.c., 27Ah;   |
|                                      | SP-HE40927-H: 409.2Vd.c., 27Ah;   |
|                                      | SP-HE51227-H: 512Vd.c., 27Ah  |
|                                      | <u></u>   |
| Responsible Testing Laboratory (as a | applicable), testing procedure and testing location(s):   |

| Name of testing laboratory preparing the report: | TUV SUD Certification & Testing (China) Co., Ltd.<br>Guangzhou Branch   |             |
|--|---|-------------|
| Location/ address :                              | TÜV SÜD Testing Center, D1 building, No. 63 Chuangqi<br>Road, Shilou Town, Panyu District, Guangzhou 511447,<br>China |             |
| Tested by (name, function, signature) :          | Jianyong Li<br>Project handler  | Diangung li |
| Approved by (name, function, signature) :        | Shan Huang<br>Designated reviewer   |             |

http://www.tuv-sud.cn



List of Attachments (including a total number of pages in each attachment): N/A

## Summary of testing:

Full tests were conducted on representative model SP-HE51227-H of family design products. All the test results are confirmed to the requirements of the standard.

| Tests performed (name of test and test clause): |                                     |     | Testing location:   |
|---|-------------------------------------|-----|---|
| Mechanical t                                    | tests                               | Lab |   |
| 5.2.2.1   | Clearance and creepage distances    | (1) | (1) TÜV SÜD New Energy Vehicle<br>Testing (Jiangsu) Co., Ltd.                       |
| Add 4.4.3.3                                     | Non-accessibility                   | (1) |   |
| 5.2.2.2   |                                     |     | Building A, No.15 Factory, Jintong  |
| 5.2.2.3   | Ingress protection test (IP rating) | -   | International Industrial Park, No.8 Xihu<br>Road, Wujin National Hi-tech Industrial |
| 5.2.2.4.2                                       | Deflection                          | (1) | Development Zone, Changzhou,  |
| 5.2.2.4.2                                       | Steady force, 30 N & 250 N          | (1) | Jiangsu, China<br>(2) Suzhou Stealth Energy Technology                              |
| 5.2.2.4.3                                       | Impact                              | (1) | Co., Ltd  |
| 5.2.2.5   | Stability                           | (1) |   |
| Electrical tes                                  | sts                                 |     | 8th Floor,Zhenghe Building ,No.198<br>Jinfeng Road,Sience and Technology            |
| 5.2.3.2   | Impulse voltage                     | (1) | City,Huqiu District,Suzhou,Jiangsu<br>Province,PEOPLE'S REPUBLIC OF                 |
| 5.2.3.4   | a.c. or d.c. voltage                | (1) | CHINA   |
| 5.2.3.10  | Temperature test                    | (2) |   |
| 5.2.3.11  | Protective bonding                  | (2) |   |
| 4.3.102   | Transformer protection              | (2) |   |
| 5.2.3.104                                       |                                     |     |   |
| Abnormal op                                     | peration test                       |     |   |
| 5.2.4.4   | Output short circuit test           | (2) |   |
| 5.2.4.5   | Output overload test                | (2) |   |
| 5.2.4.6   | Breakdown of components             | (2) |   |
| Stored energ                                    | gy source tests                     |     |   |
| 4.102.4   | Case insulation test                | (1) |   |
| 4.102.7   | Charging voltages                   | (1) |   |
| Annex CC  |                                     |     |   |
| Environmen                                      | tal test                            |     |   |
| 5.2.6.3.1                                       | Dry test                            | (2) |   |
| 5.2.6.3.2                                       | Damp test                           | (2) |   |
| 5.2.6.4   | Vibration test (type test)          | (2) |   |
| 5.2.6.5   | Salt mist test (type test)*         | N/A |   |
| 5.2.6.6   | Dust and sand test (type test)*     | N/A |   |
| 6.1.101   | Label durability                    | (1) |   |

 $T\ddot{U}V$   $S\ddot{U}D$  Certification and Testing (China) Co., Ltd. Guangzhou Branch,  $T\ddot{U}V$   $S\ddot{U}D$  Group

 Note \*: the environmental conditions are known not to be installed in such environments

 Summary of compliance with National Differences (List of countries addressed):

 N/A

 Copy of marking plate:

 The artwork below may be only a draft. The use of certification marks on a product must be authorized by the respective NCBs that own these marks.

 Below marking plates will be pasted on the surface of the battery

 Image: Similar Courtion



| Year | Corresponding code | Month | Corresponding code | Day | Corresponding<br>code | Day | Corresponding code |
|------|--------------------|-------|--------------------|-----|-----------------------|-----|--------------------|
| 2019 | А                  | 1     | 01                 | 1   | 01                    | 17  | 17                 |
| 2020 | В                  | 2     | 02                 | 2   | 02                    | 18  | 18                 |
| 2021 | С                  | 3     | 03                 | 3   | 03                    | 19  | 19                 |
| 2022 | D                  | 4     | 04                 | 4   | 04                    | 20  | 20                 |
| 2023 | Е                  | 5     | 05                 | 5   | 05                    | 21  | 21                 |
| 2024 | F                  | 6     | 06                 | 6   | 06                    | 22  | 22                 |
| 2025 | G                  | 7     | 07                 | 7   | 07                    | 23  | 23                 |
| 2026 | Н                  | 8     | 08                 | 8   | 08                    | 24  | 24                 |
| 2027 |                    | 9     | 09                 | 9   | 09                    | 25  | 25                 |
| 2028 | J                  | 10    | 10                 | 10  | 10                    | 26  | 26                 |
| 2029 | К                  | 11    | 11                 | 11  | 11                    | 27  | 27                 |
| 2030 | L                  | 12    | 12                 | 12  | 12                    | 28  | 28                 |
| 2031 | М                  |       |                    | 13  | 13                    | 29  | 29                 |

28: date;

0001: Product Serial Number

Telephone: +86 20 38320668 Telefax: +86 20 38320478 TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn





| 2032 | Ν |  | 14 | 14 | 30 | 30 |
|------|---|--|----|----|----|----|
| 2033 | 0 |  | 15 | 15 | 31 | 31 |
| 2034 | Р |  | 16 | 16 |    |    |

2. "P+", "P-" are marked near the polarity of the battery system, "P+" positive electrode ; "P-" negative electrode.

3. "BAT+", "BAT-" are marked near the polarity of the battery module, "BAT+" positive electrode ; "BAT-" negative electrode.

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



| Test item particulars:  |   |
|---|---|
| Classification of installation and use:   | <ul> <li>□ Ordinary Person</li> <li>□ Instructed Person</li> <li>☑ Skilled Person</li> </ul>  |
| Supply Connection:  | <ul> <li>pluggable equipment  type A  type B</li> <li>permanent connection</li> <li>detachable power supply cord</li> <li>non-detachable power supply cord</li> </ul> |
| Environmental category:   | ☐ indoor ☐ unconditional ⊠ conditional ⊠ outdoor  |
| Equipment mobility:   | ☐ movable ⊠ stationary ☐ for building-in<br>☐ fixed   |
| Access location:  | <ul> <li>☑ ordinary person accessible</li> <li>☑ restricted access location</li> </ul>  |
| Over voltage category:  |   |
| Mains supply tolerance (%):   | N/A   |
| Tested for power systems  | N/A   |
| IT testing, phase-phase voltage (V)   | N/A   |
| Class of equipment  | 🛛 Class I 🗌 Class II 📄 Class III  |
| Considered current rating of protective device as part of the building installation (A)                             | N/A   |
| Pollution degree (PD)   | PD1   |
| IP protection class   | IP65  |
| Elevation during operation (m)  | <2000m  |
| Elevation of test laboratory (m)  | <2000m  |
| Mass of equipment (kg)  | See page 9  |
| Possible test case verdicts:  |   |
| - test case does not apply to the test object:  | N/A   |
| - test object does meet the requirement:  | P (Pass)  |
| - test object does not meet the requirement:  | F (Fail)  |
| Testing:  |   |
| Date of receipt of test item:   | 2021-01-28  |
| Date (s) of performance of tests:   | 2021-01-28 to 2022-07-25  |
| General remarks:  |   |
|   | nonded to the report  |
| "(See Enclosure #)" refers to additional information ap<br>"(See appended table)" refers to a table appended to the |   |

Throughout this report a  $\Box$  comma /  $\boxtimes$  point is used as the decimal separator.

Manufacturer's Declaration per sub-clause 4.2.5 of IECEE 02:

 $T\ddot{U}V$   $S\ddot{U}D$  Certification and Testing (China) Co., Ltd. Guangzhou Branch,  $T\ddot{U}V$   $S\ddot{U}D$  Group

http://www.tuv-sud.cn

| The application for obtaining a CB Test Certificate<br>includes more than one factory location and a<br>declaration from the Manufacturer stating that the<br>sample(s) submitted for evaluation is (are)<br>representative of the products from each factory has<br>been provided | ☐ Yes<br>⊠ Not applicable   |
|--|---|
| When differences exist; they shall be identified in  | the General product information section.  |
| Name and address of factory (ies)  | Suzhou Stealth Energy Technology Co., Ltd   |
|  | 8th Floor,Zhenghe Building ,No.198 Jinfeng<br>Road,Sience and Technology City,Huqiu<br>District,Suzhou,Jiangsu Province,PEOPLE'S<br>REPUBLIC OF CHINA |
| General product information and other remarks:   |   |
| The Rechargeable Li-ion Battery System, 5 models:  | SP-HE10227-H, SP-HE20427-H, SP-HE30727-H, SP-   |

The Rechargeable Li-ion Battery System, 5 models: SP-HE10227-H, SP-HE20427-H, SP-HE30727-H, SP-HE40927-H, SP-HE51227-H are used in industrial appliance. The Battery system consists of one controller box and different number of Rechargeable Li-ion Battery modules with model SP-HE10227 connected in series, 1 module for SP-HE10227-H, 2 modules for SP-HE20427-H, 3 modules for SP-HE30727-H, 4 modules for SP-HE40927-H, 5 modules for SP-HE51227-H.

The Battery module SP-HE10227 consists of 32 Rechargeable Li-ion Cell with model No. IFP20100140A-27Ah connected in series.

Additionally, details information of the battery and the built-in cell are shown in following table:

| Product name                                       | Rechargeable Li-ion<br>Battery Cell | Rechargeable Li-ion<br>Battery Module | Rechargeable Li-ion<br>Battery System   |
|--|-------------------------------------|---------------------------------------|---|
| _ /  | IFP20100140A-27Ah                   |                                       | SP-HE10227-H<br>SP-HE20427-H  |
| Type/model   |                                     | SP-HE10227                            | SP-HE30727-H<br>SP-HE40927-H<br>SP-HE51227-H  |
| Nominal<br>voltage                                 | 3.2V                                | 102.4V                                | SP-HE10227-H:102.4V<br>SP-HE20427-H:204.8V<br>SP-HE30727-H:307.2V<br>SP-HE40927-H:409.6V<br>SP-HE51227-H:512V |
| Rated capacity                                     | 27Ah                                | 27Ah                                  | 27Ah  |
| Charging<br>voltage<br>declared by<br>manufacturer | 3.65V                               | 115.2V                                | SP-HE10227-H:115.2V<br>SP-HE20427-H:230.4V<br>SP-HE30727-H:345.6V<br>SP-HE40927-H:460.8V<br>SP-HE51227-H:576V |
| Upper limit<br>charging<br>voltage                 | 3.85V                               | 123.2V                                | SP-HE10227-H:123.2V<br>SP-HE20427-H:246.4V<br>SP-HE30727-H:369.6V<br>SP-HE40927-H:492.8V<br>SP-HE51227-H:616V |
| Charging<br>current<br>declared by                 | 27A                                 | 27A                                   | 27A   |

Telephone: +86 20 38320668 Telefax: +86 20 38320478 TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| manufacturer  |  |   |  |
|---|--|---|--|
| manulacturer  |  |   |  |
|   |  |   |  |
| Maximum<br>continuous<br>charging<br>current              | 54A  | 30A   | 30A  |
| Discharging<br>current<br>declared by<br>manufacturer     | 27A  | 27A   | 27A  |
| Maximum<br>continuous<br>discharging<br>current           | 67.5A  | 30A   | 30A  |
| Discharge cut-<br>off voltage                             | 2.0V for cell  | 2.5V for cell or 80V for model  | SP-HE10227-H:80V<br>SP-HE20427-H:160V<br>SP-HE30727-H:240V<br>SP-HE40927-H:320V<br>SP-HE51227-H:400V   |
| Standard<br>temperature<br>range for<br>charging          | -10°C to 55°C  | 0~45°C  | 0°C to 45°C  |
| Standard<br>temperature<br>range for<br>discharging       | -30°C to 60°C  | 0~45°C  | 0°C to 45°C  |
| Standard<br>charging<br>method by<br>manufacturer         | Charge at constant current<br>27A until voltage reaches<br>3.65V, then charge at<br>constant voltage 3.65V till<br>current is 1.35A. | Charge at constant current<br>27A until voltage reaches<br>3.6*32*N V, then charge at<br>constant voltage 3.6*32*N<br>V till current is1.35A. | Charge at constant current<br>27A until voltage reaches<br>3.6*32*N V, then charge at<br>constant voltage 3.6*32*N<br>V till current is1.35A.<br>(N=1~5battery modules)        |
| Charging<br>method for<br>internal short-<br>circuit test | Charge at constant current<br>27A until voltage reaches<br>3.85 V, then charge at<br>constant voltage 3.85V till<br>current is 1.35A | -   | -  |
| Dimension   | Thickness:(20.5±0.1)mm<br>Height: (140.5+0-0.2))mm<br>Width: (100.0±0.1)mm   | W*D*H:<br>640±5*220±5*280±5 mm  | W*D*H<br>SP-HE10227-H:<br>640±5*220±5*380±5 mm<br>SP-HE20427-H:<br>640±5*220±5*660±5 mm<br>SP-HE30727-H:<br>640±5*220±5*940±5 mm<br>SP-HE40927-H:<br>640±5*220±5*1220±10<br>mm |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|               |        |            | SP-HE51227-H:        |
|---------------|--------|------------|----------------------|
|               |        |            | 640±5*220±5*1500±10  |
|               |        |            | mm                   |
|               |        |            |                      |
|               |        |            | SP-HE10227-H:35±1KG  |
|               |        |            | SP-HE20427-H:66±1KG  |
| Weight        | 611±5g | 30.5±0.5kg | SP-HE30727-H:96±1KG  |
|               |        |            | SP-HE40927-H:127±1KG |
|               |        |            | SP-HE51227-H:157±1KG |
|               |        | 32S        | SP-HE10227-H:        |
|               | _      |            | (1P16S)2S*1          |
|               |        |            | SP-HE20427-H:        |
|               |        |            | (1P16S)2S*2          |
| Configuration |        |            | SP-HE30727-H:        |
| <u>.</u>      |        |            | (1P16S)2S*3          |
|               |        |            | SP-HE40927-H:        |
|               |        |            | (1P16S)2S*4          |
|               |        |            | SP-HE51227-H:        |
|               |        |            | (1P16S)2S*5          |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



| 4                | Protection against hazards  |   | Р   |
|------------------|---|---|-----|
| 4.1/RD           | General   |   | Р   |
| 4.2<br>4.2/RD    | Fault and abnormal conditions   | See Table 4.2/RD to 4.3/RD,<br>Considered in the report of<br>IEC 62619 as well | Р   |
| 5.2.4.6/RD       | Breakdown of components test (type test)  |   | Р   |
| 5.2.4.6.1<br>/RD | Load conditions   |   | Р   |
| 5.2.4.6.2<br>/RD | Application of short circuit or open-circuit  |   | Ρ   |
| 5.2.4.6.3<br>/RD | Test sequence   |   | Ρ   |
| 4.3              | Short-circuit and overload protection   | See Table 4.2/RD to 4.3/RD<br>See below table                                   | Ρ   |
| 4.3.1/RD         | General   |   | Р   |
| 4.3.2/RD         | Specification of input short-circuit withstand strength and output short circuit current ability  | Output short circuit has been considered  | Ρ   |
| 4.3.2.1/RD       | General   |   | Р   |
|                  | The interrupting capability of the overcurrent protective device shall be equal or greater than the prospective short circuit current of the mains supply.  |   | Р   |
|                  | For pluggable equipment type A, either the PECS<br>shall be designed so that the building installation<br>provides short circuit backup protection, or<br>additional short circuit backup protection shall be<br>provided as part of the equipment. | Pluggable equipment type B  | N/A |
|                  | For permanently connected equipment or pluggable<br>equipment type B, it is permitted for short circuit<br>backup protection to be in the building installation.  |   | Р   |
| 4.3.2.2/RD       | Input ports short-circuit withstand strength  | See 4.3.2.4/RD<br>No input ports connected to<br>energy source, such as mains   | N/A |
|                  | For co-ordination and selection of internal or external protective devices, the PECS manufacturer shall specify:  |   | N/A |
|                  | - a maximum allowable prospective short circuit current for each input port of the PECS; and  |   |     |
|                  | -a minimum required prospective short circuit<br>current in order to ensure proper operation of the<br>protective device.   |   |     |
|                  | If external protective devices are specified or<br>provided the characteristics of those shall be<br>specified by the manufacturer.   |   | N/A |
| 4.3.2.3/RD       | Output short circuit current ability  |   | Р   |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

|            | The output short circuit current ratings apply to a.c.<br>and d.c. power output ports and to other ports for<br>which overcurrent protection is necessary.   |  | Р   |
|------------|--|--|-----|
|            | For all output ports, short circuit evaluation to determine the minimum and maximum output short circuit current shall be performed according to 5.2.4.4/RD and the output short circuit current available from the PECS shall be specified as in 5.2.4.4/RD and 6.2.  |  |     |
|            | Internal electronic output short circuit protection is<br>considered acceptable as an output short circuit<br>protection device of the PECS, when compliance is<br>shown by test in 5.2.4.4/RD.  |  |     |
| 4.3.2.4/RD | Combined input and output ports  |  | Р   |
|            | For ports which are both input and output ports the applicable requirements of both 4.3.2.1/RD and 4.3.2.3/RD apply.   | Rechargeable lithium-ion battery systems | Р   |
| 4.3.3/RD   | Short-circuit coordination (backup protection)   |  | Р   |
|            | Protective devices provided or specified shall have<br>adequate breaking capability to interrupt the<br>maximum prospective short circuit current specified<br>for the port to which they are connected.<br>If internal protection of the PECS is not rated for the<br>prospective short circuit current, the installation<br>instructions shall specify an upstream protective<br>device, rated for this prospective short circuit current<br>of that port, which shall be used to provide backup<br>protection. Analysis shall ensure the protection<br>coordination between the external and internal<br>protective device. |  | Ρ   |
|            | Compliance shall be checked by inspection and by the tests of 5.2.4.4/RD and 5.2.4.5/RD.   |  | N/A |
| 4.3.4/RD   | Protection by several devices  | No such devices                          | N/A |
|            | Where protective devices that require manual<br>replacement or resetting are used in more than one<br>pole of a supply to a given load, those devices shall<br>be located together. It is permitted to combine two or<br>more protective devices in one component.<br>Compliance shall be checked by inspection.   |  | N/A |
| 4.3.101    | AC input current   | No ports connected to AC sources         | N/A |
| 4.3.102    | Transformer protection   | No transformer used inside               | N/A |
| 4.3.103    | AC input short-circuit current   | No ports connected to AC sources         | N/A |
| 4.3.104    | Protection of the energy storage device  |  | Р   |
| 4.3.105    | Unsynchronized load transfer   | No ports connected to AC sources         | N/A |
| 4.4        | Protection against electric shock  |  | Р   |
| 4.4.1/RD   | General  |  | Р   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



| 4.4.2.2/RD<br>4.4.2.2.1<br>/RD<br>4.4.2.2.2<br>4.4.2.2.2<br>/RD<br>4.4.2.2.3<br>/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3/RD | Decisive voltage class<br>General<br>Determination of decisive voltage class<br>General<br>For protection against the ventricular fibrillation body<br>reaction, DVC can be selected from Table 2.<br>Selection tables for contact area and skin humidity<br>condition<br>Limits of the working voltage for the DVC<br>Requirements for protection against electric shock<br>Provision for basic protection | DVC C   | P<br>P<br>P<br>P<br>P<br>P |
|---|---|---|----------------------------|
| 4.4.2.2/RD<br>4.4.2.2.1<br>/RD<br>4.4.2.2.2<br>4.4.2.2.2<br>/RD<br>4.4.2.2.3<br>/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3/RD | Determination of decisive voltage class<br>General<br>For protection against the ventricular fibrillation body<br>reaction, DVC can be selected from Table 2.<br>Selection tables for contact area and skin humidity<br>condition<br>Limits of the working voltage for the DVC<br>Requirements for protection against electric shock<br>Provision for basic protection                                      | DVC C   | P<br>P<br>P<br>P           |
| 4.4.2.2.1<br>/RD<br>4.4.2.2.2<br>4.4.2.2.2<br>/RD<br>4.4.2.2.3<br>/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3/RD<br>4.4.3.1/RD | General For protection against the ventricular fibrillation body reaction, DVC can be selected from Table 2. Selection tables for contact area and skin humidity condition Limits of the working voltage for the DVC Requirements for protection against electric shock Provision for basic protection  | DVC C   | P<br>P<br>P<br>P           |
| /RD<br>4.4.2.2.2<br>4.4.2.2.2<br>/RD<br>4.4.2.3/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3/RD                                  | For protection against the ventricular fibrillation body<br>reaction, DVC can be selected from Table 2.<br>Selection tables for contact area and skin humidity<br>condition<br>Limits of the working voltage for the DVC<br>Requirements for protection against electric shock<br>Provision for basic protection  | DVC C   | P<br>P<br>P                |
| 4.4.2.2.2<br>/RD<br>4.4.2.2.3<br>/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3.1/RD  | reaction, DVC can be selected from Table 2.<br>Selection tables for contact area and skin humidity<br>condition<br>Limits of the working voltage for the DVC<br>Requirements for protection against electric shock<br>Provision for basic protection  | DVC C   | P                          |
| 4.4.2.2.2<br>/RD<br>4.4.2.2.3<br>/RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3.1/RD  | condition<br>Limits of the working voltage for the DVC<br>Requirements for protection against electric shock<br>Provision for basic protection  | DVC C   | Р                          |
| /RD<br>4.4.2.3/RD<br>4.4.3/RD<br>4.4.3.1/RD   | Requirements for protection against electric shock<br>Provision for basic protection  | DVC C   |                            |
| 4.4.3/RD<br>4.4.3.1/RD  | Provision for basic protection  |   | Р                          |
| 4.4.3.1/RD  | •   |   |                            |
|   |   |   | Р                          |
| 4432/RD   | General   | Considered  | Р                          |
| 4.4.0. <u>2</u> .110  | Protection by means of basic insulation of live parts   | The maximum operating<br>voltage of the rechargeable<br>lithium-ion battery systems is<br>512Vd.c., its decisive voltage<br>class is defined as DVC C | Ρ                          |
|   | Live parts shall be completely surrounded with<br>insulation if their working voltage is greater than<br>DVC As or if they do not have protective separation<br>from adjacent circuits of DVC C.  |   | Ρ                          |
|   | Basic insulation may be provided by solid insulation or air clearance.  |   | Р                          |
|   | The insulation shall be rated according to the impulse voltage, temporary overvoltage or working voltage (see 4.4.7.2.1/RD), whichever gives the most severe requirement. It shall not be possible to remove the insulation without the use of a tool or key.   |   | Ρ                          |
| 4.4.3.3   | Openings  |   | Р                          |
| 4.4.3.4/RD  | Protection by means of limitation of touch current and charge   | No such means used  | N/A                        |
|   | The limitation of touch current and discharge energy shall not exceed:  |   | N/A                        |
|   | - a value of 3,5 mA a.c. or 10 mA d.c. for the limitation of touch current; and   |   |                            |
|   | - a value of 50 $\mu$ C for the limitation of discharge energy.   |   |                            |
| 4.4.3.5/RD  | Protection by means of limited voltage  |   | Р                          |
|   | The voltage between simultaneously accessible parts shall not be greater than DVC As as determined in 4.4.2.2/RD.   |   | Р                          |
| 4.4.4/RD  | Provision for fault protection  |   | Р                          |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| 4.4.4.1/RD       | Concrete   |  |   |
|------------------|--|--|---|
| 4.4.4.1/KD       | General  |  | Р |
|                  | Fault protection shall be provided by one or more of the following measures:   | This equipment is a class I equipment, protective boning | Р |
|                  | • Protective equipotential bonding in 4.4.4.2/RD in combinations with the PE conductor in 4.4.4.3/RD;  | and PE conductor are<br>provided                         |   |
|                  | • Automatic disconnection of supply in 4.4.4.4/RD;   |  |   |
|                  | <ul> <li>Supplementary insulation in 4.4.4.5/RD;</li> </ul>  |  |   |
|                  | • Simple separation between circuits in 4.4.4.6/RD;  |  |   |
|                  | • Electrically protective screening in 4.4.4.7/RD.   |  |   |
|                  | Fault protection shall be independent and additional to those for basic protection.  |  |   |
| 4.4.4.2/RD       | Protective equipotential bonding   |  | Р |
| 4.4.4.2.1<br>/RD | General  |  | Ρ |
|                  | Protective equipotential bonding shall be provided<br>between accessible conductive parts of the<br>equipment and the means of connection for the PE<br>conductor, except: |  | Ρ |
|                  | a) accessible conductive parts that are protected by one of the measures in 4.4.6.4/RD; or   |  |   |
|                  | <ul> <li>b) when accessible conductive parts are<br/>separated from live parts using double or<br/>reinforced insulation.</li> </ul>                                       |  |   |
|                  | Electrical contact to the means of connection of the PE conductor shall be achieved by one or more of the following means:   | Through direct metallic contact                          | Ρ |
|                  | through direct metallic contact;   |  |   |
|                  | • through other accessible conductive parts or other metallic components which are not removed when the PECS is used as intended;  |  |   |
|                  | <ul> <li>through a dedicated protective equipotential<br/>bonding conductor.</li> </ul>  |  |   |
| 4.4.4.2.2<br>/RD | Rating of protective equipotential bonding   |  | Ρ |

http://www.tuv-sud.cn

|                  | Protective equipotential bonding shall either be:   | b)-3 apply                         | Р   |
|------------------|---|------------------------------------|-----|
|                  | a) sized in accordance with the requirements for the PE conductor in 4.4.4.3/RD and the means of connection for the PE conductor in 4.4.4.3.2/RD to ensure no voltage drop exceeding the values from 4.4.2.2.3/RD during a fault; or  |                                    |     |
|                  | b) sized  |                                    |     |
|                  | • to withstand the highest stresses that can occur to<br>the PECS item(s) concerned when they are<br>subjected to a fault connecting to accessible<br>conductive parts; and   |                                    |     |
|                  | • to remain effective for as long as a fault to the accessible conductive parts persists or until an upstream protective device removes power from the part; and  |                                    |     |
|                  | • to ensure no voltage drop exceeding the values<br>from 4.4.2.2.3/RD during normal operation and<br>during a fault.  |                                    |     |
|                  | Compliance shall be checked with the type tests in 5.2.3.11/RD  |                                    |     |
| 4.4.4.3/RD       | PE conductor  |                                    | Р   |
| 4.4.4.3.1<br>/RD | General   |                                    | Р   |
|                  | A PE conductor shall be connected at all times when<br>power is supplied to the PECS, unless the PECS<br>complies with the requirements of protective class II<br>(see 4.4.6.3/RD) or protective class III. Unless local<br>wiring regulations state otherwise, the PE conductor<br>cross-sectional area shall be determined from Table<br>7 or by calculation according to 543.1 of IEC 60364-<br>5-54:2011. |                                    | Ρ   |
|                  | If the PE conductor is routed through a plug and<br>socket, or similar means of disconnection, it shall<br>not be possible to disconnect it unless power is<br>simultaneously removed from the part to be<br>protected.   | PE conductor separated provided    | N/A |
|                  | The cross-sectional area of every PE conductor that does not form part of the supply cable or cable enclosure shall, in any case, be not less than:   |                                    | Ρ   |
|                  | • 2,5 mm <sup>2</sup> if mechanical protection is provided; or  |                                    |     |
|                  | • 4 mm <sup>2</sup> if mechanical protection is not provided.   |                                    |     |
|                  | Provisions within cord-connected equipment shall be<br>made so that the PE conductor in the cord shall, in<br>the case of failure of the strain-relief mechanism, be<br>the last conductor to be interrupted.   | PE conductor separated<br>provided | N/A |
|                  | For special system topologies, the PECS designer shall verify the PE conductor cross-section required.  |                                    |     |
| 4.4.4.3.2<br>/RD | Means of connection for the PE conductor  |                                    | Р   |

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUC

|                  | PECS shall have a means of connection for the PE<br>conductor, located near the terminals for the<br>respective live conductors. The means of connection<br>shall be corrosion-resistant and shall be suitable for<br>the connection of conductors according to Table 7<br>and of cables in accordance with the wiring rules<br>applicable at the installation. The means of<br>connection for the PE conductor shall not be used<br>as a part of the mechanical assembly of the<br>equipment or for other connections. Connection and<br>bonding points shall be designed so that their<br>current-carrying capacity is not impaired by<br>mechanical, chemical, or electrochemical influences.<br>Where enclosures and/or conductors of aluminium<br>or aluminium alloys are used, particular attention<br>should be given to the problems of electrolytic<br>corrosion.<br>Compliance shall be checked by inspection. |         | Ρ   |
|------------------|--|---------|-----|
| 4.4.4.3.3<br>/RD | Touch current in case of failure of PE conductor   |         | Р   |
|                  | <ul> <li>For all other PECS, one or more of the following measures shall be applied, unless the touch current can be shown to be less than the limits specified in 4.4.3.4:</li> <li>a) Use of a fixed connection and <ul> <li>a cross-section of the PE conductor of at least 10 mm<sup>2</sup> Cu or 16 mm<sup>2</sup> Al; or</li> <li>automatic disconnection of the supply in case of discontinuity of the PE conductor; or</li> <li>provision of an additional terminal for a second PE conductor of the same cross-sectional area as the original PE conductor;</li> </ul> </li> <li>or</li> <li>b) Use of a pluggable type B connection with a minimum PE conductor power cable. Adequate strain relief shall be provided.</li> </ul>   | b) used | Ρ   |
|                  | Compliance is checked by inspection and by test of 5.2.3.7/RD.   |         | Р   |
| 4.4.4.4/RD       | Automatic disconnection of supply  |         | N/A |
|                  | <ul> <li>For automatic disconnection of supply:</li> <li>a protective equipotential bonding system shall be provided; and</li> <li>a protective device operated by the fault current shall disconnect one or more of the line conductors supplying the equipment, system or installation, in case of a failure of basic insulation.</li> <li>The protective device shall interrupt the fault current within a time as specified in Figure 1, Figure 2 or Figure 3 in 4.4.2.2.3/RD.</li> </ul>  |         | N/A |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



|  | [   |   |
|--|---|---|
| Supplementary insulation   |   | Р   |
| Simple separation between circuits   |   | Р   |
| If any component is connected between the<br>separated circuits, that component shall withstand<br>the electric stresses specified for the insulation<br>which it bridges.   |   | Ρ   |
| a circuit connected between a circuit and<br>a circuit connected to earth, its impedance shall limit<br>the current flow through the component to the<br>steady-state touch current values indicated in<br>4.4.3.4/RD.   |   |   |
| Electrically protection  | No protective screening<br>interposed   | N/A   |
| Electrically protective screening interposed between<br>hazardous live parts of a PECS, shall consist of a<br>conductive screen connected to the protective<br>equipotential bonding of the PECS whereby the<br>screen is separated from live parts by at least simple<br>separation.                  |   | N/A   |
| PECS and that interconnection shall comply with the requirements of 4.4.4.2/RD.  |   |   |
| Enhanced protection  |   | Р   |
| General  |   | Р   |
| <ul> <li>Enhanced protection shall provide both basic and fault protection and can be achieved by means of:</li> <li>Reinforced insulation in 4.4.5.2/RD;</li> <li>Protective separation between circuits in 4.4.5.3/RD;</li> </ul>  |   | Ρ   |
| • Protection by means of in 4.4.5.4/RD.  |   |   |
| Reinforced insulation  |   | Р   |
| Reinforced insulation shall be so designed as to be<br>able to withstand electric, thermal, mechanical and<br>environmental stresses with the same reliability of<br>protection as provided by double insulation.<br>(basic insulation and supplementary insulation, see<br>4.4.3.2/RD and 4.4.4.5/RD) |   | Ρ   |
| Protective separation between circuits   |   | Р   |
| Protective separation between a circuit and other circuits shall be achieved by one of the following means:  |   | Р   |
| <ul> <li>double insulation (basic insulation and supplementary insulation in 4.4.3.2/RD and 4.4.4.5/RD);</li> <li>reinforced insulation in 4.4.5.2/RD;</li> </ul>  |   |   |
| rountorcod unculation in 4.4.5.2/DD.   |   |   |
| <ul> <li>electrically protective screening in 4.4.4.7/RD;</li> </ul>   |   |   |
|  | Simple separation between circuits<br>If any component is connected between the<br>separated circuits, that component shall withstand<br>the electric stresses specified for the insulation<br>which it bridges.<br>If any component is connected between a circuit and<br>a circuit connected to earth, its impedance shall limit<br>the current flow through the component to the<br>steady-state touch current values indicated in<br>4.4.3.4/RD.<br>Electrically protective screening interposed between<br>hazardous live parts of a PECS, shall consist of a<br>conductive screen connected to the protective<br>equipotential bonding of the PECS whereby the<br>screen is separated from live parts by at least simple<br>separation.<br>The protective screen and the connection to the<br>protective equipotential bonding system of the<br>PECS and that interconnection shall comply with the<br>requirements of 4.4.4.2/RD.<br>Enhanced protection<br>General<br>Enhanced protection shall provide both basic and<br>fault protection and can be achieved by means of:<br>• Reinforced insulation in 4.4.5.2/RD;<br>• Protective separation between circuits in<br>4.4.5.3/RD;<br>• Protection by means of in 4.4.5.4/RD.<br>Reinforced insulation shall be so designed as to be<br>able to withstand electric, thermal, mechanical and<br>environmental stresses with the same reliability of<br>protective separation between circuits<br>Protective separation between a circuit and other<br>circuits shall be achieved by one of the following<br>means:<br>• double insulation (basic insulation and<br>supplementary insulation in 4.4.3.2/RD and | Simple separation between circuits         If any component is connected between the separated circuits, that component shall withstand the electric stresses specified for the insulation which it bridges.         If any component is connected between a circuit and a circuit connected to earth, its impedance shall limit the current flow through the component to the steady-state touch current values indicated in 4.4.3.4/RD.         Electrically protection       No protective screening interposed between hazardous live parts of a PECS, shall consist of a conductive screen connected to the protective equipotential bonding of the PECS whereby the screen is separated from live parts by at least simple separation.         The protective screen and the connection to the protective equipotential bonding system of the PECS and that interconnection shall comply with the requirements of 4.4.4.2/RD.         Enhanced protection       General         Enhanced protection shall provide both basic and fault protection and can be achieved by means of:       • Reinforced insulation in 4.4.5.2/RD;         • Protective separation between circuits in 4.4.5.3/RD;       • Protective separation between circuits in 4.4.5.3/RD;         • Protection spall be so designed as to be able to withstand electric, thermal, mechanical and environmental stresses with the same reliability of protective separation between circuits         Protective separation between circuits       Protective separation between circuits         Protective separation between circuits       Protective separation shall be so designed as to be able to withstand electric, thermal, mechanical and environmental stresses with the same r |

http://www.tuv-sud.cn



|            | If conductors of the separated circuit are contained<br>together with conductors of other circuits in a multi-<br>conductor cable or in another grouping of<br>conductors, they shall be insulated, individually or<br>collectively, for the highest voltage present, so that<br>double insulation is achieved.<br>If any component is connected between the<br>separated circuits, that component shall comply with<br>the requirements for protective impedance devices |                    | Ρ   |
|------------|---|--------------------|-----|
|            | (see 4.4.5.4/RD)  |                    |     |
| 4.4.5.4/RD | Protection by means of protective impedance   | No such means used | N/A |
|            | Protective impedance shall be arranged so that<br>under both normal and single fault conditions the<br>current and discharge energy available shall be<br>limited according to 4.4.3.4/RD.  |                    | N/A |
|            | The protective impedances shall be designed and tested to withstand the impulse voltages and temporary overvoltages for the circuits to which they are connected. See 5.2.3.2/RD and 5.2.3.4/RD for tests.  |                    | N/A |
|            | Compliance with the requirement for the limitation of touch current is checked by test of 5.2.3.6/RD.   |                    | N/A |
|            | Compliance with the requirement for the discharge<br>energy shall be checked by performing calculations<br>and/or measurements to determine the voltage and<br>capacitance.<br>NOTE A protective impedance designed according<br>to this subclause is not considered to be a galvanic<br>connection.  |                    | N/A |
| 4.4.6/RD   | Protective measures   |                    | Р   |
| 4.4.6.1/RD | General   |                    | Р   |
| 4.4.6.2/RD | Protective measures for protective class I equipment  |                    | Р   |
|            | Protective class I equipment shall meet the requirements for:<br>• basic protection in 4.4.3/RD; and<br>• fault protection in 4.4.4.2/RD and 4.4.4.3/RD with  |                    | Р   |
|            | respect to equipotential bonding and PE conductor.  |                    |     |
| 4.4.6.3/RD | Protective measures for protective class II equipment   | Class I equipment  | N/A |

http://www.tuv-sud.cn

|                  |  |                   | SUD |
|------------------|--|-------------------|-----|
|                  | Protective class II equipment shall meet the<br>requirements for enhanced protection according to<br>4.4.5/RD and the enclosure shall meet the<br>requirement for basic protection in 4.4.3/RD with<br>respect to accessibility to hazardous live parts.<br>Protective class II equipment shall not have means<br>of connection for the PE conductor. This does not<br>apply if a PE conductor is passed through the<br>equipment to equipment series-connected beyond<br>it.<br>In the latter case the PE conductor and its means<br>for connection shall be separated from:<br>• accessible surface of the equipment; and<br>• circuits which employ protective separation |                   | N/A |
|                  | with at least simple separation according to the requirement in 4.4.4.6/RD.<br>The simple separation shall be designed according to the rated voltage of the series-connected equipment.   |                   |     |
|                  | Equipment of protective class II may have provision<br>for the connection of an earthing conductor for<br>functional reasons or for the damping of<br>overvoltages. In this case, the functional earthing<br>conductor shall be separated from:<br>• accessible surface of the equipment; and<br>• circuits which employ protective separation<br>according to 4.4.5.3/RD<br>with at least protective separation according to the<br>requirement in 4.4.5.3/RD.  |                   |     |
|                  | Equipment of protective class II shall be marked according to 6.3.7.3.3/RD.<br>Compliance is checked by inspection.  |                   |     |
| 4.4.6.4/RD       | Protective measures for protective class III<br>equipment or circuits  | Class I equipment | N/A |
| 4.4.6.4.1<br>/RD | General  |                   | N/A |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

TÜV

|                  | Protective measures shall be achieved by protective separation by one of the following means:   |  | N/A |
|------------------|---|--|-----|
|                  | • basic insulation and supplementary insulation (double insulation) according to 4.4.3.2/RD and 4.4.4.5/RD;                           |  |     |
|                  | • reinforced insulation according to 4.4.5.2/RD;  |  |     |
|                  | <ul> <li>electrically protective screening and simple<br/>separation according to 4.4.4.7/RD; or</li> </ul>                           |  |     |
|                  | <ul> <li>a combination of these provisions;</li> </ul>  |  |     |
|                  | used in combination with one of the following means:  |  |     |
|                  | <ul> <li>protective impedance according to 4.4.5.4/RD<br/>comprising limitation of discharge energy and of<br/>current; or</li> </ul> |  |     |
|                  | <ul> <li>limitation of voltage according to 4.4.3.5/RD.</li> </ul>  |  |     |
|                  | The protective separation shall be fully and effectively maintained under all conditions of intended use of the PECS.                 |  | N/A |
| 4.4.6.4.2<br>/RD | Connection to PELV and SELV circuits  | Connection of an external<br>PELV or SELV circuit with a<br>higher voltage than DVC As is<br>not permitted | N/A |

|                               |   | SUD |
|-------------------------------|---|-----|
|                               | If a port is intended for connection of an external PELV or SELV circuit with a higher voltage than DVC As:   | N/A |
|                               | <ul> <li>measures to limit the voltage to that of DVC As<br/>shall be taken (see Annex A); or</li> </ul>  |     |
|                               | <ul> <li>basic protection shall be provided.</li> </ul>   |     |
|                               | For connectors containing pins with very small<br>contact area (< 1 mm <sup>2</sup> ), the next higher voltage level<br>for DVC As, of Table 5, is permitted. Example: if<br>DVC A1 is DVC As, then DVC A2 is permitted at<br>pins of signal connectors.                    |     |
|                               | The connection of external PELV or SELV circuits to<br>an internal circuit is permitted with the following<br>consideration:  |     |
|                               | • without measures: only if the DVC of the PELV and SELV voltage are lower than or equal to the DVC selected from Table 5 for the internal circuit under consideration; and   |     |
|                               | • with measures: if the DVC of the PELV and SELV voltage are higher than the DVC selected from Table 5 for the internal circuit under consideration.  |     |
|                               | The possibility of an addition of the voltages of the circuits under consideration to a higher level under fault conditions shall be considered.  |     |
|                               | For marking, see 6.3.7.1/RD.  |     |
|                               | Consideration needs to be given to factors such as<br>whether the circuits involved are earthed or not,<br>what the voltages involved are, whether or not direct<br>contact with live parts is possible, single faults in<br>either equipment or the interconnections, etc. |     |
| 4.4.7/RD                      | Insulation  | Р   |
| 4.4.7.1/RD                    | General   | Р   |
| 4.4.7.1.1<br>4.4.7.1.1<br>/RD | Influencing factors   | Р   |
|                               | This subclause gives minimum requirements for insulation, based on the principles of IEC 60664.   | Р   |
|                               | Insulation shall be selected after consideration of the following influences:   | Р   |
|                               | - pollution degree;   |     |
|                               | <ul> <li>overvoltage category;</li> </ul>   |     |
|                               | - supply system earthing;   |     |
|                               | <ul> <li>impulse withstand voltage, temporary<br/>overvoltage and working voltage;</li> </ul>   |     |
|                               | - location of insulation;   |     |
|                               | - type of insulation.   |     |
|                               | Verification of insulation shall be made according<br>to 5.2.2.1/RD, 5.2.3.2/RD, 5.2.3.4/RD and<br>5.2.3.5/RD.<br>The working voltage can also be measured in<br>accordance with Annex A.   | Ρ   |

http://www.tuv-sud.cn



| 4.4.7.1.2<br>4.4.7.1.2<br>/RD | Pollution degree   |                           | Р |
|-------------------------------|--|---------------------------|---|
|                               | Insulation, especially when provided by clearances<br>and creepage distances, is affected by pollution<br>which occurs during the expected lifetime of the<br>PECS. The micro-environmental conditions for<br>insulation shall be applied according to Table 8.  | PD 2 inside, PD 3 outside | Ρ |
|                               | The pollution degree shall be determined according<br>to the environmental condition for which the product<br>is specified. See Table 18 for selection of pollution<br>degree according to environmental classification of<br>the installation.  |                           | Р |
|                               | The insulation may be determined according to<br>pollution degree 2 if one of the following applies:<br>a) instructions are provided with the PECS indicating<br>that it shall be installed in a pollution degree 2<br>environment; or<br>b) the specific installation application of the PECS is<br>known to be a pollution degree 2 environment; or<br>c) the PECS enclosure or coatings applied within the<br>PECS according to 4.4.7.8.4.2/RD or 4.4.7.8.6/RD<br>provide adequate protection against what is | c) applies                | Ρ |
|                               | expected in pollution degree 3 and 4 (conductive pollution and condensation).  |                           |   |
|                               | The PECS manufacturer shall state in the documentation the pollution degree for which the PECS has been designed.  |                           | Р |
|                               | If operation in a pollution degree 4 environment is required, protection against conductive pollution shall be provided by means of a suitable enclosure.  |                           | Ρ |
|                               | Unless otherwise specified by the UPS<br>manufacturer, the UPS shall be suitable for<br>installation in environments in which the pollution<br>degree is 2 (PD2), see IEC 62477-1: 2012, Table 8.  |                           | Ρ |
| 4.4.7.1.3<br>4.4.7.1.3<br>/RD | Overvoltage category (OVC)   |                           | Ρ |

http://www.tuv-sud.cn

|                  | <ul><li>Four categories are considered.</li><li>Equipment of overvoltage category IV (OVC IV) is for use at the origin of the installation.</li></ul>  | Overvoltage category II is considered.                | Р   |
|------------------|--|---|-----|
|                  | • Equipment of overvoltage category III (OVC III) is<br>equipment in fixed installations and for cases where<br>the reliability and the availability of the equipment<br>are subject to special requirements.  |   |     |
|                  | • Equipment of overvoltage category II (OVC II) is<br>energy-consuming equipment to be supplied from<br>the fixed installation.  |   |     |
|                  | • Equipment of overvoltage category I (OVC I) is equipment for connection to circuits in which measures are taken to limit transient overvoltages to an appropriately low level.   |   |     |
|                  | The measures for reduction of the impulse voltage<br>shall ensure that the temporary overvoltages that<br>could occur are sufficiently limited so that their peak<br>value does not exceed the relevant rated impulse<br>voltage of Table 9 and shall meet the requirement of<br>4.4.7.2.2/RD, 4.4.7.2.3/RD and 4.4.7.3/RD as<br>applicable.   |   | Ρ   |
|                  | As a minimum, the UPS shall be suitable for<br>installation in environments presenting overvoltage<br>categories listed in Table 102.  | Maximum output current:<br>30A, OVC II is applicable. | Р   |
|                  | For UPS units designed to be part of a parallel configuration, the current to be considered in Table 102 is that provided by the parallel configuration.   |   |     |
|                  | If measures are provided to reduce impulses of<br>overvoltage category III to values of category II, or<br>values of category II to values of category I,<br>appropriate insulation may be designed to the<br>reduced values, provided that following a single<br>failure, e.g. of the reduction measure, at least the<br>basic insulation requirements for the original<br>overvoltage category shall be fulfilled. |   | P   |
| 4.4.7.1.4<br>/RD | Supply system earthing   | Not connected to AC supply system directly            | N/A |

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD

|                               | The following three basic types of system earthing are described in IEC 60364-1.  |  | N/A |
|-------------------------------|---|--|-----|
|                               | • TN system: has one point directly earthed, the accessible conductive parts of the installation being connected to that point by protective conductors. Three types of TN system, TN-C, TN-S and TN-C-S, are defined according to the arrangement of the neutral and protective conductors.  |  |     |
|                               | • TT system: has one point directly earthed, the accessible conductive parts of the installation being connected to earth electrodes electrically independent of the earth electrodes of the power system.  |  |     |
|                               | • IT system: has all live parts isolated from earth or<br>one point connected to earth through an impedance,<br>the accessible conductive parts of the installation<br>being earthed independently or collectively to the<br>system earthing.   |  |     |
| 4.4.7.1.5<br>/RD              | Determination of impulse withstand voltage and temporary overvoltage  |  | Р   |
|                               | <ul> <li>Table 9 uses the system voltage (see 4.4.7.1.6/RD) and overvoltage category of the circuit under consideration to determine the impulse withstand voltage. The system voltage is also used to determine the temporary overvoltage.</li> <li>A PECS having more than one input or output shall be evaluated according to the input or output which gives the most severe requirements.</li> </ul> |  | Ρ   |
| 4.4.7.1.6<br>/RD              | Determination of the system voltage   |  | Р   |
| 4.4.7.1.6.1<br>/RD            | For mains supply  | Not connected to AC supply system directly   | N/A |
| 4.4.7.1.6.2<br>/RD            | For non-mains supply  |  | Р   |
|                               | For PECS supplied by non-mains a.c. or d.c., the system voltage is the r.m.s. value of the supply voltage between phases.   |  | Р   |
| 4.4.7.1.7<br>4.4.7.1.7<br>/RD | Components bridging insulation  | Opto-couplers and isolated<br>power supply etc are<br>considered as components<br>bridging insulations | Р   |
|                               | Components bridging insulation shall comply with<br>the requirements of the level of insulation (e.g.<br>basic, reinforced, double) they are bridging.  |  | Р   |
|                               | A capacitor connected between two line conductors<br>in a primary circuit, or between one line conductor<br>and the neutral conductor or between the primary<br>circuit and protective earth shall comply with one of<br>the subclasses of IEC 60384-14 or with the<br>requirement of 4.4.7.1.7 of IEC 62477-1: 2012 and<br>shall be used in accordance with its rating for<br>voltage and current        |  | P   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West, Guangzhou, 510656, P.R.China

SUD



|                               | For equipment to be connected to IT power<br>distribution systems components connected<br>between line and earth shall be rated for the line-to-<br>line voltage. However, capacitors rated for the<br>applicable line-to-neutral voltage are permitted in<br>such applications if they comply with subclass Y1,<br>Y2 or Y4 of IEC 60384-14   |  | P   |
|-------------------------------|--|--|-----|
| 4.4.7.2/RD                    | Insulation to the surroundings   |  | Р   |
| 4.4.7.2.1<br>/RD              | General  |  | Р   |
| 4.4.7.2.2<br>4.4.7.2.2<br>/RD | Circuits connected to mains supply   | Not connected to AC supply system directly | N/A |
|                               | Insulation between the surroundings and circuits<br>which are connected directly to the mains supply<br>shall be designed according to the impulse<br>withstand voltage, temporary overvoltage, or<br>working voltage, whichever gives the most severe<br>requirement.   |  | N/A |
| 4.4.7.2.3<br>/RD              | Circuits connected to non-mains supply   |  | Р   |
|                               | <ul> <li>Insulation between the surroundings and circuits supplied from a non-mains supply shall be designed according to:</li> <li>the impulse withstand voltage determined from Table 9 using the system voltage;</li> <li>the working voltage;</li> <li>the temporary overvoltage if known to exist due to the nature of the supply;</li> <li>whichever gives the more severe requirement.</li> </ul> |  | Ρ   |
|                               | <ul> <li>Temporary overvoltage on a non-mains supply shall be determined as follows:</li> <li>Without detailed knowledge of the temporary overvoltage, it shall be according to Table 9.</li> <li>If the temporary overvoltage is known this value shall be used.</li> </ul>   |  | P   |
|                               | By the determination of temporary overvoltages on<br>non-mains supply, following situations should be<br>considered:<br>• loss of the neutral in a non-mains low-voltage<br>system;<br>• accidental earthing of a non-mains low voltage IT<br>system; and<br>• short circuit in the non-mains low voltage<br>installation.   |  | P   |
| 4.4.7.2.4<br>/RD              | Insulation between circuits  |  | Р   |

http://www.tuv-sud.cn

|                  | Insulation between two circuits shall be designed according to the circuit having the more severe requirement.   |                      | Р |
|------------------|--|----------------------|---|
|                  | For the design of simple and protective separation<br>between circuits the insulation shall be designed<br>according to:   |                      |   |
|                  | • the circuit having the more severe requirement; or   |                      |   |
|                  | <ul> <li>the working voltage between the circuits;</li> </ul>  |                      |   |
|                  | whichever gives the most severe requirement.   |                      |   |
| 4.4.7.3/RD       | Functional insulation  |                      | Р |
|                  | If the failure of functional insulation does not<br>produce a hazard (electrical, thermal, fire), no<br>specific requirements apply for the dimensioning of<br>functional insulation. In other cases the following<br>requirements apply.  |                      | Ρ |
|                  | Testing is not required, except where the circuit analysis required by 4.2/RD shows that failure of the insulation could result in a hazard.   |                      |   |
|                  | For parts or circuits that are significantly affected by<br>external transients, functional insulation shall be<br>designed according to the impulse withstand voltage<br>of overvoltage category II, except that overvoltage<br>category III shall be used when the PECS is<br>connected at the origin of the installation. |                      |   |
|                  | Where measures are provided that reduce transient<br>overvoltages within the circuit from category III to<br>values of category II, or values of category II to<br>values of category I, functional insulation may be<br>designed for the reduced values.  |                      |   |
|                  | Where the circuit characteristics can be shown by testing (see 5.2.3.2/RD) to reduce impulse voltages, functional insulation may be designed for the highest impulse voltage occurring in the circuit during the tests.  |                      |   |
|                  | For parts or circuits that are not significantly affected<br>by external transients, functional insulation shall be<br>designed according to the working voltage across<br>the insulation.   |                      |   |
| 4.4.7.4/RD       | Clearance distance   |                      | Р |
| 4.4.7.4.1<br>/RD | Determination  | See Table 4.4.7.4/RD | Ρ |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD

|                  | Clearances for functional, basic and supplementary<br>insulation shall be dimensioned according to Table<br>10 (see Annex D for examples of the evaluation of<br>clearance distances). Interpolation is permitted,<br>when clearance is determined from temporary<br>overvoltage or working voltage.<br>Clearances for reinforced insulation shall be<br>dimensioned to withstand an impulse voltage one<br>step higher than the impulse withstand voltage, or<br>1,6 times the peak temporary overvoltage or peak<br>working voltage, required for basic insulation.<br>Clearance distances for use in altitudes between<br>2 000 m and 20 000 m shall be calculated using a<br>correction factor according to Table A.2 of IEC<br>60664-1:2007, which is reproduced as Table E.1.<br>A correction factor selected from Table F.2 is also<br>used for determination of clearance distances for<br>approximately homogenous fields when frequencies<br>are greater than 30 kHz, as given in Annex F. |  | Ρ |
|------------------|--|--|---|
|                  | Compliance shall be checked by visual inspection<br>(see 5.2.2.1/RD) or by performing the impulse<br>voltage test of 5.2.3.2/RD and the a.c. or d.c.<br>voltage test of 5.2.3.4/RD.  |  | Р |
| 4.4.7.4.2<br>/RD | Electric field homogeneity   |  | Р |
|                  | The dimensions in Table 10 correspond to the requirements of an inhomogeneous electric field distribution across the clearance, which are the conditions normally experienced in practice. If a homogeneous electric field distribution is known to exist, the clearance distance for basic or supplementary insulation may be reduced to not less than that required by Table F.2 (Case B) of IEC 60664-1:2007. In this case, however, the impulse voltage test of 5.2.3.2/RD shall be performed across the considered clearance. If the withstand against steady state voltages, recurring peak or temporary overvoltages according to Table 10 is decisive for the dimensioning of clearance and if these clearances are smaller than the values of Table 10 then an a.c. or d.c. voltage test according to 5.2.3.4/RD is required. Clearance distances for reinforced insulation shall not be reduced for homogeneous fields.  | Inhomogeneous electric field<br>considered | Ρ |
| 4.4.7.4.3<br>/RD | Clearance to conductive enclosure  |  | Р |
|                  | The clearance between any non-insulated live part<br>and the walls of a metal enclosure shall be in<br>accordance with 4.4.7.4.1/RD during and following<br>the deflection tests of 5.2.2.4.2/RD.  |  | Р |
|                  | Compliance is checked by inspection and by test of 5.2.2.4.2/RD.   |  | Р |

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



|                       |  |  | -   |
|-----------------------|--|--|-----|
|                       | If the design clearance distance is at least 12,7 mm<br>and the clearance distance required by 4.4.7.4.1/RD<br>does not exceed 8 mm, the deflection tests may be<br>omitted.   | deflection tests used  | N/A |
| 4.4.7.5/RD            | Creepage distances   |  | Р   |
| 4.4.7.5.1<br>/RD      | Insulating material groups   |  | Р   |
|                       | Creepage distance requirements for PWBs exposed<br>to pollution degree 3 environmental conditions shall<br>be determined based on Table 11 pollution degree 3<br>under "Other insulators".   |  | Р   |
|                       | For inorganic insulating materials, for example glass<br>or ceramic, which do not track, the creepage<br>distance may equal the associated clearance<br>distance, as determined from Table 10.   | No inorganic insulating materials used                                 | N/A |
| 4.4.7.5.2<br>/RD      | Determination  | See Table 4.4.7.5/RD   | Р   |
|                       | Creepage distances for functional, basic and<br>supplementary insulation shall be dimensioned<br>according to Table 11. Interpolation is permitted.<br>Creepage distances for reinforced insulation shall be<br>twice the distances required for basic insulation.                             |  | Р   |
|                       | When the creepage distance requirement<br>determined from Table 11 is less than the clearance<br>distance required by 4.4.7.4.1/RD or the clearance<br>distance determined by impulse testing (see<br>5.2.3.2/RD), then the creepage distance shall be<br>increased to the clearance distance. |  | P   |
|                       | Compliance of creepage distances shall be checked<br>by measurement or inspection (see 5.2.2.1/RD) (see<br>Annex D for examples of the evaluation of creepage<br>distances).   |  | Р   |
| 4.4.7.6/RD            | Coating  | No coating used for reducing<br>in creepage and clearance<br>distances | N/A |
|                       | A coating may be used to provide insulation, to<br>protect a surface against pollution, and to allow a<br>reduction in creepage and clearance distances (see<br>4.4.7.8.4.2/RD and 4.4.7.8.6/RD)   |  | N/A |
| 4.4.7.7<br>4.4.7.7/RD | PWB spacings for functional insulation   |  | Р   |
|                       | Spacings for functional insulation shall comply with the requirement of 4.4.7.4/RD and 4.4.7.5/RD.   |  | Р   |

http://www.tuv-sud.cn

|                  |   |                                     | SUD |
|------------------|---|-------------------------------------|-----|
|                  | Decreased spacing for components mounted on<br>PWB or decreased spacing on PWB are permitted<br>when all the following are satisfied:   |                                     | Р   |
|                  | <ul> <li>the PWB has flammability rating of V-0 (see IEC 60695-11-10);</li> <li>the PWB base material has a minimum CTI of 100;</li> <li>the equipment complies with the PWB short circuit test (see 5.2.4.7/RD).</li> </ul>  |                                     |     |
|                  | Decreased spacings for components assembled on PWB are permitted when used in:  |                                     |     |
|                  | <ul> <li>pollution degree 1 or 2 environment; and</li> <li>not more than overvoltage category I.</li> </ul>   |                                     |     |
|                  | In this case the manufacture specification may be used.   |                                     |     |
|                  | Compliance is checked by inspection and by test of 5.2.4.7/RD if applicable.  |                                     |     |
| 4.4.7.8/RD       | Solid insulation  |                                     | Р   |
| 4.4.7.8.1<br>/RD | General   |                                     | Р   |
|                  | Materials selected for solid insulation shall be able to<br>withstand the stresses occurring. These include<br>mechanical, electrical, thermal, climatic and<br>chemical stresses which are to be expected in<br>normal use. Insulation materials shall also be<br>resistant to ageing during the expected lifetime of<br>the PECS. |                                     | Ρ   |
|                  | Tests shall be performed on components and sub-<br>assemblies using solid insulation, in order to ensure<br>that the insulation performance has not been<br>compromised by the design or manufacturing<br>process.  |                                     |     |
| 4.4.7.8.2<br>/RD | Material requirements   |                                     | Р   |
|                  | The insulating material shall have a CTI of 100 or greater.   |                                     | Р   |
|                  | The insulating material shall be suitable for the maximum temperature it attains as determined by the temperature rise test of 5.2.3.10/RD.<br>Consideration shall be given as to whether or not the insulating material additionally provides mechanical strength and whether or not the part can be subject to impact during use. |                                     |     |
|                  | The insulating material in contact with live parts higher than DVC As shall comply with:  | The material data meet requirements | Р   |
|                  | • the glow-wire test described in 5.2.5.3/RD at a test temperature of 850 °C; or  |                                     |     |
|                  | • the glow-wire test described in 5.2.5.3/RD, at a lower test temperature, but not less than 550 °C, depending on the classification of the use of the PECS, according to Table A.1 of IEC 60695-2-11:2011; or  |                                     |     |
|                  | • the alternative hot wire ignition test of 5.2.5.4/RD  |                                     |     |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



|                    | Thermoplastic insulating materials used in contact<br>with live parts higher than DVC As or used as part of<br>the enclosure shall comply with the ball pressure<br>test as abnormal heat test according to IEC 60695-<br>10-2.   | The material data meet requirements | Ρ |
|--------------------|---|-------------------------------------|---|
|                    | Where an insulating material is used in a PECS that incorporates switching contacts, and is within 12,7 mm of the contacts, it shall comply with the high current arcing ignition test of 5.2.5.2/RD.   | The material data meet requirements | Ρ |
|                    | In case the manufacturer of the insulating material<br>provides data to demonstrate compliance with the<br>above requirements no further testing is required.<br>No further evaluation is required when generic<br>materials are used according to Table 12.  |                                     | Ρ |
|                    | Compliance is checked by inspection and by test of 5.2.3.10/RD and 5.2.5.3/RD or 5.2.5.2/RD.  |                                     | Р |
| 4.4.7.8.3<br>/RD   | Thin sheet or tape material   |                                     | Р |
| 4.4.7.8.3.1<br>/RD | General   | See Table 4.4.7.8.3.2/RD            | Р |
|                    | 4.4.7.8.3/RD applies to the use of thin sheet or tape materials in assemblies such as wound components and bus-bars.  |                                     | Р |
|                    | Insulation consisting of thin (less than 0,75 mm)<br>sheet or tape materials is permitted, provided that it<br>is protected from damage and is not subject to<br>mechanical stress under normal use.  |                                     |   |
|                    | Where more than one layer of insulation is used,<br>there is no requirement for all layers to be of the<br>same material.   |                                     |   |
|                    | NOTE 1 One layer of insulation tape wound with more than 50 % overlap is considered to constitute two layers.   |                                     |   |
|                    | NOTE 2 Basic, supplementary and double insulation can be applied as a pre-assembled system of thin materials.   |                                     |   |
| 4.4.7.8.3.2<br>/RD | Material thickness equal to or more than 0,2 mm   |                                     | Р |
|                    | Basic or supplementary insulation shall consist of at least one layer of material, which will meet the requirements of 4.4.7.8.1/RD and 4.4.7.10.1/RD.  |                                     | Р |
|                    | Double insulation shall consist of at least two layers<br>of material, each of which will meet the requirements<br>of 4.4.7.8.1/RD, 4.4.7.10.1/RD, and the partial<br>discharge requirements of 4.4.7.10.2/RD, and both<br>layers together will meet the impulse and a.c. or d.c.<br>voltage requirements of 4.4.7.10.2/RD. |                                     | Ρ |
|                    | Reinforced insulation shall consist of a single layer<br>of material, which will meet the requirements of<br>4.4.7.8.1/RD and 4.4.7.10.2/RD.  |                                     | Р |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                    | NOTE The requirements of this subclause indicate that double insulation can be at least 0,4 mm thick, while reinforced insulation is permitted to be 0,2 mm thick.  |                      |     |
|--------------------|---|----------------------|-----|
| 4.4.7.8.3.3<br>/RD | Material thickness less than 0,2 mm   |                      | Р   |
|                    | Basic or supplementary insulation shall consist of at least two layers of material, which will meet the requirements of 4.4.7.8.1/RD and 4.4.7.10.1/RD.   |                      | Р   |
|                    | Double insulation shall consist of at least three<br>layers of material. Each layer shall meet the<br>requirements of 4.4.7.8.1/RD and 4.4.7.10.1/RD,<br>and any two layers together shall meet the<br>requirements of 4.4.7.10.2/RD.   |                      | Ρ   |
|                    | Reinforced insulation consisting of a single layer of material is not permitted.  |                      | Р   |
| 4.4.7.8.3.4<br>/RD | Compliance  |                      | Р   |
|                    | Compliance shall be checked by the tests described in 5.2.3.1/RD to 5.2.3.5/RD.   |                      | Р   |
|                    | When a component or sub-assembly makes use of<br>thin sheet insulating materials, it is permitted to<br>perform the tests on the component rather than on<br>the material.  |                      |     |
| 4.4.7.8.4<br>/RD   | Printed wiring boards (PWBs)  |                      | Р   |
| 4.4.7.8.4.1<br>/RD | General   |                      | Р   |
|                    | Insulation between conductor layers in double-sided<br>single-layer PWBs, multi-layer PWBs and metal core<br>PWBs, shall meet the requirements of 4.4.7.8.1/RD.<br>Basic, supplementary, double and reinforced<br>insulation shall meet the appropriate requirements of<br>4.4.7.10.1/RD or 4.4.7.10.2/RD. Functional<br>insulation in PWBs shall meet the requirements of<br>4.4.7.7/RD. |                      | Ρ   |
|                    | For the inner layers of multi-layer PWBs, the insulation between adjacent tracks on the same layer shall be treated as either:  |                      |     |
|                    | • a creepage distance for pollution degree 1 and a clearance as in air (see Example D.14); or   |                      |     |
|                    | • solid insulation, in which case it shall meet the requirements of 4.4.7.8.1/RD and 4.4.7.10/RD.   |                      |     |
| 4.4.7.8.4.2<br>/RD | Use of coating materials  | PCB coating not used | N/A |
|                    | A coating material used to provide functional, basic,<br>supplementary and reinforced insulation shall meet<br>the requirement as specified below.  |                      | N/A |

http://www.tuv-sud.cn



|                  | Type 1 protection (as defined in IEC 60664-3)<br>improves the microenvironment of the parts under<br>protection. The clearance and creepage distance of<br>Table 10 and Table 11 for pollution degree 1 apply<br>under the protection. Between two conductive parts,<br>it is a requirement that one or both conductive parts,<br>together with all the spacing between them, are<br>covered by the protection.  |                           | N/A |
|------------------|--|---------------------------|-----|
|                  | Type 2 protection is considered to be similar to solid<br>insulation. Under the protection, the requirements<br>for solid insulation specified in 4.4.7.8/RD are<br>applicable, including the coating material itself, and<br>spacings shall not be less than those specified in<br>Table 1 of IEC 60664-3:2003. The requirements for<br>clearance and creepage in Table 10 and Table 11<br>do not apply. Between two conductive parts, it is a<br>requirement that both conductive parts, together<br>with the spacing between them, are covered by the<br>protection so that no air gap exists between the<br>protective material, the conductive parts and the<br>printed boards. |                           | N/A |
|                  | The coating material used to provide Type 1 and<br>Type 2 protection shall be designed to withstand the<br>stresses anticipated to occur during the expected<br>lifetime of the PECS. A type test on representative<br>PWBs shall be conducted according to Clause 5 of<br>IEC 60664-3:2003. For the cold test (5.7.1 of IEC<br>60664-3:2003), a temperature of -25 °C shall be<br>used, and for the rapid change of temperature test<br>(5.7.3 of IEC 60664-3:2003): -25 °C to +125 °C. No<br>routine test is required.   |                           | N/A |
| 4.4.7.8.5<br>/RD | Wound components   |                           | Р   |
|                  | Varnish or enamel insulation of wires shall not be<br>used for basic, supplementary, double or reinforced<br>insulation.<br>Wound components shall meet the requirements of<br>4.4.7.8.1/RD and 4.4.7.10/RD.<br>The component itself shall pass the requirements   |                           | P   |
|                  | given in 4.4.7.8.1/RD and 4.4.7.10.2/RD. If the component has reinforced or double insulation, the a.c. or d.c. voltage test of 5.2.3.4/RD shall be performed as a routine test.   |                           |     |
| 4.4.7.8.6<br>/RD | Potting materials  | No potting materials used | N/A |
|                  | A potting material may be used to provide solid<br>insulation or to act as a coating to protect against<br>pollution.  |                           | N/A |
|                  | If used as solid insulation, it shall comply with the requirements of 4.4.7.8.1/RD and 4.4.7.10/RD.  |                           | N/A |
|                  | If used to protect against pollution, the requirements for Type 1 protection in 4.4.7.8.4.2/RD apply.  |                           | N/A |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| 4.4.7.9/RD        | Connection of parts of solid insulation (cemented joints)   | No cemented joints used  | N/A |
|-------------------|---|--|-----|
|                   | The creepage and clearance path in the presence of a cemented joint between two insulating parts, are determined as follows.  |  | N/A |
|                   | • Type 1 or type 2 protection as described in 4.4.7.8.4.2/RD apply.   |  |     |
|                   | • A cemented joint that is not evaluated as providing protection of type 1 or type 2, is neither considered solid insulation nor to reduce pollution degree. The clearance and creepage distances of Table 10 and Table 11 apply for the pollution degree of the environment around the joint. See 5.2.5.7/RD for test. |  |     |
| 4.4.7.10<br>/RD   | Requirements for electrical withstand capability  |  | Ρ   |
| 4.4.7.10.1<br>/RD | Basic or supplementary insulation   | See Table 4.4.7.10/RD  | Ρ   |
|                   | Test with impulse withstand voltage according to 5.2.3.1/RD   |  | Ρ   |
|                   | Test with a.c. or d.c. voltage according to 5.2.3.4/RD  |  | Р   |
| 4.4.7.10.2<br>/RD | Double or reinforced insulation   |  | Ρ   |
|                   | Double or reinforced insulation shall be tested as follows:   |  | Ρ   |
|                   | • Test with impulse withstand voltage according to 5.2.3.2/RD; and  |  |     |
|                   | • Test with a.c. or d.c. voltage according to 5.2.3.4/RD.   |  |     |
|                   | For solid insulation, the partial discharge test<br>according to 5.2.3.5/RD shall be performed in<br>addition to the above tests, if the recurring peak<br>working voltage across the insulation is greater than<br>750 V and the voltage stress on the insulation is<br>greater than 1 kV/mm.                          | Recurring peak working voltage across the insulation is not greater than 750 V | N/A |
|                   | The partial discharge test shall be performed as a type test on all components, sub-assemblies and PWB. In addition, a sample test shall be performed if the insulation consists of a single layer of material.   |  |     |
|                   | Double insulation shall be designed so that failure of<br>the basic insulation or of the supplementary<br>insulation will not result in reduction of the insulation<br>capability of the remaining part of the insulation.  | No double insulation used  | N/A |
| 4.4.7.11<br>/RD   | Insulation requirements above 30kHz   | No insulation used above<br>30kHz  | N/A |
| 4.4.8/RD          | Compatibility with residual current-operated protective devices (RCD)   | No RCD used inside   | N/A |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                   | <ul> <li>To ensure the intended work of an RCD provided by the installation PECS shall satisfy one of the following conditions.</li> <li>a) A Pluggable Type A single-phase PECS, shall be designed so that, under normal and fault conditions any resulting d.c. component of the current in the PE conductor does not exceed the d.c. current withstand requirements in IEC 60755 for RCD of type A.</li> <li>b) For PECS that are Pluggable Type B or intended for permanent connection, d.c. current in the PE conductor is not limited if the information and marking requirements of 6.3.7.4/RD are complied with.</li> </ul> |                           | N/A |
|-------------------|---|---------------------------|-----|
|                   | Compliance with RCD provided by the installation<br>shall be checked by simulation or calculation of<br>current in the PE conductor under normal and single<br>fault conditions according to the guideline provided<br>in Annex H/RD.   |                           | N/A |
| 4.4.9<br>4.4.9/RD | Capacitor discharge   | No such components inside | N/A |
|                   | <ul> <li>For protection against shock hazard, capacitors within a PECS shall be discharged to a voltage less than DVC As, or to a residual charge less than 50 μC, after the removal of power from the PECS:</li> <li>for pluggable UPS type A, the discharge time shall not exceed 1 s or the hazardous live parts</li> </ul>  |                           | N/A |
|                   | shall be protected against direct contact by at<br>least IPXXB (see 4.4.3.3);   |                           |     |
|                   | <ul> <li>for pluggable UPS type B, the discharge time<br/>shall not exceed 5 s or the hazardous live parts<br/>shall be protected against direct contact by at<br/>least IPXXB (see 4.4.3.3);</li> </ul>  |                           |     |
|                   | <ul> <li>for permanently connected UPS, the discharge<br/>time shall not exceed 15 s.</li> </ul>  |                           |     |
|                   | For pluggable PECS type A and B and permanently connected PECS, which do not meet the above requirements, access shall only be possible by means of a tool or key and the information and marking requirements of 6.5.2/RD apply.   |                           |     |
|                   | Compliance is checked by test of 5.2.3.8/RD.  |                           | -   |
| 4.5               | Protection against electrical energy hazards  |                           | P   |
| 4.5.1/RD          | Operator access areas   |                           | P   |
| 4.5.1.1/RD        | General   |                           | Р   |

http://www.tuv-sud.cn

|                   |  |   | 300 |
|-------------------|--|---|-----|
|                   | Equipment shall be so designed that there is no risk<br>of electrical energy hazard in operator access areas<br>from accessible circuits by fulfilling requirement of<br>4.2/RD.   |   | Ρ   |
|                   | A risk of injury due to an electrical energy hazard<br>exists if it is likely that two or more bare parts (one of<br>which may be earthed) between which a hazardous<br>energy level exists, will be bridged by a metallic<br>object.  |   |     |
|                   | The likelihood of bridging the parts under<br>consideration is determined by means of the test<br>finger of Figure 1 of IEC 60529:1989, in a straight<br>position. If it is possible to bridge the parts with this<br>test finger, a hazardous energy level shall not exist.   |   |     |
|                   | Barriers, guards, and similar means preventing<br>unintentional contact may be provided as an<br>alternative to limiting the energy.   |   |     |
|                   | Compliance is checked by inspection or test of 5.2.2.2/RD.   |   | Р   |
| 4.5.1.2/RD        | Determination of hazardous electrical energy level   |   | Р   |
|                   | A hazardous electrical energy level is considered to exist if:   |   | Р   |
|                   | • the voltage is 2 V or more;  |   |     |
|                   | and  |   |     |
|                   | • power available exceeds 240 VA after 60 s; or  |   |     |
|                   | • the energy exceeds 20 J.   |   |     |
|                   | Compliance shall be checked with the test in 5.2.3.9/RD or by calculation.   |   |     |
| 4.5.2<br>4.5.2/RD | Service access areas   |   | Р   |
|                   | Capacitors within a PECS shall be discharged to an<br>energy level less than 20 J, as in 4.5.1.2, within 5 s<br>after the removal of power from the PECS. If this<br>requirement is not achievable for functional or other<br>reasons, the information and marking requirements<br>of 6.5.2/RD apply.  | No such capacitors, no such hazard inside | N/A |
|                   | This requirement does not apply to terminals covered by 4.4.9.   |   | Р   |
|                   | In a service access area, the following requirements apply.  |   |     |
|                   | Bare parts at hazardous voltage shall be located or<br>guarded so that unintentional contact with such<br>parts is unlikely during service operations involving<br>other parts of the equipment. Bare parts at<br>hazardous voltage shall be located or guarded so<br>that accidental shorting to parts at non-hazardous<br>potentials (for example, by tools or test probes used<br>by a service person) is unlikely. |   |     |
|                   | If the capacitor discharge time cannot be accurately calculated, the discharge time shall be measured.   |   | N/A |

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



| 4.6                   | Protection against fire and thermal hazards  |   | Р |
|-----------------------|--|---|---|
| 4.6.1/RD              | Circuits representing a fire hazard  |   | Р |
|                       | The following types of circuits are considered a fire hazard:  |   | Р |
|                       | - circuits directly connected to the mains   |   |   |
|                       | - circuits that are not directly connected to the mains<br>but exceed the limits for limited power sources in<br>4.6.5/RD  |   |   |
|                       | - components having unenclosed arcing parts  |   |   |
| 4.6.2/RD              | Components representing a fire hazard  |   | Р |
| 4.6.2.1/RD            | General  |   | Р |
|                       | Compliance with 4.6.2/RD and 4.6.3/RD shall be confirmed by inspection of component and material data sheets and, where necessary, by test.  |   | Ρ |
| 4.6.2.2<br>4.6.2.2/RD | Components within a circuit representing a fire hazard   |   | Р |
|                       | Inside fire enclosures, materials for components and<br>other parts and all materials in contact with such<br>parts shall comply with flammability class V-2 as<br>classified in IEC 60695-11-10 or flammability class<br>HF-2 as classified in ISO 9772 or better.  | Fire resistant material used, please refer to CDF for details | Ρ |
|                       | The above requirement does not apply to any of the following:  |   | Р |
|                       | • electrical components which do not present a fire hazard under abnormal operating conditions when tested according to 5.2.4.6/RD;  |   |   |
|                       | • materials and components within an enclosure of 0,06 m3 or less, consisting totally of metal and having no ventilation openings, or within a sealed unit containing an inert gas;  |   |   |
|                       | • electronic components, such as integrated circuit<br>packages, opto-coupler packages, capacitors and<br>other small parts that are mounted on material of<br>flammability class V-1 or better;   |   |   |
|                       | • wiring, cables and connectors insulated with PVC,<br>TFE, PTFE, FEP, neoprene or polyimide;  |   |   |
|                       | • the following parts, provided that they are<br>separated from electrical parts (other than insulated<br>wires and cables) which under fault conditions are<br>likely to produce a temperature that could cause<br>ignition, by at least 13 mm of air or by a solid barrier<br>of material of flammability class V-1 or better: |   |   |
|                       | <ul> <li>other small parts which would contribute<br/>negligible fuel to a fire, including, labels,<br/>mounting feet, key caps, knobs and the like;</li> </ul>  |   |   |
|                       | <ul> <li>tubing for air or any fluid systems, containers for<br/>powders or liquids and foamed plastic parts,<br/>provided that they are of flammability class HB.</li> </ul>  |   |   |
|                       | Batteries shall have a flammability class HB or better.  |   | Р |

http://www.tuv-sud.cn



| 4.6.2.3/RD            | Components within a circuit not representing a fire hazard  |  | Р   |
|-----------------------|---|--|-----|
|                       | For components within a circuit not representing a fire hazard 4.6.2/RD does not apply.   |  | Р   |
| 4.6.3/RD              | Fire enclosure  |  | Р   |
| 4.6.3.1<br>4.6.3.1/RD | General   |  | Р   |
|                       | Fire enclosures are used to reduce the risk of fire to<br>the environment, independent of the location where<br>they are installed.   |  | Р   |
|                       | A fire enclosure shall be provided for all UPS unless:  |  |     |
|                       | • circuits inside of an enclosure are within the limits<br>of limited power sources in 4.6.5 of this document;<br>or  |  |     |
|                       | <ul> <li>there is an agreement between the user and the<br/>manufacturer; or</li> </ul>   |  |     |
|                       | • the UPS is intended to be used only in areas without combustible materials and is marked according to 6.3.5/RD.   |  |     |
| 4.6.3.2/RD            | Flammability of enclosure materials   |  | Р   |
|                       | Materials used for fire enclosures of PECS shall<br>meet the flammability test requirements of<br>5.2.5.5/RD, except for those portions of the<br>enclosure that enclose only circuits not representing<br>a fire hazard. |  | Р   |
|                       | Materials are considered to comply without test if, in the minimum thickness used, the material is of flammability class 5VA or better, according to IEC 60695-11-20.   |  | N/A |
|                       | Metals, ceramic materials, and glass which is heat-<br>resistant tempered, wired or laminated, are<br>considered to comply without test.  | Metal enclosure used                                 | Р   |
|                       | Materials for components that fill an opening in a fire enclosure shall:  | Be of at least V-1 class material and no larger than | Р   |
|                       | • be of at least V-1 class material and no larger than 100 mm in any dimension; or  | 100 mm in any dimension                              |     |
|                       | <ul> <li>be of at least V-2 class material and either</li> </ul>  |  |     |
|                       | <ul> <li>not larger than 25 mm in any dimension; or</li> </ul>  |  |     |
|                       | <ul> <li>not larger than 100 mm in any dimension and<br/>located at least 100mm from any part that is a<br/>source of fire hazard; or</li> </ul>  |  |     |
|                       | • be of at least V-2 class material and there is a barrier or device(s) that forms a barrier made of a V-0 class material between the part and a source of fire hazard; or  |  |     |
|                       | • comply with a relevant IEC component standard that includes flammability requirements for components that are intended to form part of, or fill openings in, a fire enclosure.  |  |     |

http://www.tuv-sud.cn

|                               |   |                   | SUD |
|-------------------------------|---|-------------------|-----|
|                               | Polymeric materials that serve as the outer<br>enclosure and have surface area greater than 1 m <sup>2</sup><br>or a single dimension larger than 2 m, shall have a<br>maximum flame spread index of 100 as determined<br>by ASTM E162 or ANSI/ASTM E84.  |                   | N/A |
|                               | The manufacturer may provide data from the fire<br>enclosure material supplier to demonstrate<br>compliance with the above requirements. In this<br>case, no further testing is required.   |                   | Ρ   |
|                               | Compliance shall be checked by visual inspection and, where necessary, by test.   | Visual inspection | Р   |
| 4.6.3.3/RD                    | Openings in fire enclosure  |                   | Р   |
| 4.6.3.3.1<br>/RD              | General   |                   | Р   |
| 4.6.3.3.2<br>4.6.3.3.2<br>/RD | Openings in the top and side if fire enclosures   |                   | Р   |
|                               | Openings in the top surfaces of fire enclosures shall<br>be designed to prevent an external object falling<br>vertically or at up to 5° from vertically from entering<br>the enclosure in an area that could lead to a fire<br>hazard.  |                   | Ρ   |
|                               | This requirement applies to all sides of moveable<br>equipment with no defined top and bottom, unless<br>top and bottom surfaces can be suitably<br>demonstrated in the installation instructions.  |                   |     |
|                               | The test requirements are found in 5.2.2.2 of this document.  |                   |     |
|                               | Openings in the top surfaces of fire enclosures not<br>located vertically above or within 5° from vertical of a<br>circuit representing a fire hazard as defined in<br>4.6.1/RD are not subject to the test of 5.2.2.2/RD<br>and can be of any construction if the construction<br>prevents access to parts greater than DVC As with<br>the IP2X probe as detailed in 4.4.3.3/RD. |                   | Ρ   |
|                               | Where a portion of the side of a fire enclosure falls<br>within the area traced out by the 5° angle in Figure<br>6, the limitations in 4.6.3.3.3/RD regarding openings<br>in bottoms of fire enclosures also apply to this<br>portion of the side.<br>Compliance shall be checked by visual inspection.   |                   |     |
| 4.6.3.3.3<br>/RD              | Openings in the bottom of a fire enclosure  | No such openings  | N/A |
|                               | Compliance is checked by inspection or with the hot flaming oil test in 5.2.5.6/RD, in case the fire enclosure is designed differently than as described in this subclause.   |                   | N/A |
| 4.6.3.3.4<br>/RD              | Doors or covers in fire enclosures  |                   | Р   |

http://www.tuv-sud.cn

|                       |   |   | SOD |
|-----------------------|---|---|-----|
|                       | If part of a fire enclosure consists of a door or a cover leading to an operator access area, it shall comply with one of the following requirements:   |   | Ρ   |
|                       | • the door or cover shall be provided with a safety interlock; or   |   |     |
|                       | • a door or cover, intended to be routinely opened by<br>the user, shall comply with both of the following<br>conditions:   |   |     |
|                       | <ul> <li>it shall not be removable from other parts of the<br/>fire enclosure by the user; and</li> </ul>   |   |     |
|                       | <ul> <li>it shall be provided with a means to keep it<br/>closed during normal operation.</li> </ul>  |   |     |
|                       | A door or cover intended only for occasional use by<br>an installer, such as for the installation of<br>accessories, is permitted to be removable provided<br>that the equipment instructions include directions for<br>correct removal and reinstallation of the door or<br>cover.<br>Compliance is checked by inspection. |   |     |
| 4.6.4/RD              |   |   | Р   |
|                       | Temperature   |   |     |
| 4.6.4.1<br>4.6.4.1/RD | Internal parts  | See table 4.6.4/RD                              | Р   |
|                       | Equipment and its component parts shall not attain<br>temperatures in excess of those in Table 14 when<br>tested in normal mode in accordance with the<br>ratings of the equipment.   |   | Ρ   |
|                       | Magnetic components shall not attain temperatures<br>in excess of those in Table 103 when tested in<br>stored energy mode in accordance with the ratings<br>of the equipment.<br>Compliance is checked by test of 5.2.3.10/RD.  |   |     |
| 4.6.4.2/RD            | Accessible parts  |   | Р   |
|                       | When surface temperatures of the PECS, close to mounting surfaces, exceed the limit of Table 15, a warning according to 6.3.5/RD shall be provided.   |   | Р   |
| 4.6.5<br>4.6.5/RD     | Limited power sources   | No limited power source used for external ports | N/A |
|                       | Where a limited power source is required, the source shall comply with Table 16 or Table 17 as applicable.  |   | N/A |
|                       | Compliance to both the maximum allowed current<br>and maximum apparent power available from the<br>power source is required.  |   |     |

http://www.tuv-sud.cn



|                  | A limited power source shall comply with one of the following requirements:  |                        | N/A |
|------------------|--|------------------------|-----|
|                  | a) the output is inherently limited in compliance with Table 16; or  |                        |     |
|                  | b) a linear or non-linear impedance limits the output<br>in compliance with Table 16. If a positive<br>temperature coefficient device (PTC) is used, it shall<br>pass the tests specified in IEC 60730-1, Clauses 15,<br>17, J.15 and J.17; or   |                        |     |
|                  | c) a regulating network limits the output in<br>compliance with Table 16, both with and without a<br>single fault in the regulating network; or  |                        |     |
|                  | d) an overcurrent protective device is used and the output is limited in compliance with Table 17.   |                        |     |
|                  | Compliance to determine the maximum available power is checked by test of 5.2.3.9/RD.  |                        | N/A |
| 4.7              | Protection against mechanical hazards  |                        | Р   |
| 4.7.1/RD         | General  |                        | Р   |
|                  | Failure of any component within the PECS shall not release sufficient energy to lead to a hazard, for example, expulsion of material into an area occupied by personnel.   |                        | Р   |
| 4.7.2/RD         | Specific requirements for liquid cooled PECS   | Not liquid cooled type | N/A |
| 4.7.2.1/RD       | General  |                        | N/A |
| 4.7.2.2/RD       | Coolant  |                        | N/A |
|                  | Coolant temperature in operation shall not exceed the limit specified in Table 14.   |                        | N/A |
|                  | Compliance is checked by inspection and test of 5.2.3.10/RD.   |                        | N/A |
| 4.7.2.3/RD       | Design requirements  |                        | N/A |
| 4.7.2.3.1<br>/RD | General  |                        | N/A |
|                  | The liquid containment system components shall be compatible with the liquid to be used.   |                        | N/A |
|                  | Equipment using liquids shall be so constructed that<br>it is unlikely that either a dangerous concentration of<br>these materials or a hazard in the meaning of this<br>standard will be created by condensation,<br>vaporization, leakage, spillage or corrosion during<br>normal operation, storage, filling or emptying. |                        |     |
|                  | Compliance is checked by inspection.   |                        |     |
|                  | The flexible hoses should be made of material free of conductive contaminants such as carbon.  |                        |     |
| 4.7.2.3.2<br>/RD | Corrosion resistance   |                        | N/A |

http://www.tuv-sud.cn



|                  | All cooling system components shall be suitable for<br>use with the specified coolant. They shall be<br>corrosion resistant and shall not corrode as a result<br>of prolonged exposure to the coolant and/or air.<br>Compliance is checked by inspection.   | N/A |
|------------------|---|-----|
| 4.7.2.3.3        |   | N/A |
| 4.7.2.3.3<br>/RD | Tubing, joints and seals  | N/A |
|                  | Cooling system tubing, joints and seals shall be<br>designed to prevent leakage during excursions of<br>pressure over the life of the equipment. The entire<br>cooling system including tubing shall satisfy the<br>requirements of the hydrostatic pressure test of<br>5.2.7/RD.   | N/A |
| 4.7.2.3.4<br>/RD | Provision for condensation  | N/A |
|                  | Where internal condensation occurs during normal<br>operation or maintenance, measures shall be taken<br>to prevent degradation of insulation. In those areas<br>where such condensation is expected, clearance<br>and creepage distances of Table 10 and Table 11<br>shall be evaluated at least for a pollution degree 3<br>environment (see Table 8), and provision shall be<br>made to prevent accumulation of water (for example<br>by providing a drain).<br>Compliance is checked by inspection. | N/A |
| 4.7.2.3.5<br>/RD | Leakage of coolant  | N/A |
|                  | During a leakage measures has to ensure that coolant will not result in wetting of live parts or electrical insulation.   | N/A |
| 4.7.2.3.6<br>/RD | Loss of coolant   | N/A |
|                  | Loss of coolant form the cooling system shall not<br>result in thermal hazards, explosion, or shock<br>hazard. The requirements of the Loss of coolant test<br>of 5.4.3.9.4/RD shall be satisfied.  | N/A |
| 4.7.2.3.7<br>/RD | Conductivity of coolant   | N/A |
|                  | When the coolant is intentionally in contact with live<br>parts (for example non-earthed heatsinks), the<br>conductivity of the coolant shall be continuously<br>monitored and controlled, in order to avoid<br>hazardous current flow through the coolant.   | N/A |
| 4.7.2.3.8<br>/RD | Insulation requirements for coolant hoses   | N/A |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



|               | When the coolant is intentionally in contact with live<br>parts (for example non-earthed heatsinks), the<br>coolant hoses form a part of the insulation system.<br>Depending on the location of the hoses, the<br>requirements of 4.4.7/RD for functional or simple or<br>protective separation shall be applied where   |  | N/A |
|---------------|--|--|-----|
| 4.7.101       | relevant.<br>Protection in service access area   |  | P   |
| 4.8           | Equipment with multiple sources of supply  | Not considered as multiple sources of supply | N/A |
| 4.8.101       | General  |  | N/A |
| 4.8.102       | Backfeed protection  |  | N/A |
| 4.9<br>4.9/RD | Protection against environmental stresses  |  | Р   |
|               | The manufacturer has to specify the following conditions for operation, storage and transportation according to IEC 60721:   | Specify to the user manual                   | Р   |
|               | - Coolant temperature (min/max);   |  |     |
|               | - Ambient temperature (min/max);   |  |     |
|               | - Humidity (min/max)   |  |     |
|               | - Pollution degree;  |  |     |
|               | - Vibration;   |  |     |
|               | - U.V. resistance;   |  |     |
|               | - Over voltage category (OVC);   |  |     |
|               | <ul> <li>Altitude for thermal consideration, if rated for<br/>operation above 1000 m;</li> </ul>   |  |     |
|               | <ul> <li>Altitude for insulation coordination<br/>considerations, if rated for operation above<br/>2000 m.</li> </ul>  |  |     |
|               | The manufacturer shall state the environmental service condition for the PECS according to Table 18.   |  | Р   |
|               | The UPS, as a minimum, shall comply with the following indoor conditions: climatic, pollution degree, and humidity condition of the skin as part of the environmental service condition 3K2 of Table 18 of IEC 62477-1:2012. The manufacturer may elect to comply with environmental service conditions more onerous than 3K2 subject to the UPS being marked accordingly (see 6.2). |  |     |
| 4.10          | Protection against sonic pressure hazards  | No such hazard                               | Р   |
| 4.11          | Wiring and connections   |  | Р   |
| 4.11.1/RD     | General  |  | Р   |

http://www.tuv-sud.cn



|           | The wiring and connections between parts of the equipment and within each part shall be protected from mechanical damage during installation. The insulation, conductors and routing of all wires of the equipment shall be suitable for the electrical, mechanical, thermal and environmental conditions of use. Conductors which are able to contact each other shall be provided with insulation rated for the DVC requirements of the relevant circuits. The compliance with 4.11.2/RD to 4.11.8/RD shall be checked by inspection (see 5.2.1/RD) of the overall construction and datasheets if applicable. |   | Ρ |
|-----------|---|---|---|
| 4.11.2/RD | Routing   |   | P |
|           | A hole through which insulated wires pass in a sheet<br>metal wall within the enclosure of the equipment<br>shall be provided with a smooth, well-rounded<br>bushing or grommet or shall have smooth, well-<br>rounded surfaces upon which the wires bear to<br>reduce the risk of abrasion of the insulation.  |   | P |
|           | Wires shall be routed away from sharp edges, screw<br>threads, burrs, fins, moving parts, drawers, and<br>similar parts, which abrade the wire insulation. The<br>minimum bend radius specified by the wire<br>manufacturer shall not be violated.  |   | Р |
|           | Clamps and guides, either metallic or non-metallic,<br>used for routing stationary internal wiring shall be<br>provided with smooth, well-rounded edges. The<br>camping action and bearing surface shall be such<br>that abrasion or deformation of the insulation does<br>not occur. If a metal clamp is used for conductors<br>having thermoplastic insulation less than 0,8 mm<br>thick, non-conduction mechanical protection shall be<br>provided.  |   | Ρ |
| 4.11.3/RD | Colour coding   |   | Р |
|           | Insulated conductors, other than those which are<br>integral of ribbon cable or multi-cord signal cable,<br>identified by the colour green with or without one or<br>more yellow stripes shall only be used for protective<br>bonding.  |   | Р |
| 4.11.4/RD | Splices and connections   | Coupler used for internal and for external connection | Р |

http://www.tuv-sud.cn

|           |  | SUD |
|-----------|--|-----|
|           | All splices and connections shall be mechanically secured and shall provide electrical continuity.   | Р   |
|           | Electrical connections shall be soldered, welded,<br>crimped, or otherwise securely connected. A<br>soldered joint, other than a component on a PWB,<br>shall additionally be mechanically secured.  |     |
|           | NOTE Stranded wire should not be consolidated<br>with solder where secured in a terminal that relies<br>on pressure for contact or equivalent  |     |
|           | When stranded internal wiring is connected to a wire-binding screw, the construction shall be such that loose strands of wire do not contact:  |     |
|           | • other uninsulated live parts not always of the same potential as the wire;   |     |
|           | <ul> <li>de-energized metal parts.</li> </ul>  |     |
|           | When screw terminal connections are used, the resulting connections may require routine maintenance (tightening). Appropriate reference shall be made in the maintenance manual (see 6.5.1/RD).  |     |
| 4.11.5/RD | Accessible connections   | Р   |
|           | In addition to measures given in 4.4.6.4/RD it shall<br>be ensured that neither insertion error nor polarity<br>reversal of connectors can lead to a voltage on an<br>accessible connection higher than the maximum of<br>DVC As. This applies for example to plug-in sub-<br>assemblies or other plug-in devices which can be<br>plugged in without the use of a tool or key or which<br>are accessible without the use of a tool or key. This<br>does not apply to equipment intended to be installed<br>in restricted access areas.<br>If relevant, non-interchangeability and protection<br>against polarity reversal of connectors, plugs and | Ρ   |
| 4.11.6/RD | socket outlets shall be confirmed by inspection and<br>trial insertion.  | P   |
|           |  | •   |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

http://www.tuv-sud.cn

|                 |   |   | SUD |
|-----------------|---|---|-----|
|                 | In addition to complying with the requirements given<br>in 4.11.1/RD to 4.11.5/RD, the means provided for<br>the interconnection between parts of the PECS shall<br>comply with the following requirements or those of<br>4.11.7/RD.  |   | Ρ   |
|                 | Cable assemblies and flexible cords provided for<br>interconnection between sections of equipment or<br>between units of a system shall be suitable for the<br>service or use involved. Cables shall be protected<br>from physical damage as they leave the enclosure<br>and shall be provided with mechanical strain relief.                         |   |     |
|                 | Misalignment of male and female connectors,<br>insertion of a multipin male connector in a female<br>connector other than the one intended to receive it,<br>and other manipulations of parts which are<br>accessible to the operator shall not result in<br>mechanical damage or a risk of thermal hazards,<br>electric shock, or injury to persons. |   |     |
|                 | When external interconnecting cables terminate in a<br>plug which mates with a receptacle on the external<br>surface of an enclosure, no risk of electric shock<br>shall exist at accessible contacts of either the plug or<br>receptacle when disconnected.  |   |     |
|                 | NOTE An interlock circuit in the cable to de-energize<br>the accessible contacts whenever an end of the<br>cable is disconnected meets the intent of these<br>requirements.   |   |     |
| 4.11.7/RD       | Supply connections  |   | Р   |
|                 | The connection points provided shall be of<br>appropriate construction to preclude the possibility<br>of loose strands reducing the spacing between<br>conductors when careful attention is paid to<br>installation.  |   | Ρ   |
| 4.11.8/RD       | Terminals   | Male and female connector used for connection | N/A |
| 4.11.8.1<br>/RD | Construction requirements   |   | N/A |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

http://www.tuv-sud.cn

|                             |  | 300 |
|-----------------------------|--|-----|
|                             | All parts of terminals which maintain contact and carry current shall be of metal having adequate mechanical strength.   | N/A |
|                             | Terminal connections shall be such that the<br>conductors can be connected by means of screws,<br>springs or other equivalent means so as to ensure<br>that the necessary contact pressure is maintained.  |     |
|                             | Terminals shall be so constructed that the conductors can be clamped between suitable surfaces without any significant damage either to conductors or terminals.   |     |
|                             | Terminals shall not allow the conductors to be<br>displaced or be displaced themselves in a manner<br>detrimental to the operation of equipment and the<br>insulation shall not be reduced below the rated<br>values.  |     |
|                             | The requirements of this subclause are met by using terminals complying with IEC 60947-7-1 or IEC 60947-7-2, as appropriate.   |     |
| 4.11.8.2<br>4.11.8.2<br>/RD | Connecting capacity  | N/A |
|                             | Terminals shall be provided which accommodate<br>the conductors specified in the installation and<br>maintenance manuals (see 6.3.6.4/RD) and cables<br>in accordance with the wiring rules applicable at the<br>installation. The terminals shall meet the<br>temperature rise test of 5.2.3.10/RD.   | N/A |
|                             | Information regarding the permitted wire sizes shall be given in the installation manual.  | N/A |
|                             | The UPS manufacturer shall indicate whether the terminals are suitable for connection of copper or aluminium conductors, or both. The terminals shall be such that the external conductors may be connected by a means (screws, connectors, etc.) which ensures that the necessary contact pressure corresponding to the current rating, the short-circuit strength of the apparatus and the circuit are maintained. | N/A |
|                             | In the absence of a special agreement between the<br>UPS manufacturer and the purchaser, terminals<br>shall be capable of accommodating copper<br>conductors from the smallest to the largest cross-<br>sectional areas corresponding to the appropriate<br>rated current (see Annex AA).  | N/A |
|                             | Compliance is checked by inspection, by<br>measurement and by fitting at least the smallest and<br>largest cross-sectional areas of the appropriate<br>range in Annex AA.  |     |
| 4.11.8.3<br>/RD             | Connection   | N/A |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



|                 | Terminals for connection to external conductors shall be readily accessible during installation.  |   | N/A |
|-----------------|---|---|-----|
|                 | Sets of terminals for connection to the same input or<br>output shall be grouped together and shall be<br>located in proximity to each other and to the main<br>protective earthing terminal, if any. If the installation<br>instructions provide detail on the proper earthing of<br>the system, the protective earthing terminal need not<br>be placed in proximity to the terminals.   |   |     |
|                 | Clamping screws and nuts shall not serve to fix any<br>other component although they may hold the<br>terminals in place or prevent them from turning.   |   |     |
| 4.11.8.4<br>/RD | Wire bending space for wires 10 mm <sup>2</sup> and greater   |   | N/A |
|                 | The distance between a terminal for connection to<br>the main supply, or between major parts of the<br>PECS (for example a transformer), and an<br>obstruction toward which the wire is directed upon<br>leaving the terminal shall be at least that specified in<br>Table 19.  |   | N/A |
| 4.11.101        | Non-detachable cords  | Type B connector used for<br>connection | N/A |
| 4.11.101.1      | Cord guard  |   | N/A |
| 4.11.101.2      | Cord anchorages and strain relief   |   | N/A |
| 4.12/RD         | Enclosures  |   | Р   |
| 4.12.1/RD       | General   |   | Р   |
| 4.12.2/RD       | Handle and manual controls  |   | Р   |
|                 | Handles, knobs, grips, levers and the like shall be<br>reliably fixed so that they will not work loose in<br>normal use, if this could result in a hazard. Sealing<br>compounds and the like, other than self-hardening<br>resins, shall not be used to prevent loosening. If<br>handles, knobs and the like are used to indicate the<br>position of switches or similar components, it shall<br>not be possible to fix them in a wrong position if this<br>could result in a hazard. |   | P   |
| 4.12.3/RD       | Cast metal  | Sheet metal used                        | N/A |
|                 |   | •                                       |     |

http://www.tuv-sud.cn

|           |   |   | SUD |
|-----------|---|---|-----|
|           | Die-cast metal, except at threaded holes for conduit,<br>where a minimum of 6,4 mm thickness is required,<br>shall be:<br>• not less than 2,0 mm thick for an area larger than  |   | N/A |
|           | 155 cm <sup>2</sup> or having any dimension larger than 150 mm;   |   |     |
|           | • not less than 1,2 mm thick for an area of 155 cm <sup>2</sup> or less and having no dimension larger than 150 mm.   |   |     |
|           | The area under evaluation may be bounded by reinforcing ribs subdividing a larger area.   |   |     |
|           | Malleable iron or permanent-mould cast aluminium,<br>brass, bronze, or zinc, except at threaded holes for<br>conduit, where a minimum of 6,4 mm thickness is<br>required, shall be:   |   |     |
|           | • at least 2,4 mm thick for an area greater than 155 cm <sup>2</sup> or having any dimension more than 150 mm;  |   |     |
|           | • at least 1,5 mm thick for an area of 155 cm <sup>2</sup> or less having no dimension more than 150 mm.  |   |     |
|           | A sand-cast metal enclosure shall be a minimum of 3,0 mm thick except at locations for threaded holes for conduit, where a minimum of 6,4 mm is required.   |   |     |
| 4.12.4/RD | Sheet metal   | Material: aluminium<br>AL5052, Min. 1.2mm | Р   |
| 4.12.5/RD | Stability test for enclosure  |   | Р   |
|           | Under conditions of normal use, units and<br>equipment shall not become physically unstable to<br>the degree that they could become a hazard to an<br>operator or to a service person.  |   | Р   |
|           | If units are designed to be fixed together on site and<br>not used individually, the stability of each individual<br>unit is exempt from the requirements of 4.12.5/RD.   |   |     |
|           | The requirements of 4.12.5/RD are not applicable if<br>the installation instructions for a unit specify that the<br>equipment is to be secured to the building structure<br>before operation.   |   |     |
|           | Under conditions of operator use, a stabilizing means, if needed, shall be automatic in operation when drawers, doors, etc., are opened.  |   |     |
|           | During operations performed by a service person,<br>the stabilizing means, if needed, shall either be<br>automatic in operation, or a marking shall be<br>provided to instruct the service person to deploy the<br>stabilizing means. |   |     |
|           | Compliance is checked by test of 5.2.2.5/RD.  |   |     |
| 4.101     | UPS isolation and disconnect device   |   | Р   |
| 4.101.1   | Emergency switching (disconnect) device   |   | Р   |
| 4.101.2   | Normal disconnect devices   |   | Р   |
| 4.102     | Stored energy source  |   | Р   |
| 4.102.1   | General   |   | Р   |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUC



| 4.102.2   | Accessibility and maintainability         |  | Р   |
|-----------|---|--|-----|
| 4.102.3   | Distance between battery cells            |  | Р   |
| 4.102.4   | Case insulation                           |  | Р   |
| 4.102.5   | Electrolyte spillage                      |  | Р   |
| 4.102.6   | Ventilation and hydrogen concentration    | Lithium-ion battery, no<br>hydrogen produced during<br>charger and discharge | N/A |
| 4.102.7   | Charging voltages                         |  | Р   |
| 4.102.8   | Battery circuit protection                |  | Р   |
| 4.102.8.1 | Overcurrent and earth fault protection    |  | Р   |
| 4.102.8.2 | Location of protective device             | Integrated overcurrent<br>protection used for all<br>conductor               | Р   |
| 4.102.8.3 | Rating of protective devices              |  | Р   |
| 4.103     | UPS connection to telecommunication lines |  | Р   |
|           |   |  |     |

| 5                     | Test requirements  |                      | Р   |
|-----------------------|--|----------------------|-----|
| 5.1/RD                | General  |                      | Р   |
| 5.1.1/RD              | Test objectives and classification   |                      | Р   |
| 5.1.2/RD              | Selection of test samples  |                      | Р   |
| 5.1.3/RD              | Sequence of tests  |                      | Р   |
| 5.1.4/RD              | Earthing conditions  |                      | Р   |
| 5.1.5/RD              | General conditions for tests   |                      | Р   |
| 5.1.5.1/RD            | Application of tests   |                      | Р   |
|                       | Unless otherwise stated, upon conclusion of the tests, the equipment need not be operational.  |                      | Р   |
| 5.1.5.2/RD            | Test samples   |                      | Р   |
| 5.1.5.3<br>5.1.5.3/RD | Operating parameters for tests   |                      | Р   |
| 5.1.6/RD              | Compliance   |                      | Р   |
| 5.1.7                 | Test overview  |                      | Р   |
| 5.1.101               | UPS test overview  | Not complete UPS     | N/A |
| 5.2                   | Test specification   |                      | Р   |
| 5.2.1/RD              | Visual inspections (type test, sample test and routine test)   |                      | Р   |
|                       | Before type testing, a check shall be made that the PECS delivered for the test is as expected with respect to supply voltage, input and output ranges, etc. |                      | Р   |
| 5.2.2/RD              | Mechanical tests   |                      | Р   |
| 5.2.2.1/RD            | Clearance and creepage distance test (type test)   | See table 5.2.2.1/RD | Р   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

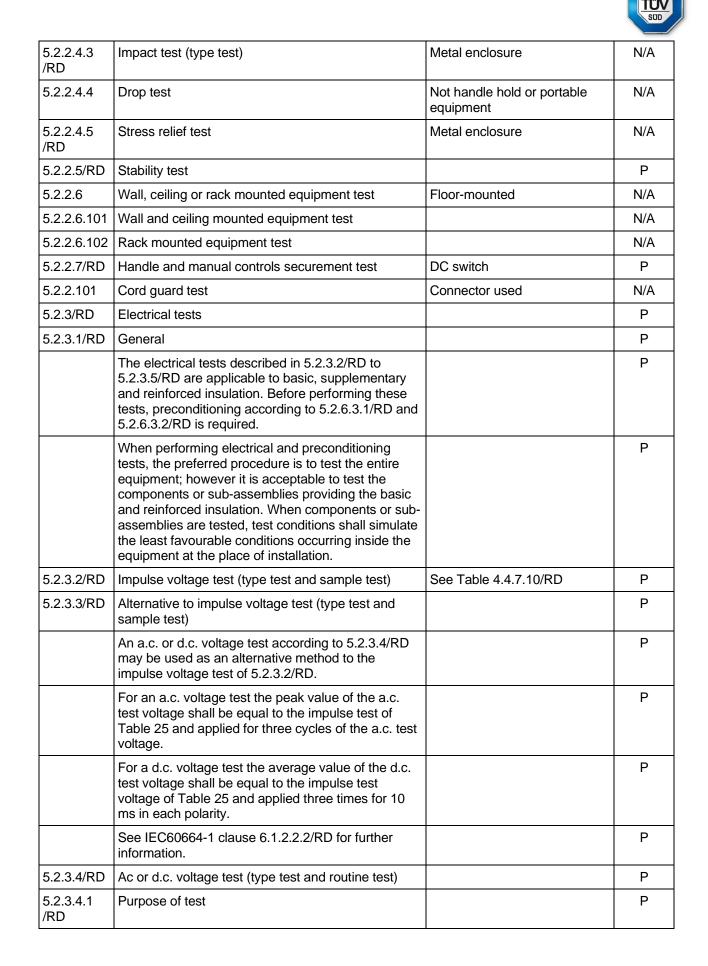
http://www.tuv-sud.cn



| e verified by measurement or visual   |   | Р  |
|---|---|--|
| n that the clearance and creepage<br>comply with 4.4.7.4/RD and 4.4.7.5/RD.   |   |  |
| oltage test (see 5.2.3.2/RD) shall be   |   | Р  |
| essibility test (type test)   |   | Р  |
| rotection test (IP rating)(type test)   | IP65  | Р  |
| This test shall be performed as a type test closure of a PECS as specified in IEC   |   | Р  |
| e integrity test (type test)  |   | Р  |
|   |   | Р  |
| e intended for operation without a further<br>in restricted access areas. After<br>on of the integrity test, the PECS shall pass<br>of 5.2.3.2/RD and 5.2.3.4/RD and shall be |   | Ρ  |
|   |   | Ρ  |
|   |   | Р  |
|   |   | Р  |
|   |   | Р  |
| have not been damaged or loosened.  |   | Р  |
| ng parts which could cause a hazard are   |   | Р  |
| t on representative accessible face(s) of   |   | Р  |
| nd the enclosure may be deformed to such  |   | Р  |
| n test (type test)  |   | Р  |
|   |   | Р  |
| ce test, 30N  |   | Р  |
| ce test, 250N   |   | Р  |
|   | a comply with 4.4.7.4/RD and 4.4.7.5/RD. is verification is impossible to perform, an roltage test (see 5.2.3.2/RD) shall be d between the considered circuits. ressibility test (type test) redection test (IP rating)(type test) ned IP rating of the enclosure shall be fhis test shall be performed as a type test closure of a PECS as specified in IEC of the enclosure classification. a integrity test (type test) rity tests apply to PECS, and also where e intended for operation without a further e in restricted access areas. After on of the integrity test, the PECS shall pass of 5.2.3.2/RD and 5.2.3.4/RD and shall be to confirm that: addation of any safety-relevant component CS has occurred. s have not become accessible (see D). res show no cracks or openings which ise a hazard. ces are not less than their minimum I values and other insulation is undamaged. have not been damaged or loosened. ng parts which could cause a hazard are rity tests shall be performed at the worst to on representative accessible face(s) of sure. S is not required to be operational after nd the enclosure may be deformed to such that its original IP rating is not maintained. n test (type test) | is verification is impossible to perform, an oltage test (see 5.2.3.2/RD) shall be detween the considered circuits. sesibility test (type test) rotection test (IP rating)(type test) IP65 red IP rating of the enclosure shall be This test shall be performed as a type test closure of a PECS as specified in IEC the enclosure classification. a integrity test (type test) integrity test, the PECS shall pass of 5.2.3.2/RD and 5.2.3.4/RD and shall be it to confirm that: adation of any safety-relevant component CS has occurred. s have not become accessible (see D). integrity test shall the insulation is undamaged. have not been damaged or loosened. ing parts which could cause a hazard are irity tests shall be performed at the worst it on representative accessible face(s) of sure. S is not required to be operational after it d the enclosure may be deformed to such that its original IP rating is not maintained. in test (type test) integrity test integrity test integrity test integrity test is not maintained. integrity test integrity test are accessible face(s) of sure. S is not required to be operational after it on representative accessible face(s) of sure. S is not required to be operational after it on representative accessible face(s) of sure. S is not required to be operational after it on test (type test) integrity test integr |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                  | The test is used to verify that the clearances and<br>solid insulation of components and of assembled<br>PECS has adequate dielectric strength to resist<br>temporary overvoltage conditions.   |                                       | Р |
|------------------|---|---------------------------------------|---|
| 5.2.3.4.2<br>/RD | Value and type of test voltage  | Refer to Table 4.4.7.10 /RD, 5.2.3/RD | Р |
|                  | The values of the test voltage for circuits connected<br>to mains supply are determined from column 2 or 3<br>of Table 26.<br>The voltage test shall be performed with a sinusoidal<br>voltage at 50 Hz or 60 Hz. If the circuit contains<br>capacitors the test may be performed with a d.c.<br>voltage of a value equal to the peak value of the<br>specified a.c. voltage.   |                                       | Ρ |
| 5.2.3.4.3<br>/RD | Performing the voltage test   |                                       | Р |
|                  | <ul> <li>a) Test (1) between accessible conductive part 8connected to earth) and each circuit sequentially (except DVC As circuits). Test voltage according to Table 26, or Table 27, column 2, corresponding to voltage of considered circuit under test.</li> <li>Test (2) between accessible surface (nonconductive or conductive but not connected to earth9 and each circuit sequentially (except DVC As circuits). Test voltage according to Table 26 or Table 27, column 3 (for type test) or column 2 (for routine test), corresponding to voltage of considered circuit under test.</li> </ul> |                                       | Ρ |
|                  | <ul> <li>b) Test between each considered circuit<br/>sequentially and the other adjacent circuits<br/>connected together. Test voltage according<br/>to Table 26 or Table 27, column 2,<br/>corresponding to voltage of considered<br/>circuit under test.</li> </ul>   |                                       | Ρ |
|                  | <ul> <li>c) Test between DVC As circuit and each<br/>adjacent circuit sequentially. Test voltage<br/>according to Table 26 or Table 27, column<br/>3 (for type test) or column 2 (for routine<br/>test), corresponding to the circuit with the<br/>higher voltage. Either the adjacent circuit<br/>or the DVC As circuit may be earthed for<br/>this test. It is necessary to test functional<br/>insulation between PELV and SELV<br/>circuits, but it is not necessary to test<br/>functional insulation between adjacent<br/>PELV or adjacent SELV circuits.</li> </ul>                              |                                       | Ρ |
| 5.2.3.4.4<br>/RD | Duration of the a.c. or d.c. voltage test   |                                       | Р |

http://www.tuv-sud.cn



|                  | The duration of the test shall be at least 60 s for the type test and 1 s for the routine test. The test voltage may be applied with increasing and/or decreasing ramp voltage but the full voltage shall be maintained for 60 s and 1 s respectively for type and routine tests.  |  | Ρ   |
|------------------|--|--|-----|
| 5.2.3.4.5<br>/RD | Verification of the a.c. or d.c. voltage test  |  | Ρ   |
|                  | The test is successfully passed if no electrical breakdown occurs during the test.   |  | Ρ   |
| 5.2.3.5/RD       | Partial discharge test (type test, sample test)  | Peak voltage across the<br>insulation does not exceed<br>750V/mm | N/A |
|                  | The partial discharge test shall confirm that the solid<br>insulation (see 4.4.7.8/RD) used in components and<br>subassemblies for protective separation of electrical<br>circuits remains partial-discharge-free within the<br>specified voltage range (see Table 28).  |  | N/A |
|                  | This test shall be performed as a type test and a sample test. It may be omitted for insulating materials which are not degraded by partial discharge, for example ceramics.   |  | N/A |
|                  | The partial discharge inception and extinction<br>voltage are influenced by climatic factors (e.g.<br>temperature and moisture), equipment self-heating,<br>and manufacturing tolerance. These influencing<br>variables can be significant under certain conditions<br>and shall therefore be taken into account during type<br>testing. |  |     |
| 5.2.3.6/RD       | Protective impedance test (type test and routine test)   | No protective impedance used                                     | N/A |
|                  | A type test shall be performed to verify that the<br>current through a protective impedance under<br>normal operating or single-fault conditions does not<br>exceed the values given in 4.4.3.4/RD. The test<br>shall be performed using the circuit of IEC<br>60990:1999, Figure 4.   |  | N/A |
|                  | NOTE IEC 60990 states that the use of a single<br>network for the measurement of a.c. combined with<br>d.c. has not been investigated, but no suggestion is<br>made for measurement in such cases.   |  |     |
|                  | The value of the protective impedance shall be verified as a routine test.   |  |     |
| 5.2.3.7/RD       | Touch current measurement test (type test)   | Not connected to external<br>earthing supply system              | N/A |

http://www.tuv-sud.cn



|                             | The touch current shall be measured to determine if<br>the measures of protection need not be taken (see<br>4.4.4.3.3/RD). The PECS shall be set up in an<br>insulated state without any connection to the earth<br>and shall be operated at rated voltage. Under these<br>conditions, the touch current shall be measured<br>between the means of connection for the PE<br>conductor and the PE conductor itself with the test |   | N/A |
|-----------------------------|---|---|-----|
|                             | <ul> <li>For a PECS to be connected to an earthed neutral system, the neutral of the mains of the test site shall be directly connected to the protective earthing conductor.</li> </ul>  |   | N/A |
|                             | • For a PECS to be connected to an earthed neutral system, the neutral shall be connected through a resistance of 1 k $\Omega$ to the protective earthing conductor which shall be connected to each input phase in turn. The highest value will be taken as the definitive result.   |   | N/A |
|                             | • For a PECS to be connected to a corner earthed system, the protective earthing conductor shall be connected to each input phase in turn. The highest value will be taken as the definitive result.  |   | N/A |
|                             | • For a PECS with a particular earthing system, this system shall operate as intended during the test.  |   | N/A |
|                             | • If a PECS is intended to be connected to more<br>than one system network, each of these different<br>system networks (or the worst-case, if that can be<br>determined) shall be used to make the touch current<br>measurement.  |   | N/A |
| 5.2.3.8/RD                  | Capacitor discharge test (type test)  | No such capacitor used                    | N/A |
|                             | The capacitor discharge time as required by 4.4.3.4/RD may be verified by a type test and/or by calculation taking into account the relevant tolerances.  |   | N/A |
| 5.2.3.9                     | Limited power source test (type test)   | No limited power source for external port | N/A |
| 5.2.3.10<br>5.2.3.10<br>/RD | Temperature rise test (type test)   |   | Р   |
|                             | If possible the PECS must operate in the worst conditions of the rated power and the output current.  |   | Р   |
|                             | Equipment, in which the heating or cooling quantity<br>depends on the temperature, the temperature<br>measurement must be carried out under the most<br>unfavourable conditions of ambient temperature<br>within the range specified by the manufacturer.   |   | Р   |

http://www.tuv-sud.cn



|                   | The PECS shall be tested with at least 1,2 m of wire<br>attached to each field wiring terminal. The wire shall<br>be of the smallest size intended to be connected to<br>the PECS as specified by the manufacturer for<br>installation. When there is only provision for the<br>connection of bus-bars to the PECS, they shall be of<br>the minimum size intended to be connected to the<br>PECS as specified by the manufacturer, and they<br>shall be at least 1,2 m in length. | Ρ |
|-------------------|---|---|
|                   | The test shall be maintained until thermal stabilization has been reached. That is, when three successive readings, taken at intervals of 10 % of the previously elapsed duration of the test and not less than 10 min. intervals, indicate no change in temperature, defined as $\pm$ 1 °C between any of the three successive readings, with respect to the ambient temperature.  | Ρ |
|                   | The temperature of an electrical insulation (other<br>than that of windings) is measured on the surface of<br>the insulation at a point close to the heat source, if a<br>failure of this insulation could cause a hazard. If<br>temperatures of windings are measured by the<br>thermocouple method, the thermocouple shall be<br>located on the surface of the winding assuming the<br>hottest part due to surrounding heat emitting<br>components. See also notes in Table 14. | Ρ |
|                   | The maximum temperature attained shall be<br>corrected to the rated ambient temperature of the<br>PECS by adding the difference between the ambient<br>temperature during the test and the maximum rated<br>ambient temperature.  | Ρ |
|                   | No corrected temperature of the material or<br>component shall exceed the temperature in Table<br>14 in IEC 62477-1: 2012 or Table 103 as applicable.   | Ρ |
|                   | During the test, thermal cut-out, overload detection functions and devices shall not operate.   | Р |
| 5.2.3.11<br>/RD   | Protective equipotential bonding tests (type tests and routine test)  | Р |
| 5.2.3.11.1<br>/RD | General   | Р |
|                   |   |   |

http://www.tuv-sud.cn

|                     | Each conductive accessible part under<br>consideration shall be tested separately, to<br>determine if the protective equipotential bonding<br>path for that part is adequate to withstand the test<br>current that the bonding path may be subjected to<br>under fault conditions.             |                            | Ρ   |
|---------------------|--|----------------------------|-----|
|                     | The circuit under consideration shall be selected<br>from amongst those circuits adjacent to the<br>accessible part under consideration and separated<br>from it by only basic or functional insulation.   |                            |     |
|                     | All of these selected circuits have to be analysed regarding prospective short circuit current and the associated protective element(s):   |                            |     |
|                     | - If the circuit under consideration exceeds the 5<br>s disconnection time requirement of IEC 60364-<br>4-41, the protective equipotential bonding<br>impedance test of 5.2.3.11.2/RD and the<br>protective equipotential bonding short circuit test<br>of 5.2.3.11.3/RD have to be performed. |                            |     |
|                     | <ul> <li>If the circuit under consideration meets the 5 s disconnection time requirement of IEC 60364-4-41, the protective equipotential bonding short circuit test of 5.2.3.11.3/RD has to be performed.</li> </ul>   |                            |     |
|                     | - If the circuit under consideration meets the disconnection time requirement of IEC 60364-4-41:2005, Table 41.1, as applicable, depending on the earthing system of the installation, no type test is required.   |                            |     |
|                     | For pluggable equipment type A only the protective equipotential bonding impedance test of 5.2.3.11.2/RD have to be performed.   | Pluggable equipment type B | N/A |
| 5.2.3.11.2<br>/RD   | Protective equipotential bonding impedance test  |                            | Р   |
| 5.2.3.11.2.<br>1/RD | Test conditions  |                            | Р   |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

|                     | <ul> <li>Where required by 4.4.4.2.2/RD and 5.2.3.11.2.1/RD, the impedance of protective equipotential bonding means shall be checked by passing a test current through the bond for a period of time. The test current is based on the rating of the overcurrent protection for the equipment or part of the equipment under consideration, as follows:</li> <li>for pluggable equipment type A, the overcurrent protective device is that provided external to the equipment (for example, in the building wiring, in the mains plug or in an equipment rack);</li> <li>for pluggable equipment type B and permanently connected equipment, the maximum rating of the overcurrent protective device specified in the equipment installation instructions to be provided external to the equipment;</li> <li>the rating of the provided overcurrent device for a circuit or part of the equipment for which an</li> </ul> |   | Ρ   |
|---------------------|--|---|-----|
|                     | overcurrent protective device is provided as part of the equipment.  |   |     |
| 5.2.3.11.2.<br>2/RD | Test current, duration and acceptance criteria   |   | Р   |
|                     | a) For PECS with an overcurrent protective device rating of 16 A or less, this test may be omitted, if an impedance not exceeding 0,1 $\Omega$ can be demonstrated.  |   | N/A |
|                     | b) As an alternative to Table 29, where the time-<br>current characteristic of the overcurrent protective<br>device that limits the fault current in the protective<br>equipotential bonding means is known because the<br>device is either provided in the equipment or fully<br>specified in the installation instructions, the test<br>duration may be based on that specific device's<br>time-current characteristic. The tests are conducted<br>for a duration corresponding to the 200 % current<br>value on the time-current characteristic.  |   | Ρ   |
|                     | c) For PECS with an overcurrent protective device<br>rating of more than 460 A, calculations or<br>simulations according to IEC 60949 shall be used to<br>show the ability of the prospective short circuit<br>current to fulfil the requirements. The protective<br>equipotential bonding continuity routine test of<br>5.2.3.11.4/RD shall be performed to show that the<br>impedance of the protective equipotential bonding<br>means during and at the end of the test shall not<br>exceed the expected value.   |   | N/A |
|                     | Acceptance criteria: The test current is 200 % of the overcurrent protective device rating and the duration of the test is as shown in Table 29. The voltage drop in the protective equipotential bonding means, during and at the end of the test, shall not exceed DVC As, as determined from Table 2 and Table 5 with respect to the accessible surface of the enclosure.   | 31.1mΩ*50A=1.56V, It is less than DVC A2 limit. | Ρ   |

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUD



|                       | After the tests, visual inspection shall show no damage to the protective equipotential bonding means.  |                                    | Р   |
|-----------------------|---|------------------------------------|-----|
| 5.2.3.11.3<br>/RD     | Protective equipotential bonding short circuit withstand test (type test)   | Test under fault condition         | Р   |
|                       | As required by 5.2.3.11.2.1/RD, the short circuit test<br>in 5.2.4.3/RD shall be performed to ensure that<br>protective bonding has the ability to withstand the<br>prospective short circuit current that it may be<br>subjected to under fault conditions.  |                                    | Р   |
|                       | The testing shall include an individual test of the<br>protective bonding path for each conductive<br>accessible part unless analysis shows that the short<br>circuit withstand capability of the path is adequate,<br>or that the results of one combination are<br>representative of the anticipated results of another<br>combination. |                                    | Ρ   |
| 5.2.3.11.4<br>/RD     | Protective equipotential bonding continuity test (routine test)   | Type test only                     | N/A |
| 5.2.3.101             | Backfeed protection test (type test)  | Not connected to multiple sources  | N/A |
| 5.2.3.101.1           | General   |                                    | N/A |
| 5.2.3.101.2           | Test for pluggable UPS  |                                    | N/A |
| 5.2.3.101.3           | Test for permanently connected UPS  |                                    | N/A |
| 5.2.3.101.4           | Method to simulate the load-induced change of reference potential for pluggable UPS   |                                    | N/A |
| 5.2.3.101.5           | Solid-state backfeed protection   |                                    | N/A |
| 5.2.3.102             | Input current test  | Not connected to AC mains directly | N/A |
| 5.2.3.103             | Short-time withstand current test (type test)   |                                    | N/A |
| 5.2.3.103.1           | General procedure   |                                    | N/A |
| 5.2.3.103.2           | Input port rated conditional short-circuit current  |                                    | N/A |
| 5.2.3.103.3           | Input port short-time withstand current rating  |                                    | N/A |
| 5.2.3.103.4           | Exemption from testing  |                                    | N/A |
| 5.2.3.104             | Transformer protection test   | No transformer used                | N/A |
| 5.2.3.105             | Unsynchronized load transfer test   | Not intend for load transfer       | N/A |
| 5.2.3.105.1           | General   |                                    | N/A |
| 5.2.3.105.2           | Phase displacement  |                                    | N/A |
| 5.2.4/RD              | Abnormal operation and simulated fault tests  |                                    | Р   |
| 5.2.4.1<br>5.2.4.1/RD | General   | See table 4.2/RD and 4.3/RD        | Р   |
| 5.2.4.2/RD            | Pass criteria   |                                    | Р   |
|                       |   |                                    |     |

http://www.tuv-sud.cn

|                  |   |  | SUD |
|------------------|---|--|-----|
|                  | As a result of the abnormal operation tests, the PECS shall comply with the following:  |  | Р   |
|                  | <ul> <li>there shall be no emission of flame, burning<br/>particles or molten metal;</li> </ul>   |  |     |
|                  | <ul> <li>the surgical cotton indicator shall not have<br/>ignited;</li> </ul>   |  |     |
|                  | <ul> <li>the earth connection and protective bonding of<br/>the PECS shall not have opened;</li> </ul>  |  |     |
|                  | <ul> <li>doors and cover shall remain in place;</li> <li>during and after the test, accessible DVC As, SELV and PELV circuits and accessible conductive parts shall not exhibit voltages greater than the time dependent voltages of Figure 1, Figure 2 or Figure 3, as appropriate and shall be separated from live parts at voltages greater than DVC As with at least basic insulation. Compliance shall be checked by the a.c./d.c. insulation test of 5.2.3.4/RD for basic insulation;</li> <li>during and after the test, live parts at voltages</li> </ul> |  |     |
|                  | greater than DVC As shall not become accessible.  |  |     |
|                  | The PECS is not required to be operational after<br>testing and it is possible that the enclosure can<br>become deformed. Overcurrent protection integral to<br>the PECS, or required to be used with the PECS, is<br>allowed to open.  |  | Р   |
| 5.2.4.3/RD       | Protective equipotential bonding short circuit withstand test (type test)   | Test with actual output short-<br>circuit current and duration | Р   |
| 5.2.4.3.1<br>/RD | General   |  | Р   |
|                  | When required by 5.2.3.11.2.1/RD, a protective bonding path shall be subjected to the following short-circuit withstand test.   |  | Р   |
| 5.2.4.3.2<br>/RD | Test conditions   |  | Р   |
|                  | The equipment under test shall be supplied with<br>power and the output port shall be operating as<br>intended in 5.2.4.1/RD prior to closing the switching<br>means that applied will be more severe.  |  | Р   |
|                  | The protective bonding short circuit test shall be<br>performed with the PECS working with light load,<br>unless analysis shows that higher short circuit<br>currents are available under higher loading<br>conditions.   |  | Р   |
|                  | A new sample may be used for each short-circuit test.   |  | Р   |
| 5.2.4.3.3<br>/RD | Protective equipotential bonding short circuit test method  |  | Р   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| 5.2.4.3.4<br>/RD | Pass criteria  |   | Р |
|------------------|--|---|---|
| 5.2.4.4/RD       | Output short-circuit test (type test)  |   | Р |
| 5.2.4.4.1<br>/RD | Load condition   |   | Р |
|                  | The short circuit test shall be performed with the PECS at full load or light load whichever creates the more severe condition.  |   | Р |
| 5.2.4.4.2<br>/RD | Short-circuit test method  | The output short circuit peak value is 1440A, 1.77ms.               | Р |
|                  | In addition to determining compliance with the criteria of 5.2.4.2/RD, this test is used to determine the output short circuit current rating of the port under consideration, in accordance with 4.3.2.3/RD. An oscilloscope or other suitable instrument shall be used to measure the peak current during the test, and to measure or calculate the r.m.s. value of the current. |   | Ρ |
|                  | The value(s) to be recorded and to be provided with<br>the PECS instructions, in accordance with 6.2, are<br>the peak current, and the highest of the r.m.s.<br>current values measured or calculated over a time<br>period as follows:  | With internal short circuit protection according to 4.3.2.3/RD used | Ρ |
|                  | a) for a.c. signals, three cycles of the nominal a.c. frequency for the port under consideration, in which case the value is to be stated as the 3-cycle r.m.s. value;   |   |   |
|                  | b) for all signals, the duration of the short circuit from<br>the time the short circuit is applied, until the time the<br>short circuit current is interrupted by a protective<br>device or other mechanism, in which case the value<br>stated is to include the r.m.s. value and the time<br>period in seconds;  |   |   |
|                  | c) for short circuit tests that result in a continuous<br>non-zero value, the steady-state r.m.s. value, in<br>which case the value is to be stated as a continuous<br>r.m.s value.  |   |   |
|                  | For PECS with internal short circuit protection according to $4.3.2.3$ /RD, which protects the output port within some few $\mu$ s, the requirements in a), b) and c) are not applicable.  |   |   |
| 5.2.4.5/RD       | Output overload test (type test)   | Considered in the report of the standard IEC 62619                  | Р |
| 5.2.4.6/RD       | Breakdown of components test (type test)   |   | Р |
| 5.2.4.6.1<br>/RD | Load conditions  | See table 4.2/RD and 4.3/RD   | Р |
|                  | The breakdown of a component, identified as a result of the circuit analysis of 4.2/RD, shall be tested with the PECS at full load or light load whichever creates the more severe condition.  |   | Ρ |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| 5.2.4.6.2<br>/RD | Application of short circuit or open-circuit   |  | Р   |
|------------------|--|--|-----|
|                  | The short circuit shall be applied with cable of a cross-section appropriate for the current that normally flows through the component, but no less than 2.5 mm <sup>2</sup> . The length of the loop shall be as short as practical to perform the test. Short circuits and open circuits are applied using an appropriate switching device.            |  | Р   |
|                  | Each identified component shall be subjected to only<br>one breakdown of components test unless both<br>open- and short-circuit failure modes are likely in<br>that component.   |  | Р   |
| 5.2.4.6.3<br>/RD | Test sequence  |  | Р   |
|                  | For the Breakdown of components test, identified<br>components shall be short-circuited or open-<br>circuited, whichever creates the worst hazard, one<br>at a time.   |  | P   |
| 5.2.4.7/RD       | PWB short circuit test (type test)   |  | N/A |
|                  | On PWBs, functional insulation provided by spacings which are less than those specified in Table 10 and Table 11 (see 4.4.7.7/RD) shall be type tested as described below.   | Spacing for functional<br>insulation not less than<br>required value | N/A |
|                  | The decreased spacings shall be short-circuited one<br>at a time, on representative samples, and the short-<br>circuit shall be maintained until no further damage<br>occurs.  |  | N/A |
| 5.2.4.8/RD       | Loss of phase test (type test)   | Not connected to AC mains  | N/A |
|                  | A multi-phase PECS shall be operated with each<br>line (including neutral, if used) disconnected in turn<br>at the input. The test shall be performed by<br>disconnecting one line with the power conversion<br>equipment operating at its maximum normal load<br>and shall be repeated by initially energizing the<br>device with on lead disconnected. |  | N/A |
|                  | The test shall continue until terminated by a protective mechanism, a component failure occurs, or the temperature stabilizes.   |  | N/A |
|                  | This particular requirement may be simulated for PECS with rated input current greater than 500 A.   |  | N/A |
| 5.2.4.9/RD       | Cooling failure tests (type tests)   | Nature cooling   | N/A |
| 5.2.4.9.1<br>/RD | General and pass criteria  |  | N/A |

http://www.tuv-sud.cn



|                  | For PECS having a combination of cooling<br>mechanisms, all relevant tests shall be performed. It<br>is not necessary to perform the tests simultaneously.<br>The test shall continue,<br>- until the temperature stabilizes, in which case the<br>temperature limits of 4.6.4.2/RD apply;<br>or<br>- until terminated by a protective mechanism or a<br>component failure occurs, in which case the<br>temperature limits of 4.6.4.2/RD may be exceeded<br>by not more than 5°C. If this is not possible a<br>warning statement shall be provided in the user<br>documentation. |   | N/A |
|------------------|--|---|-----|
|                  | NOTE The temperature increase of 5 °C with regard<br>to the steady state limits reflect the spread of the<br>burn threshold given in IEC Guide 117.  |   | N/A |
| 5.2.4.9.2<br>/RD | Inoperative blower motor test  | No blower motor used                            | N/A |
|                  | A PECS having forced ventilation shall be operated<br>at rated load with fan or blower motor or motors<br>made inoperative, singly or in combination from a<br>single fault, by physically preventing their rotation.  |   | N/A |
| 5.2.4.9.3<br>/RD | Clogged filter test  | No filter used                                  | N/A |
|                  | Enclosed PECS having filtered ventilation openings<br>shall be operated with the openings blocked to<br>represent clogged filters. The test shall be<br>performed initially with the ventilation openings<br>blocked 50 %. The test shall be repeated under full<br>blocked condition.   |   | N/A |
| 5.2.4.9.4<br>/RD | Loss of coolant test   | No coolant used                                 | N/A |
|                  | A liquid cooled PECS shall be operated at rated<br>load. Loss of coolant shall be simulated by draining<br>the coolant, blocking the flow or disabling the<br>system coolant pump.   |   | N/A |
|                  | If the PECS is shut down due to the operation of a thermal device located inside the coolant, then the test shall be repeated with the coolant drained out of the system.  |   | N/A |
|                  | NOTE: It is presumed that the thermal device will be inoperative if not surrounded by coolant liquid.  |   | N/A |
| 5.2.5/RD         | Material tests   | Material date check in UL card instead of tests | Ρ   |
| 5.2.5.1/RD       | General  |   | Р   |

http://www.tuv-sud.cn



|                   | When requested by 4.4.7.8.2/RD, the manufacturer shall test the flammability properties of the materials used for insulating purposes, as defined in 5.2.5.2/RD, 5.2.5.3/RD and 5.2.5.4/RD.   | Ρ   |
|-------------------|---|-----|
|                   | When requested by 4.6.3.2/RD the manufacturer shall test the flammability properties of the materials used for fire enclosure, as defined in 5.2.5.5/RD   |     |
| 5.2.5.2/RD        | High current arcing ignition test (type test)   | N/A |
| 5.2.5.3/RD        | Glow-wire test (type test)  | N/A |
|                   | The glow-wire test shall be made under the conditions specified in 4.4.7.8.2/RD according to IEC 60695-2-10 and IEC 60695-2-13.   | N/A |
| 5.2.5.4/RD        | Hot wire ignition test (type test – alternative to glow-<br>wire test)  | N/A |
| 5.2.5.5/RD        | Flammability test (type test)   | N/A |
| 5.2.5.6/RD        | Flaming oil test (type test)  | N/A |
| 5.2.5.7/RD        | Cemented joints test (type test)  | N/A |
|                   | When required by 4.4.7.9/RD representative<br>samples of cemented joints providing protection of<br>type 1 or type 2 as defined in IEC 60664-3:2003<br>shall be tested as a type test as follows.<br>The samples shall be subjected to the conditioning<br>procedure specified in 5.7 of IEC 60664-3:2003,<br>using the following parameters: for the cold test<br>(5.7.1/RD), a temperature of -25 °C shall be used,<br>and for the rapid change of temperature test<br>(5.7.3/RD): -25 °C to +125 °C. | N/A |
|                   | After the conditioning the samples shall pass the following tests in the prescribed order:  |     |
|                   | <ul> <li>a) The mechanical strength of the joint shall be<br/>evaluated by loading the joint using the forces<br/>anticipated to be present under normal<br/>conditions. There shall be no separation of the<br/>parts.</li> </ul>  |     |
|                   | b) The insulation resistance between the conductive parts separated by the joint shall be measured according to 5.8.3 of IEC 60664-3:2003.  |     |
|                   | c) Cemented joints shall be treated as to be thin<br>sheet material and shall be tested according<br>4.4.7.8.3/RD   |     |
|                   | <ul> <li>d) The sectioning of the joint shall not show any<br/>cracks, voids or separation.</li> </ul>  |     |
| 5.2.6<br>5.2.6/RD | Environmental tests (type tests)  | Р   |
| 5.2.6.1/RD        | General   | Р   |

http://www.tuv-sud.cn



|                  | Compliance is shown by conducting test of 5.2.6.3/RD, 5.2.6.4/RD, 5.2.6.5/RD and 5.2.6.6/RD according to Table 30 as applicable for the environmental conditions specified by the manufacture.                                 |   | Ρ   |
|------------------|--|---|-----|
| 5.2.6.2/RD       | Acceptance criteria  |   | Р   |
|                  | The following acceptance criteria shall be satisfied:  |   | Р   |
|                  | <ul> <li>no degradation of any safety-relevant<br/>component of the PECS;</li> </ul>   |   |     |
|                  | <ul> <li>no potentially hazardous behaviour of the<br/>PECS during the test;</li> </ul>  |   |     |
|                  | - no sign of component overheating;  |   |     |
|                  | - no live part shall become accessible;  |   |     |
|                  | <ul> <li>no cracks in the enclosure and no damaged or<br/>loose insulators;</li> </ul>   |   |     |
|                  | <ul> <li>pass routine a.c. or d.c. voltage test<br/>5.2.3.4/RD;</li> </ul>   |   |     |
|                  | - pass protective bonding test 5.2.3.11.2/RD;  |   |     |
|                  | <ul> <li>no potentially hazardous behaviour when the<br/>PECS is operated following the test.</li> </ul>   |   |     |
| 5.2.6.3/RD       | Climatic tests   |   | Р   |
| 5.2.6.3.1<br>/RD | Dry heat test (steady state)   |   | Р   |
|                  | To prove the ability of components and equipment to<br>be operated, transported or stored at high<br>temperatures the dry heat (steady state) test shall<br>be performed according to the conditions specified<br>in Table 31. |   | Ρ   |
| 5.2.6.3.2<br>/RD | Damp heat test (steady state)  |   | Р   |
|                  | To prove the resistance to humidity, the PECS shall<br>be subjected to a Damp heat test (steady state)<br>according to Table 32.   |   | Ρ   |
| 5.2.6.4          | Vibration test (type test)   |   | Р   |
| 5.2.6.5          | Salt mist test (type test)   |   | N/A |
| 5.2.6.6          | Dust and sand test (type test)   | Environment not specified by manufacturer | N/A |
| 5.2.7/RD         | Hydrostatic pressure test (type test and routine test)   | Not liquid cooling type, no tube          | N/A |
|                  |  |   |     |

http://www.tuv-sud.cn

| For type tests, the pressure inside the cooling<br>system of a liquid cooled PECS (see 4.7.2.3.3/RD)<br>shall be increased at a gradual rate until a pressure<br>relief mechanism (if provided) operates, or until a<br>pressure of twice the operating value or 1,5 times<br>the maximum pressure rating of the system is<br>achieved, whichever is the greater. |  |
|---|--|
| NOTE: for the purpose of this test the coolant pump may be disabled.  |  |
| For routine tests, the pressure shall be increased to the maximum pressure rating of the system.  |  |
| The pressure shall be maintained for at least one minute.   |  |
| There shall be no thermal, shock, or other hazard<br>resulting from the test. There shall be no significant<br>leakage of coolant or loss of pressure during the<br>test, other than from a pressure relief mechanism<br>during a type test.  |  |
| After the hydrostatic pressure type test the PECS shall pass the a.c. or d.c. voltage test 5.2.3.4/RD.  |  |

| 6        | Information and marking requirements  |                          | Р |
|----------|---|--------------------------|---|
| 6.1      | General   | al                       | Р |
| 6.1.101  | Durability  |                          | Р |
| 6.1.102  | Removable parts   |                          | Р |
| 6.2      | Information for selection   |                          | Р |
| 6.3      | Information for installation and commissioning  | Refer to the user manual | Р |
| 6.3.1/RD | General   |                          | Р |
| 6.3.2/RD | Mechanical considerations   |                          | Р |
|          | The following drawings shall be prepared by the manufacturer:   |                          | Р |
|          | - Dimensional drawing, including mass<br>information  |                          |   |
|          | - Mounting drawing  |                          |   |
| 6.3.3/RD | Environment   |                          | Р |
|          | In accordance with 4.9/RD the following<br>environmental conditions shall be specified, for<br>operation, transportation and storage: |                          | Р |
|          | Climatic (temperature, humidity, altitude, pollution, ultra-violet light, etc.)   |                          | Р |
|          | Mechanical (vibration, shock, drop, topple, etc.)   |                          | Р |
|          | Electrical (overvoltage category)   |                          | Р |
| 6.3.4/RD | Handling and mounting   |                          | Р |



|            | In order to prevent injury or damage, the installation documents shall include warnings of any hazards which can be experienced during installation. Where necessary, instructions shall be provided for:   |                      | Р   |
|------------|---|----------------------|-----|
|            | - packing and unpacking;  |                      |     |
|            | - moving;   |                      |     |
|            | - lifting;  |                      |     |
|            | <ul> <li>strength and rigidity of mounting surface;</li> </ul>  |                      |     |
|            | - fastening;  |                      |     |
|            | <ul> <li>provision of adequate access for operation,<br/>adjustment and maintenance.</li> </ul>   |                      |     |
| 6.3.5/RD   | Enclosure temperature   |                      | Р   |
|            | When surface temperatures of the PECS, close to mounting surfaces, exceed the limit of 4.6.4.2/RD, the installation manual shall contain a warning to consider the combustibility of the mounting surface.  | Not exceed the limit | N/A |
|            | Where required by 4.6.3.1/RD, the following marking shall appear on the PECS and in the installation instructions: "suitable for mounting on concrete or other non-combustible surfaces only".  |                      | N/A |
| 6.3.6/RD   | Connections   |                      | Р   |
| 6.3.6.1/RD | General   |                      | Р   |
|            | Information shall be provided to enable the installer<br>to make safe electrical connection to the PECS. This<br>shall include information for protection against<br>hazards (for example, electric shock or availability of<br>energy) that may be encountered during installation,<br>operation or maintenance. |                      | Ρ   |
| 6.3.6.2/RD | Interconnection and wiring diagrams   |                      | Р   |
|            | The installation and maintenance manuals shall include details of all necessary connections, together with a suggested interconnection diagram.   |                      | Р   |
| 6.3.6.3/RD | Conductor (cable)selection  |                      | Р   |
|            | The Installation manual shall define the voltage and<br>current levels for all connections to the PECS,<br>together with cable insulation requirements. These<br>shall be worst-case values, taking into account<br>overcurrent and overload conditions and the<br>possible effects of non-sinusoidal currents.   |                      | Ρ   |
| 6.3.6.4/RD | Terminal capacity and identification  |                      | Р   |
|            | The installation and maintenance manuals shall<br>indicate the range of acceptable conductor sizes<br>and types (solid or stranded) for all terminals, and<br>also the maximum number of conductors which can<br>simultaneously be connected.   |                      | Р   |
|            | For field wiring terminals, the manuals shall specify<br>the requirements for tightening torque values and<br>also the insulation temperature rating requirements<br>for the conductor or cable.  |                      | Ρ   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                  | The identification of all field wiring terminals shall be<br>marked on the PECS, either directly or by label<br>attached close to the terminals.   |  | Р   |
|------------------|--|--|-----|
|                  | The installation and maintenance manuals shall identify all external terminals relating to circuits protected by one of the methods of 4.4.6.4/RD.   |  | Р   |
| 6.3.7/RD         | Protection requirements  |  | Р   |
| 6.3.7.1/RD       | Accessible parts and circuits  |  | Р   |
|                  | The installation, users and maintenance manuals<br>shall identify any accessible parts at voltages<br>greater than DVC As, and shall describe the<br>insulation and separation provisions required for<br>protection.  |  | Р   |
|                  | The manuals shall also indicate the precautions to be taken to ensure that the safety of DVC As connections maintained during installation.  |  | Р   |
|                  | Where a hazard is present after the removal of a cover, a warning label shall be placed on the equipment. The label shall be visible before the cover is removed.  |  | Р   |
|                  | The manual of a PECS shall state the maximum voltage allowed to be connected to each port.   |  | Р   |
|                  | The manuals shall provide instructions for the use of PELV circuits within a zone of equipotential bonding.  | No PELV circuits used                                  | N/A |
| 6.3.7.2/RD       | Type of electrical supply system   | Not connected to AC power distribution system directly | N/A |
|                  | The installation manual or the PECS shall specify requirements for safe earthing including the permitted earthing system of the installation (see 4.4.7.1.4/RD)  |  | N/A |
|                  | The unacceptable earthing systems shall be<br>indicated as:<br>- not permitted; or<br>- with modification of values and/or safety levels<br>which shall be quantified through type test.                               |  | N/A |
| 6.3.7.3/RD       | Protective class   |  | Р   |
| 6.3.7.3.1<br>/RD | General  |  | Р   |
|                  | The installation manual of the PECS shall declare<br>the protective class specified for the PECS and the<br>product shall be marked according to the<br>requirement of 6.3.7.3.2/RD, 6.3.7.3.3/RD, and<br>6.3.7.3.4/RD |  | Р   |
| 6.3.7.3.2<br>/RD | Protective class I equipment   |  | Р   |
|                  | Terminals for connection of the PE conductor shall<br>be clearly and indelibly marked with one or more of<br>the following:  |  | Р   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                  | The symbol IEC 60417-5019 (2011-01)  |                                    | Р   |
|------------------|--|------------------------------------|-----|
|                  | With the letters PE  |                                    | N/A |
|                  | The colour coding green or green-yellow  |                                    | Р   |
| 6.3.7.3.3<br>/RD | Protective class II equipment  | Class I equipment                  | N/A |
|                  | Equipment of protective class II shall be marked with<br>symbol IEC 60417-5172 (2011-01) (see Annex C).<br>Where such equipment has provision for the<br>connection of an earthing conductor for functional<br>reasons (see 4.4.6.3/RD) it shall be marked with<br>symbol IEC 60417-5018 (2011-01) (see Annex C).  |                                    | N/A |
| 6.3.7.3.4<br>/RD | Protective class III equipment   | Class I equipment                  | N/A |
|                  | No marking is required on the product.   |                                    | N/A |
| 6.3.7.4/RD       | Touch current marking  |                                    | N/A |
|                  | Where the touch current in the PE conductor<br>exceeds the limits given in 4.4.4.3.3/RD, this shall<br>be stated in the installation and maintenance<br>manuals. In addition, a warning symbol ISO 7010-<br>W001 (2011-06) (see Annex C) shall be placed on<br>the product, and a notice shall be provided in the<br>installation manual to instruct the user that the<br>minimum size of the PE conductor shall comply with<br>the local safety regulations for high PE conductor<br>current equipment.   | Touch current not exceed the limit | N/A |
| 6.3.7.5/RD       | Compatibility with RCD marking   | No RCD used                        | N/A |
|                  | The installation and maintenance manuals shall<br>indicate compatibility with RCDs (see 4.4.8/RD).<br>When 4.4.8/RD b) applies, a caution notice and the<br>symbol ISO 7010-W001 (2011-06) (see Annex C)<br>shall be provided in the user manual, and the<br>symbol shall be placed on the product. The caution<br>notice shall be the following or equivalent: "This<br>product can cause a d.c. current in the PE<br>conductor. Where a residual current-operated<br>protective device (RCD) is used for protection<br>against electrical shock, only an RCD of Type B is<br>allowed on the supply side of this product." (See<br>6.4.3/RD for general requirements for labels, signs<br>and signals.) |                                    | N/A |
| 6.3.7.6/RD       | Cable and connection   |                                    | Р   |
|                  | Any particular cable and connection requirements shall be identified in the installation and maintenance manuals.  |                                    | Р   |
| 6.3.7.7/RD       | External protection devices  |                                    | N/A |
|                  | Where external devices are necessary to protect against hazards, the installation manual shall specify the required characteristics (see also 5.2.4/RD and 4.3.2.1/RD)   |                                    | N/A |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



|                   | Τ   | Γ                        |     |
|-------------------|---|--------------------------|-----|
| 6.3.8/RD          | Commissioning   |                          | Р   |
|                   | If commissioning tests are necessary to ensure the<br>electrical and thermal safety of a PECS, information<br>to support these tests shall be provided for each part<br>of the PECS. This information can depend on the<br>specific installation, and close cooperation between<br>manufacturer, installer, and user can be required.                 |                          | P   |
|                   | Commissioning information shall include references<br>to hazards that might be encountered during<br>commissioning, for example those mentioned in<br>6.4/RD and 6.5/RD.  |                          |     |
| 6.3.101           | Guidance on UPS installation  | Not complete UPS product | N/A |
| 6.4               | Information for use   |                          | Р   |
| 6.4.1/RD          | General   |                          | Р   |
|                   | The user's manual shall include all information regarding the safe operation of the PECS. In particular, it shall identify any hazardous materials and risks of electrical shock, overheating, misuse of the PECS.  |                          | Р   |
|                   | The manual should also indicate any hazards which<br>can result from reasonably foreseeable misuse of<br>the PECS.  |                          | Р   |
| 6.4.2/RD          | Adjustment  |                          | Р   |
|                   | The user's manual shall give details of all safety-<br>relevant adjustments intended for the user. The<br>identification or function of each control or indicating<br>device and fuse shall be marked adjacent to the<br>item. Where it is not possible to do this on the<br>product, the information shall be provided pictorially<br>in the manual. |                          | Ρ   |
|                   | Maintenance adjustments may also be described in<br>this manual, but shall be made clear that they<br>should only be made by qualified personnel.   |                          | Р   |
|                   | Clear warnings shall be provided where excessive adjustment could lead to a hazardous state of the PECS.  |                          | Р   |
|                   | Any special equipment necessary for making adjustments shall be specified and described.  |                          | Р   |
| 6.4.3<br>6.4.3/RD | Labels, signs and signals   |                          | Р   |
| 6.4.3.1/RD        | General   |                          | Р   |

http://www.tuv-sud.cn



|            | Labelling shall be in accordance with good<br>ergonomic principles so that notices, controls,<br>indications, test facilities, fuses, etc., are sensibly<br>placed and logically grouped to facilitate correct and<br>unambiguous identification.              |           | Р |
|------------|--|-----------|---|
|            | All safety related equipment labels shall be located<br>so as to be visible after installation or readily visible<br>by opening a door or removing a cover.  |           |   |
|            | Where a symbol is used, the information provided with the PECS shall contain an explanation of the symbol and its meaning.   |           |   |
|            | Labels shall:  |           | Р |
|            | • wherever possible, use international symbols as given by ISO 3864-1, ISO 7000 or IEC 60417;  |           |   |
|            | • if no international symbol is available, be worded in<br>an appropriate language or in a language<br>associated with a particular technical field;   |           |   |
|            | <ul> <li>be concise and unambiguous;</li> </ul>  |           |   |
|            | • be conspicuous, legible and durable;   |           |   |
|            | <ul> <li>state the hazards involved and give ways in which<br/>risks can be reduced.</li> </ul>  |           |   |
|            | When instructing the person(s) concerned as to   |           | Р |
|            | <ul> <li>what to avoid: the wording should include "no", "do<br/>not", or "prohibited";</li> </ul>   |           |   |
|            | <ul> <li>what to do: the wording should include "shall", or<br/>"must";</li> </ul>   |           |   |
|            | <ul> <li>the nature of the hazard: the wording should<br/>include "caution", "warning", or "danger", as<br/>appropriate;</li> </ul>  |           |   |
|            | <ul> <li>the nature of safe conditions: the wording should<br/>include the noun appropriate to the safety device.</li> </ul>   |           |   |
|            | Safety signs shall comply with ISO 3864-1.   |           | Р |
|            | The signal words indicated hereinafter shall be used and the following hierarchy respected:  |           | Р |
|            | <ul> <li>DANGER to call attention to a high risk, for<br/>example: "High voltage".</li> </ul>  |           |   |
|            | <ul> <li>WARNING to call attention to a medium risk, for<br/>example: "This surface can be hot."</li> </ul>  |           |   |
|            | • CAUTION to call attention to a low risk, for<br>example: "Some of the tests specified in this<br>standard involve the use of processes imposing<br>risks on persons concerned."  |           |   |
|            | Danger, warning and caution markings on the PECS<br>shall be prefixed with the word "DANGER",<br>"WARNING", or "CAUTION" as appropriate in letters<br>not less than 3,2 mm high. The remaining letters of<br>such markings shall be not less than 1,6 mm high. |           |   |
| 6.4.3.2/RD | Isolators  | DC Switch | Р |

http://www.tuv-sud.cn



|            | Where an isolating device is not intended to interrupt<br>load current, a warning shall state:<br>DO NOT OPEN UNDER LOAD.   |  | N/A |
|------------|---|--|-----|
|            | The following requirements apply to any supply isolating device which does not disconnect all sources of power to the PECS.   |  | N/A |
|            | If the isolating device is mounted in an equipment<br>enclosure with the operating handle externally<br>operable, a warning label shall be provided adjacent<br>to the operating handle starting that it does not<br>disconnect all power to the PECS.                                  |  | N/A |
|            | Where a control circuit disconnector can be<br>confused with power circuit disconnectors due to<br>size or location, a warning label shall be provided<br>adjacent to the operating handle of the control<br>disconnector stating that it does not disconnect all<br>power to the PECS. |  | N/A |
| 6.4.3.3/RD | Visual and audible signals  |  | Р   |
|            | Visual signals such as flashing lights, and addible<br>signals such as sirens, may be used to warn of an<br>impending hazardous event such as the driven<br>equipment start-up and shall be identified.   | A power light for indicate<br>normal and abnormal status,<br>see user manual for details | P   |
|            | It is essential that these signals:   |  |     |
|            | - are unambiguous;  |  |     |
|            | <ul> <li>can be clearly perceived and differentiated<br/>from all other signals used;</li> </ul>  |  |     |
|            | <ul> <li>can be clearly recognized by the user;</li> </ul>  |  |     |
|            | <ul> <li>are emitted before the occurrence of the<br/>hazardous event.</li> </ul>   |  |     |
|            | It is recommended that higher frequency flashing lights be used for information.  |  |     |
|            | Note: IEC 60073 provides guidance on recommended flashing rates and on/off ratios.  |  |     |
| 6.4.3.4/RD | Hot surfaces  |  | N/A |
|            | Where required by 4.6.4.2/RD the warning symbol W017 of ISO 7010 shall be marked on or adjacent to parts exceeding the touch temperature limits of Table 15.  |  | N/A |
| 6.4.3.5/RD | Control and device marking  |  | Р   |

http://www.tuv-sud.cn

|             | The Identification of each control or indicating device<br>and fuse shall be marked adjacent to the item.<br>Replaceable fuses shall be marked with their rating<br>and time characteristics. Where it is not possible to<br>do this on the product, the information shall be<br>provided pictorially in the manual.<br>Appropriate identification shall be marked on or<br>adjacent to each movable connector.<br>Test points shall be individually marked with the<br>circuit diagram reference.<br>The polarity of any polarized devices shall be<br>marked adjacent to the device. |   | Ρ   |
|-------------|--|---|-----|
|             | The diagram reference and if possible the function<br>shall be marked adjacent to each pre-set control in a<br>position where it is clearly visible while the<br>adjustment is being made.   |   |     |
| 6.4.3.101   | Distribution-related backfeed  | Not multiple sources connected directly     | N/A |
| 6.4.3.102   | Protection in building installation  | Not connected to AC power system directly   | N/A |
| 6.4.3.102.1 | General  |   | N/A |
| 6.4.3.102.2 | Rated conditional short-circuit current (Icc)  |   | N/A |
| 6.4.3.102.3 | Prospective short-circuit current (Icp)  |   | N/A |
| 6.4.3.102.4 | Requirement for building installation  |   | N/A |
| 6.4.3.103   | Batteries installed within the UPS enclosure   | Batteries use separated enclosure installed | N/A |
| 6.5         | Information for maintenance  |   | Р   |
| 6.5.1/RD    | General  |   | Р   |
|             | The PECS shall be marked with the date code, or serial number from which the date of manufacture can be determined.  |   | P   |
|             | Safety information shall be provided in the installation and maintenance manuals including appropriate, the following:   |   | Р   |
|             | <ul> <li>Preventive maintenance procedures and<br/>schedules</li> </ul>  |   | Р   |
|             | Safety precautions during maintenance  |   | Р   |
|             | • Location of live parts that can be accessible during maintenance (for example, when covers are removed9  |   | Р   |
|             | Adjustment procedures  |   | Р   |
|             | <ul> <li>Subassembly and component repair and<br/>replacement procedures</li> </ul>  |   | Р   |
|             | Any other relevant information   |   | Р   |
| 6.5.2/RD    | Capacitor discharge  | No such capacitor used inside               | N/A |

http://www.tuv-sud.cn

5F, Communication Building, 163 Pingyun Rd, Huangpu Ave. West,Guangzhou, 510656, P.R.China

SUC



|             | When the requirements 4.4.9/RD are not met, the warning symbol W012 of ISO 7010 and an indication of the discharge time (for example, 45 s, 5 min) shall be placed in a clearly visible position on the enclosure, the capacitor protective barrier, or at a point close to the capacitor(s) concerned (depending on the construction). The symbol shall be explained and the time required for the capacitors to discharge after the removal or the power from the PECS shall be stated in the installation and maintenance manuals. |  | N/A |
|-------------|---|--|-----|
| 6.5.3/RD    | Auto restart/bypass connection  | No such function                           | N/A |
|             | If a PECS can be configured to provide automatic restart or bypass connection, the installation, user and maintenance manuals shall contain appropriate warning statements.   |  | N/A |
|             | A PECS which is set to provide automatic restart or<br>bypass connection, after the removal of power, shall<br>be clearly identified at the installation.   |  | N/A |
| 6.5.4/RD    | Other hazards   |  | Р   |
|             | The manufacturer shall identify any components and materials of a PECS which require special procedures to prevent hazards.   |  | Р   |
| 6.5.5/RD    | Equipment with multiple sources of supply   | Not multiple sources<br>connected directly | N/A |
|             | In accordance with 4.8/RD, where there is more<br>than one source of supply energizing the PECS,<br>information shall be provided to indicate which<br>disconnect device or devices are required to be<br>operated in order to completely isolate the<br>equipment.   |  | N/A |
| 6.5.101     | Battery information for maintenance   | Refer to the user manual                   | Р   |
| 6.5.101.1   | Labelling on battery  |  | Р   |
| 6.5.101.2   | Information in instruction manual(s)  | Refer to the user manual                   | Р   |
| 6.5.101.2.1 | General   |  | Р   |
| 6.5.101.2.2 | Instructions for battery replacement  |  | Р   |
|             |   |  |     |

| Annex A  | Addition information for protection against electric shock                                   |                    | Р   |
|----------|--|--------------------|-----|
| A.1/RD   | General  |                    | Р   |
| A.2/RD   | Protection by means of DVC As  |                    | Р   |
| A.3/RD   | Protection by means of protective impedance  | No such means used | N/A |
| A.4/RD   | Protection by using limited voltages   | No such means used | N/A |
| A.5/RD   | Evaluation of working voltage and selection of DVC for touch voltage, PELV and SELV circuits |                    | Р   |
| A.5.1/RD | General  |                    | Р   |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| A.5.2/RD  | Selection of DVC for touch voltage sets to protect against ventricular fibrillation   |   | Р   |
|-----------|---|---|-----|
| A.5.3/RD  | Selection of DVC for touch voltage sets to protect against muscular reaction  |   | Р   |
| A.5.4/RD  | Selection of DVC for touch voltage sets to protect against startle reaction   |   | Р   |
| A.5.5/RD  | Determination of voltage limits for touch voltage<br>under fault condition depending on protective<br>equipotential bonding impedance |   | Р   |
| A.5.6/RD  | Touch time- d.c. voltage zones of ventricular fibrillation  |   | Р   |
| A.5.7/RD  | Touch time- d.c. voltage zones of muscular reaction (inability to let go reaction)  |   | N/A |
| A.5.8/RD  | Touch time- d.c. voltage zones of saltwater-wet skin condition  |   | N/A |
| A.5.9/RD  | Touch time- a.c. voltage zones of ventricular fibrillation  |   | N/A |
| A.5.10/RD | Touch time- a.c. voltage zones of muscular reaction (inability to let go reaction)  |   | N/A |
| A.5.11/RD | Touch time- a.c. voltage zones for startle reaction   |   | N/A |
| A.6/RD    | Evaluation of the working voltage of circuits   |   | Р   |
| A.6.1/RD  | General   |   | Р   |
| A.6.2/RD  | AC working voltage  | Not connected to AC power source directly | N/A |
| A.6.3/RD  | DC working voltage  |   | Р   |
| A.6.4/RD  | Pulsating working voltage   | No such working voltage                   | N/A |
| A.7/RD    | Examples of the use of elements of protective measures  |   | Р   |
| A.101     | Comparison of limits of working voltage   |   | Р   |
|           |   |   |     |

| Annex D | Evaluation of clearance and creepage distances  |   |
|---------|---|---|
| D.1/RD  | Measurement                                     | Р |
| D.2/RD  | Relationship of measurement to pollution degree | Р |
| D.3/RD  | Examples  | Р |

| Annex F  | Clearance and creepage distance determination for frequencies greater than 30kHz |   |     |
|----------|--|---|-----|
| F.1/RD   | General influence of the frequency on the withstand characteristics              | No insulation considered under this Annex | N/A |
| F.2/RD   | Clearance  |   | N/A |
| F.2.1/RD | General  |   | N/A |
| F.2.2/RD | Clearance for inhomogeneous fields   |   | N/A |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| F.2.3/RD | Clearance for approximately homogenous fields                      | N/A |
|----------|--|-----|
|          |  | -   |
| F.3/RD   | Creepage distance  | N/A |
| F.4/RD   | Solid insulation   | N/A |
| F4.1/RD  | General  | N/A |
| F4.2/RD  | Approximately uniform field distribution without air gaps or voids | N/A |
| F4.3/RD  | Other cases  | N/A |

| Annex BB | Reference loads                      |                  | N/A |
|----------|--------------------------------------|------------------|-----|
| BB.1     | General                              | Not complete UPS | N/A |
| BB.2     | Reference resistive load             |                  | N/A |
| BB.3     | Reference inductive-resistive loads  |                  | N/A |
| BB.4     | Reference capacitive-resistive loads |                  | N/A |
| BB.5     | Reference non-linear load            |                  | N/A |
| BB.5.1   | General                              |                  | N/A |
| BB.5.2   | Test method                          |                  | N/A |

| Annex CC | Ventilation of lead-acid battery compartments |                       | N/A |
|----------|---|-----------------------|-----|
| CC.1     | General                                       | Not lead-acid battery | N/A |
| CC.2     | Normal conditions                             |                       | N/A |
| CC.3     | Blocked conditions                            |                       | N/A |
| CC.4     | Overcharge conditions                         |                       | N/A |

| Annex GG | Requirements for the mounting means of rack-mo            | ounted equipment           | N/A |
|----------|---|----------------------------|-----|
| GG.1     | General   | Not rack-mounted equipment | N/A |
| GG.2     | Mechanical strength test, variable force                  |                            | N/A |
| GG.3     | Mechanical strength test, 250N force, including end stops |                            | N/A |
| GG.4     | Compliance  |                            | N/A |

|         | IEC 62040-1:2017/AMD1:2021  |     |  |  |  |
|---------|---|-----|--|--|--|
| 4.6.3.2 | Flammability of enclosure materials                               | Р   |  |  |  |
|         | Subclause 4.6.3.2 in IEC 62477-1:2012 applies, except as follows: | Р   |  |  |  |
|         | Replace the second paragraph with the following new paragraph:    | N/A |  |  |  |

http://www.tuv-sud.cn



| Materials are considered to comply without test if, in<br>the minimum thickness used, the materials are of<br>flammability class 5VB or better, according to IEC<br>60695-11-20  | N/A |
|--|-----|
| Add, after the second paragraph, the following new paragraph:  | Ρ   |
| For movable UPS having a total mass not exceeding<br>18 kg, materials are considered to comply without<br>test if, in the minimum thickness used, the materials<br>are of flammability class if V-1 or better, according to<br>IEC 60695-11-10 | Ρ   |

|                               | EN IEC 62040-1:2019/A11:2021   |  |   |  |  |
|-------------------------------|--|--|---|--|--|
| Annex ZZ<br>(informativ<br>e) | Relationship between this European Standard and<br>the safety objective of Directive 2014/35/EU [2014<br>OJ L96] aimed to be covered |  | Ρ |  |  |

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



| 4.2/RI           |      | TABLE: fau            | It condition te                | sts                    |              |             |                        |   | Р                                      |
|------------------|------|-----------------------|--------------------------------|------------------------|--------------|-------------|------------------------|---|--|
| 4.3/RI<br>5.2.4. |      | ambient ten           | perature (°C)                  |                        |              | :           | 25.3                   |   |  |
| No.              | com  | nponent No.           | fault                          | test<br>voltage<br>(V) | test<br>time | fuse<br>No. | fuse<br>current<br>(A) | result  |  |
| 1                | SP-H | IE51227-H             | Overcharge<br>(voltage)        |                        | 60 min       |             |                        | No fire or explosion, t<br>voltage of the measur<br>or cell blocks did not<br>the upper limit chargin<br>voltage, all function o<br>system did operate as<br>intended during the te | red cells<br>exceed<br>ng<br>f battery |
| 2                | SP-H | IE51227-H             | Overcharge<br>(current)        |                        | 60 min       |             |                        | No fire or explosion,<br>overcurrent sensing f<br>of BMS did operate a<br>charging stopped, all<br>of battery system did<br>as intended during th                                   | nd then<br>function<br>operate         |
| 3                | SP-H | IE51227-H             | Over<br>discharge<br>(voltage) |                        | 60 min       |             |                        | No fire or explosion, t<br>voltage of the measur<br>or cell blocks did not<br>the lower limit chargir<br>voltage, all function o<br>system did operate as<br>intended during the te | red cells<br>exceed<br>ng<br>f battery |
| 4                | SP-H | IE51227-H             | Overheating                    |                        | 60 min       |             |                        | No fire or explosion,<br>temperature sensing<br>of BMS did operate a<br>charging stopped, all<br>of battery system did<br>as intended during the                                    | nd then<br>function<br>operate         |
| 5                | SP-H | IE51227-H             | Output<br>overload             |                        | 60min        |             |                        | After over-discharge of<br>system operated as n<br>after over-discharge I<br>0.15s, system shutdo<br>No hazard. No damag<br>resettable.   | ormal,<br>ast<br>wn.<br>ge. Can        |
| 6                | SP-H | IE51227-H             | Output short<br>circuit        |                        | 10min        |             |                        | The fault applied duri<br>unit operated in disch<br>mode. After applied th<br>the unit shut down<br>immediately.<br>No damage. No haza  | arging<br>ne fault,                    |
| 7                |      | utput on BMS<br>board | Short circuit                  |                        | 10min        |             |                        | The fault applied duri<br>unit operated in disch<br>mode. After applied th<br>the unit shut down<br>immediately.<br>No damage. No haza  | ng the<br>arging<br>ne fault,          |

http://www.tuv-sud.cn



| main board       Interviewed  |      |                                 | 1 1           |       | 1 | - |   |
|---|------|---------------------------------|---------------|-------|---|---|---|
| temperature<br>sampling circuit<br>on BMS boardPoint or boardIntermediately<br>intermediatelyunit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.10T3 output on BMS<br>slave boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.11T4 output on BMS<br>slave boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.11T4 output on BMS<br>slave boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.12R159, ISO detect<br>resistor on BMS<br>main boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.13R159, ISO detect<br>resistor on BMS<br>main boardOpen circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.14U34 MCU 3.3V<br>input on BMS<br>main boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No haza   | 8    | -                               | Short circuit | 10min |   |   | mode. After applied the fault,<br>the unit shut down<br>immediately.  |
| 10       T3 output on BMS slave board       Short circuit       10min         The fault applied during the unit operated in discharging mode. After applied the fault, the unit shut down immediately. No damage. No hazard.         11       T4 output on BMS slave board       Short circuit       10min         The fault applied during the unit operated in discharging mode. After applied during the unit operated in discharging mode. After applied during the fault, the unit shut down immediately. No damage. No hazard.         12       R159, ISO detect resistor on BMS main board       Short circuit       10min         The fault applied during the unit operated in discharging mode. After applied the fault, the unit shut down immediately. No damage. No hazard.         13       R159, ISO detect resistor on BMS main board       Open circuit       10min         The fault applied during the unit operated in discharging mode. After applied the fault, the unit shut down immediately. No damage. No hazard.         14       U34 MCU 3.3V input on BMS main board       Short circuit       10min          The fault applied during the unit operated in discharging mode. After applied the fault, the unit shut down immediately. No damage. No hazard.         14       U34 MCU 5V input on BMS main board       Short circuit       10min           The fault applied during the unit operated in discharging mode. After applied   | 9    | temperature<br>sampling circuit | Open circuit  | 10min |   |   | unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.  |
| slave boardInternational and the state of the | 10   |                                 | Short circuit | 10min |   |   | The fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard. |
| 12R159, ISO detect<br>resistor on BMS<br>main boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.13R159, ISO detect<br>resistor on BMS<br>main boardOpen circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied during the<br>unit operated in discharging<br>  | 11   |                                 | Short circuit | 10min |   |   | unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.  |
| In resistor on BMS<br>main boardSport circuitFormulaunit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.14U34 MCU 3.3V<br>input on BMS<br>main boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied during the<br>unit operated in discharging<br>mode. After applied during the<br>unit operated in discharging<br>   | 12   | resistor on BMS                 | Short circuit | 10min |   |   | The fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.                          |
| input on BMS<br>main boardInstruction and<br>main boardInstruction and<br>main boardunit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.15U34 MCU 5V<br>input on BMS<br>main boardShort circuit10minThe fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.  | 13   | resistor on BMS                 | Open circuit  | 10min |   |   | unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.<br>No damage. No hazard.                                 |
| input on BMS<br>main board line of the fault, the unit shut down<br>immediately.<br>No damage. No hazard.   | 14   | input on BMS                    | Short circuit | 10min |   |   | unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.  |
| Supplementary information:  | 15   | input on BMS                    | Short circuit | 10min |   |   | The fault applied during the<br>unit operated in discharging<br>mode. After applied the fault,<br>the unit shut down<br>immediately.                          |
|   | Supp | lementary information           | :             |       |   |   |   |

4.4.7.4/RD, TABLE: clearance and creepage distance measurements 4.4.7.5/RD

Ρ

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group



| clearance cl and creepage<br>distance dcr at/of:                 | System<br>voltage<br>(V) | OVC        | U<br>impulse<br>(V) | required<br>cl<br>(mm) | cl<br>(mm) | required<br>dcr<br>(mm) | dcr<br>(mm) |  |  |
|--|--------------------------|------------|---------------------|------------------------|------------|-------------------------|-------------|--|--|
| Battery system assembly(non-PCB)                                 |                          |            |                     |                        |            |                         |             |  |  |
| Between Battery + circuit to<br>enclosure (inside) (BI)          | 512                      | II         | 2630                | 1.7                    | ≥8.0       | 4.6                     | >8.0        |  |  |
| Between Battery - circuit to enclosure (inside) (BI)             | 512                      | II         | 2630                | 1.7                    | ≥8.0       | 4.6                     | >8.0        |  |  |
| Between Battery + circuit to<br>enclosure (outside) (BI)         | 512                      | II         | 2630                | 1.7                    | ≥10.0      | 4.6                     | ≥10.0       |  |  |
| Between Battery - circuit to<br>enclosure (outside) (BI)         | 512                      | II         | 2630                | 1.7                    | ≥10.0      | 4.6                     | ≥10.0       |  |  |
| Live parts to enclosure(inside)<br>(BI)                          | 512                      | II         | 2630                | 1.7                    | ≥6.0       | 4.6                     | ≥6.0        |  |  |
|  | CI. A                    | And Cr. Or | PCB(BMS             | board)                 |            |                         |             |  |  |
| Between Battery + circuit to<br>enclosure (screw) (BI)           | 512                      | II         | 2630                | 1.7                    | ≥5.0       | 2.3                     | ≥5.0        |  |  |
| Between Battery - circuit to<br>enclosure (screw) (BI)           | 512                      | II         | 2630                | 1.7                    | ≥5.0       | 2.3                     | ≥5.0        |  |  |
| Between U14, U2, OP1 Pri to<br>Sec – PCB track (BI)              | 512                      | II         | 4174                | 3.3                    | ≥5.0       | 4.6                     | ≥5.0        |  |  |
| Between TX1, U10, U15,<br>U20-U27 Pri to Sec – PCB<br>track (SI) | 512                      | II         | 4174                | 3.3                    | ≥5.0       | 4.6                     | ≥5.0        |  |  |
| Supplementary information:                                       |                          |            |                     |                        |            |                         |             |  |  |

Supplementary information: 1. AC voltage is not considered because the battery system is protective separated to inverter.

2. Max. voltage of the battery system is 512 Vd.c..

3. Max. operating attitude is 2000m.

| 4.4.7.10 /RD,<br>5.2.3/RD   | TABLE: electr         | TABLE: electric strength measurements, impulse voltage test and partial discharge test |  |                     |                                     |                             |               |
|---|-----------------------|--|--|---------------------|-------------------------------------|-----------------------------|---------------|
| test voltage<br>applied between: System<br>voltage<br>/OVC *        |                       | Mains<br>voltage/<br>OVC *   | Insulation<br>type (BI,<br>SI, DI, RI) | test voltage<br>(V) | impulse<br>withstand<br>voltage (V) | AC or<br>DC Test<br>voltage | Pass<br>/Fail |
| Battery input /<br>output "+" and<br>metallic enclosure             | 512 Vd.c.<br>/ OVC II | -  | BI                                     | 734 Vd.c.           | 2630                                | DC                          | Pass          |
| Battery input /<br>output "-" and<br>metallic enclosure             | 512 Vd.c.<br>/ OVC II | -  | BI                                     | 734 Vd.c.           | 2630                                | DC                          | Pass          |
| Battery input /<br>output "+" and<br>COM port                       | 512 Vd.c.<br>/ OVC II | -  | BI                                     | 1465 Vd.c.          | 4174                                | DC                          | Pass          |
| Battery input /<br>output "-" and<br>metallic enclosure<br>COM port | 512 Vd.c.<br>/ OVC II | -  | BI                                     | 1465 Vd.c.          | 4174                                | DC                          | Pass          |



Supplementary information:

Mains voltage is not considered for its protective separated to inverter.

For voltage test, the duration of the test shall be at least 60s for the type test and 1s for the routine test. The test voltage may be applied with increasing and/or decreasing ramp voltage, and the ramp times are not specified, but regardless of the ramp time, the dwell time at full voltage shall be 60s and 1s respectively for type and routine tests.

| 5.2.3.1 | 0/RD                              | Ten        | npe    | rature rise te      | st                                 |      |                 |   |        |                    | Р        |  |
|---------|-----------------------------------|------------|--------|---------------------|------------------------------------|------|-----------------|---|--------|--------------------|----------|--|
| Model   |                                   | <b></b>    | SF     | P-HE51227-H         |                                    |      |                 |   |        |                    | -        |  |
| Operati | ion moc                           | le         | Cr     | arging - Disch      | arging cyclin                      | ıg   |                 |   |        |                    |          |  |
| No.1    | Ambi                              | ent (      | T)     | Humidity<br>(RH, %) | Test time<br>(T <sub>⊺otal</sub> ) | 1    | Charging<br>(I  | g voltag<br>J)                              | e      | Charging (I)       | -        |  |
| (1)     | 25.                               | 0 °C       |        |                     | 2hour 14m                          | in   | 512 Vd.c.       | 512 \                                       | /d.c.  | 27 Ad.c.           | 27 Ad.c. |  |
|         |                                   |            |        |                     |                                    |      |                 |   |        |                    |          |  |
| Model   |                                   |            | SF     | P-HE51227-H         |                                    |      |                 |   |        |                    |          |  |
| Operati | ion moc                           | le         | Dis    | scharging           |                                    |      |                 |   |        |                    |          |  |
| No.1    | Ambi                              | ent (      | T)     | Humidity<br>(RH, %) | Test time<br>(T <sub>Total</sub> ) |      | Dischargi<br>(I | ng volta<br>J)                              | ge     | Discharging<br>(I) | gcurrent |  |
| (2)     | 45.                               | 0 °C       |        |                     | 1hour 8mi                          | n    | 512 Vd.c.       | 512 \                                       | /d.c.  | 27 Ad.c.           | 27Ad.c.  |  |
|         |                                   |            |        |                     |                                    |      |                 |   |        |                    |          |  |
| No.     | Temperature rise (°C) of part/at: |            | rt/at: |                     | Measured temperature (°C           |      | re (°C)         | Permitted                                   |        |                    |          |  |
|         |                                   |            |        |                     | Measured<br>temperature            |      | corr            | emperature<br>ected to Tmax<br>5 °C ambient | - (°C) |                    |          |  |
|         |                                   |            |        |                     |                                    |      | (1)             |   |        | (1)                |          |  |
| 1       | Ambie                             | ent te     | emp    | oerature            |                                    |      | 25.3            |   |        | 45.3               | Ref.     |  |
| 2       | Top s                             | urfac      | ce c   | f enclosure         |                                    |      | 33.2            |   | 53.2   | 70                 |          |  |
| 3       | Side                              | surfa      | ce     | of enclosure        |                                    |      | 32.5            |   | 52.5   | 70                 |          |  |
| 4       | Positi                            | ve sı      | urfa   | ce of enclosur      | е                                  |      | 32.6            |   | 52.6   | 70                 |          |  |
| 5       | Rear                              | surfa      | ice    | of enclosure        |                                    |      | 31.8            | 31.8  |        | 51.8               | 70       |  |
| 6       | DC O                              | n/Off      | fsw    | vitch               |                                    |      | 25.8            | 25.8 45.8                                   |        | 45.8               | 80       |  |
| 7       | The p                             | owe        | r ca   | ble of PDU          |                                    |      | 45.7            |   |        | 65.7               | 125      |  |
| 8       | Cable                             | e glar     | nd c   | on the power c      | able                               |      | 42.5 62.5       |   | 62.5   | 125                |          |  |
| 9       | Insula                            | ation      | tub    | e on flexible c     | opper bar                          |      | 42.4            |   |        | 62.4               | 105      |  |
| 10      | Main                              | Main Relay |        |                     |                                    | 43.5 |                 |   | 63.5   | 85                 |          |  |
| 11      | DC Fuse in PDU                    |            |        |                     | 43.1                               |      |                 | 63.1  | 110    |                    |          |  |
| 12      | Plasti                            | c end      | clos   | sure of battery     | pack                               |      | 26.1            |   |        | 46.1               | 105      |  |
| 13      | Plasti                            | c end      | clos   | ure of battery      | cell                               |      | 36.8            |   |        | 56.8               | 105      |  |
| 14      | The h                             | eats       | ink    | of battery mod      | lule                               |      | 37.8            |   |        | 57.8               | 105      |  |
| 15      | Termi                             | inal +     | - of   | battery modul       | e                                  |      | 38.4            |   |        | 58.4               | 105      |  |

 $T\ddot{U}V$   $S\ddot{U}D$  Certification and Testing (China) Co., Ltd. Guangzhou Branch,  $T\ddot{U}V$   $S\ddot{U}D$  Group

http://www.tuv-sud.cn



| 16    | Terminal - of battery module           | 37.6                         | 57.6   | 105       |
|-------|--|------------------------------|--|-----------|
| 17    | Internal DC- cable                     | 39.5                         | 59.5   | 105       |
| 18    | External battery connector             | 32.1                         | 52.1   | 125       |
| 19    | DC Fuse in battery box                 | 39.5                         | 59.5   | 110       |
| 20    | MCU U34 on BMS PCB                     | 30.1                         | 50.1   | 125       |
| 21    | U2 on BMS PCB                          | 31.3                         | 51.3   | 125       |
| No.   | Temperature rise (°C) of part/at:      | Measured ten                 | nperature (°C)                                       | Permitted |
|       |  | Measured<br>temperature (°C) | Temperature at<br>corrected to Tmax<br>45 °C ambient | (°C)      |
|       |  | (2)                          | (2)  |           |
| 1     | Ambient temperature                    | 24.8                         | 48.7   | Ref.      |
| 2     | Top surface of enclosure               | 31.2                         | 51.2   | 70        |
| 3     | Side surface of enclosure              | 30.5                         | 50.5   | 70        |
| 4     | Positive surface of enclosure          | 30.6                         | 50.6   | 70        |
| 5     | Rear surface of enclosure              | 29.8                         | 49.8   | 70        |
| 6     | On/Off Button                          | 25.8                         | 45.8   | 80        |
| 7     | The power cable of PDU                 | 43.7                         | 63.7   | 125       |
| 8     | Cable gland on the power cable         | 40.5                         | 60.5   | 125       |
| 9     | Insulation tube on flexible copper bar | 40.4                         | 60.4   | 105       |
| 10    | Main Relay                             | 41.5                         | 61.5   | 85        |
| 11    | DC Fuse in PDU                         | 41.1                         | 61.1   | 110       |
| 12    | Plastic enclosure of battery pack      | 26.1                         | 46.1   | 105       |
| 13    | Plastic enclosure of battery cell      | 34.8                         | 54.8   | 105       |
| 14    | The heatsink of battery module         | 35.8                         | 55.8   | 105       |
| 15    | Terminal + of battery module           | 36.4                         | 56.4   | 105       |
| 16    | Terminal - of battery module           | 35.6                         | 55.6   | 105       |
| 17    | Internal DC- cable                     | 37.5                         | 57.5   | 105       |
| 18    | External battery connector             | 30.1                         | 50.1   | 125       |
| 19    | DC Fuse in battery box                 | 37.5                         | 57.5   | 110       |
| 20    | MCU U34 on BMS PCB                     | 28.1                         | 48.1   | 125       |
| 21    | U2 on BMS PCB                          | 29.3                         | 49.3   | 125       |
| Cumul | ementary information:                  | 1                            | 1  |           |

Supplementary information:

1. Thermal test for charge-discharge cycle without standing for condition 1 and thermal test for discharge mode without standing for condition 2.

2. Maximum discharge current of temperature is below 45°C and maximum charge current of temperature is below 45°C.

Telephone: +86 20 38320668 Telefax: +86 20 38320478  $T\ddot{U}V$   $S\ddot{U}D$  Certification and Testing (China) Co., Ltd. Guangzhou Branch,  $T\ddot{U}V$   $S\ddot{U}D$  Group

http://www.tuv-sud.cn



| 5.2.6.3/RD<br>5.2.6.4/RD<br>5.2.6.5/RD | TABLE: Cli | matic test   |  | Ρ |
|--|------------|--|--|---|
| Part under tes                         | st         | Test condition   | Remarks  |   |
| Complete equ                           | uipment    | 5.2.6.3.1 Dry heat test<br>Test Bd of IEC 60068-2-2<br>Operating at rated conditions<br>60°C@16h   | No damage, fur<br>insulation streng<br>after test                    |   |
| Complete equ                           | uipment    | 5.2.6.3.2 Damp heat test<br>Test Cab of IEC 60068-2-78<br>Power supply disconnected<br>60°C/100%humidity @4 days   | No damage, fur<br>insulation streng<br>after test                    |   |
| Complete equ                           | uipment    | <ul> <li>5.2.6.4 Vibration test</li> <li>Test Fc of IEC 60068-2-6</li> <li>Power supply disconnected</li> <li>0.075 mm amplitude, 10 m/s2 (1 g),</li> <li>10 sweep cycles per axis on each of three mutually perpendicular axes</li> </ul> | No damage, functional an<br>insulation strength normal<br>after test |   |

| Data form for                    | electrical and elec                                 | ctronic Components (C    | :DF)  |                    |  |
|----------------------------------|---|--------------------------|---|--------------------|--|
| Object/part<br>No.               | Manufacturer/<br>trademark                          | Type/Model               | Technical Data  | Standard           | Marks of<br>Conformity                   |
| 1-Cell                           | Hefei Guoxuan<br>High-Tech Power<br>Energy Co., Ltd | IFP20100140A-27Ah        | 3.2Vd.c., 27Ah  | IEC 62619:<br>2017 | CB<br>Certificate<br>No. DK-<br>83517-UL |
| For Battery Me                   | odule: contain BMU                                  | , balance board and indi | cators devices  |                    |  |
| 2-IC for MCU<br>(U8)             | TI  | MSP430F248TPMR           | 1.8V-3.6V<br>-40°C ~ 105°C                                      | -                  | -  |
| 3-IC for Op<br>(U11)             | ТІ  | OPA2335AIDR              | SOP-8<br>-40°C ~ 85°C   | -                  | -  |
| 4-IC for<br>isolated<br>(U2 U14) | ТІ  | ISO7731DW                | 2.25V-5.5V<br>-55°C ~ 125°C                                     | -                  | -  |
| 5-LDO<br>(U12)                   | Linear<br>Technology<br>Corporation                 | LTC3639IMSE              | 150V/100Ma<br>–40°C ~ 125°C                                     | -                  | -  |
| 6.MOS<br>(QB2)                   | MCC   | MCT04N15                 | VDS:150V<br>VGS:±20V<br>ID:4A (TA=25°C)<br>TJ: -55°C ~<br>150°C | -                  | -  |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| Data form for   | electrical and elec  | ctronic Components (C | DF)   |  |                        |
|---|--|-----------------------|---|--|------------------------|
| Object/part<br>No.  | Manufacturer/<br>trademark                                   | Type/Model            | Technical Data  | Standard   | Marks of<br>Conformity |
| 7-MOS<br>(Q17)  | ON   | NTR4101PT1G           | VDS:-20V<br>VGS:±8V<br>ID:-2.4A<br>(TA=25°C)<br>TJ: -55°C ~<br>150°C  | -  | -                      |
| 8-MOS<br>(QB3)  | VISHAY   | SI4455DY              | VDS:150V<br>VGS:±20V<br>ID:-2.8A<br>(TA=25°C)<br>TJ: -55°C ~<br>150°C | -  | -                      |
| 9-Resistor<br>(RB1)   | ISABELLENHUT<br>TE   | BVR-Z-R0002           | 0.2mΩ±1% 3W<br>-55°C ~ 150°C  | -  | -                      |
| 10-Resistor<br>(NTC1<br>NTCB1<br>NTCB4<br>NTCB2<br>NTCB3)                                       | THINKING   | TSM1C103F34D1R        | R25=10KΩ±1%<br>B25/85=3435K±1<br>%<br>-50°C ~ 150°C                   | -  | -                      |
| 11-Resistor<br>(R20 R28<br>R89 R98<br>R121 R138<br>R155 R174<br>R176 R178<br>R180 R186<br>R206) | YAGEO  | RC0603FR-07100KL      | 1/10W 100kΩ ±1<br>-55°C ~ 155°C                                       | -  | -                      |
| 12-Capacitor<br>(CY1 CY2<br>CY3 CY4<br>CY5)   | TDK  | CD45-B2GA471K-<br>NKA | 470pF 400VAC  | EN 60384-<br>14:2013/A1:20<br>16, EN 60384-<br>14:2013 | ENEC-<br>01048-A3      |
| 13-Capacitor<br>(C7 C11 C13<br>C14 C46<br>C47)  | YAGEO  | CC0603JRNPO9BN3<br>30 | 33pF 50V ±5%<br>-55°C ~ 125°C   | -  | -                      |
| 14-Diode<br>(D23)   | ON   | MMSZ4678T1G           | 1.8V<br>-55°C ~ 150°C   | -  | -                      |
| 15-Diodo<br>(DB35 DB37<br>DB39 DB41<br>DB43 DB45)   | Yangzhou<br>Yangjie<br>Electronic<br>Technology Co.,<br>Ltd. | SS220A                | 200V,2A<br>-55°C ~ 150°C  | -  | -                      |
| 16-Diodo<br>(D1)  | BRIGHTEK   | 1SA1206G71C0CA06      | 25Ma<br>-40°C ~ 85°C  | -  | -                      |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| Object/part   | Manufacturer/      | ectronic Components (<br>Type/Model | Technical Data                           | Standard  | Marks of          |
|---|--------------------|-------------------------------------|--|---|-------------------|
| No.<br>17-Inductor<br>(L2 L10 L11<br>L12 L20 L28<br>L47 L55 L56<br>L57 L58) | trademark<br>WURTH | 74279266                            | 1000ohm,100MH<br>z ±25%<br>-55°C ~ 125°C | -   | Conformity        |
| 18-Inductor<br>(L30)  | COMPON             | CLH7060N-302M                       | 3mH/0.9A/125Va<br>c,-40°C ~ 105°C        | -   | -                 |
| 19-Transient<br>Voltage<br>Suppressors<br>(D4 D6)                           | BrightKing         | SMBJ6.5CA                           | 6.5V 4A<br>-65°C ~ 150°C                 | -   | -                 |
| 20-Crystal<br>(Y1)  | TXC                | 9C-3.6864MAAJ-T                     | 18pF ±30ppm<br>-40°C ~ 85°C              |   | -                 |
| 21-FUSE<br>(F1)   | LF                 | 0466.375NRHF                        | 50A/125V AC/DC                           | EN 60127-7:<br>2016<br>IEC 60127-<br>7: 2015<br>EN 60127-1:<br>2006+A1+A2<br>IEC 60127-<br>1:<br>2006+A1+A2 | TUV J<br>50518280 |
| 22-General<br>Purpose<br>Transistor<br>(Q10)                                | ON                 | MMBT5551LT1G                        | 160V 0.6A<br>-55°C ~ 150°C               | -   | -                 |
| 23-<br>Optocoupler<br>(OP1)   | Toshiba            | TLP387                              | 300V/150mA<br>-55°C ~ 110°C              | -   | -                 |
| For BMS part  | 1                  | 1                                   | 1  | 1   | 1                 |

http://www.tuv-sud.cn



| Data form for                                     | electrical and elec                     | tronic Components (C  | DF)  |                             |                        |
|---|---|---|--|-----------------------------|------------------------|
| Object/part<br>No.                                | Manufacturer/<br>trademark              | Type/Model  | Technical Data   | Standard                    | Marks of<br>Conformity |
| 24-BMS<br>(Main board,<br>bottom<br>board)        | Suzhou Sanbess<br>Technology<br>Co.,Ltd | Model:MC0600 27<br>Hardware version: P-<br>HV-27AH-V1.0<br>Software version:<br>BCMU-HV-27AH-V1.0 | Overcharge<br>detection voltage<br>for each cell:<br>3.7V,<br>Overdischarge<br>detection voltage<br>for each cell:<br>2.00V±0.062V,<br>Charge<br>overcurrent<br>detection current:<br>33A,<br>Discharge<br>overcurrent<br>detection current:<br>33A,<br>High temperature<br>protection: 48°C<br>±1°C,<br>Low temperature<br>protection:<br>1°C ±1°C, |                             | -                      |
| - PCB<br>material                                 | GUANG DE ZT<br>TECHNOLOGY               | M0408888  | Tg=130°C, V-0  | ANSI/UL 796<br>ANSI/UL 796F | UL E501786             |
| 25-IC- MU<br>(U9)                                 | TI                                      | MSP430F6736AIPZ   | 1.8V-3,6V<br>-40°C ~ 85°C  | -                           | -                      |
| 26-IC for<br>PMIC<br>(U6)                         | TI                                      | TL431AIPK   | 2.495V-36v<br>-40°C ~ 85°C   | -                           | -                      |
| 27-IC for<br>CAN control<br>(U23)                 | MC                                      | MCP2515I/SO   | 2.7V-5.5V<br>-40°C ~ 85°C  | -                           | -                      |
| 28-IC for<br>Flash<br>(U11)                       | SST                                     | SST25VF016B-75-4I-<br>S2AF  | 2.7V-3.6V<br>-40°C ~ 85°C  | -                           | -                      |
| 29-IC for<br>isolated<br>RS485<br>(U15,U20)       | TI                                      | ISO3082DWG4   | -0.3V-6V<br>-40°C ~ 85°C   | -                           | -                      |
| 30-IC for<br>RS485<br>(U1,U7)                     | ТІЗ                                     | MSP430F248TPMR  | 1.8V-3.6V<br>-40°C ~ 105°C   | -                           | -                      |
| 31-IC for<br>isolated CAN<br>Transceiver<br>(U22) | TI                                      | ISO1050DUBR   | 3V-5.5V<br>-55°C ~ 105°C   | -                           | -                      |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| Object/part   | Manufacturer/ | ctronic Components (<br>Type/Model | Technical Data  | Standard   | Marks of        |
|---|---------------|------------------------------------|---|--|-----------------|
| No.   | trademark     | i ype/model                        | reonnour Data   | otandara   | Conformity      |
| 32-IC for<br>Power<br>Switch<br>(U3)                    | INFINEON      | ICE3BR0680JZ                       | -0.3V-25V<br>-25°C ~ 130°C  | -  | -               |
| 33-IC for<br>ESD<br>Protection<br>(U30 U31)             | ST            | USBLC6-4 SC6                       | 130W<br>-40°C ~ 125°C   | -  | -               |
| 34-IC for<br>isolated<br>(U2 U14)                       | ТІ            | ISO7731DW                          | 2.25V-5.5V<br>-55°C ~ 125°C   | -  | -               |
| 35-Relay  | Xiamen Hongfa | HFD3-V/5-S                         | 4A,<br>400VAC/600VDC<br>-40°C ~ 85°C                                | IEC 61810-<br>1:2015<br>IEC 61810-<br>1:2015/AMD1:<br>2019<br>EN 61810-<br>1:2015<br>EN 61810-<br>1:2015/A1:202<br>0 | VDE<br>40018867 |
| 36-LDO<br>Regulator<br>(U1)                             | ТІ            | LP5907MFX-<br>3.3/NOPB             | 2.2V-5.5V<br>-40°C ~ 125°C  | -  | -               |
| 37-LDO<br>Regulator<br>(U12)                            | ТІ            | TPS7A2601DRVR                      | 2.4V-18V<br>-40°C ~ 125°C   | -  | -               |
| 38-<br>Operational<br>Amplifier<br>(U18 U19)            | ТІ            | LM321MF/NOPB                       | 3V-32V<br>−40°C ~ 85°C  | -  | -               |
| 39.MOS<br>(MCU)   | ON            | 2N7002ET1G                         | VDS:60V<br>VGS:±20V<br>ID:260mA<br>(TA=25°C)<br>TJ:-55°C ~ 150°C    |  |                 |
| 40-MOS<br>(44pcs, Q12<br>Q17 Q18<br>Q20 Q24<br>Q29 Q31) | INFINEON      | BSS806NE                           | VDS:20V<br>VGS:±8V<br>ID:6.9A<br>(TA=25°C)<br>TJ: -55°C ~<br>150°C  | -  | -               |
| 41-MOS<br>(Q26 Q30)                                     | ALPHA&OMEGA   | AO6400                             | VDS:30V<br>VGS:±12V<br>ID:2.3A<br>(TA=25°C)<br>TJ: -55°C ~<br>150°C | -  | -               |

http://www.tuv-sud.cn



|   | Manufacturer/   | tronic Components (C | Technical Data   | Standard | Marks of   |
|---|---|----------------------|--|----------|------------|
| Object/part<br>No.  | trademark   | Type/Model           | Technical Data   | Standard | Conformity |
| 42-MOS<br>(Q4)  | ON  | BSS84LT1G            | VDS:50V<br>VGS:±20V<br>ID:130mA<br>(TA=25°C)<br>TJ: -55°C ~<br>150°C | -        | -          |
| 43-MOS<br>(Q15 Q23)   | ON  | CPH6350-TL-W         | VDS:-30V<br>VGS:±20V<br>ID:-6A (TA=25°C)<br>TJ: -55°C ~<br>150°C     | -        | -          |
| 44-<br>Transformer<br>(TX1)   | KUNSHAN<br>POWER<br>ELECTRONICS<br>TECHNOLOGY<br>CO.,LTD. | EC2825               | 8+8<br>21:21/9:4:3:3:3<br>0.4mH±10%                                  | -        | -          |
| BOBBIN  | CHANG CHUN<br>PLASTCS OR<br>EQUIVALENCE                   | T375HF               | 94V-0  | UL 1446  | UL E59481  |
| -MYLAY film   | JINGJIANG<br>YAHUA<br>PRESSURE<br>SENSITIVE<br>GLUE       | CT-280               | 130°C  | UL 94    | UL E165111 |
| -Wire   | SHANGHAI ASIA<br>PACIFIC<br>ELECTRIC CO<br>LTD            | UEW-U/UEW/NY         | 155°C  | UL 1446  | UL E214423 |
| -Varnishes  | SUZHOU TAIHU<br>ELECTRIC<br>ADVANCED<br>MATERIALCO<br>LTD | T-4260(a)            | 130°C  | UL 1446  | UL E228349 |
| 45.Resistor<br>(NTC1)   | TDK   | B57236S0100M000      | 2.1W 10Ω ±20%  | -        | -          |
| 46-Resistor<br>(NTC1<br>NTCB1<br>NTCB4)   | THINKING  | TSM1C103F34D1R       | R25=10KΩ±1%<br>B25/50=3435K±1<br>%<br>Topr: -50°C ~<br>150°C         | -        | -          |
| 47-Resistor<br>(R37 R94<br>R95 R96<br>R202 R203<br>R207 R209<br>R210 R211<br>R212 R213<br>R214 R221<br>R222 R224) | YAGEO   | RC0603FR-0710RL      | 1/10W<br>-55°C ~ 150°C   | -        | -          |

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group

http://www.tuv-sud.cn



| Object/part   | Manufacturer/                    | Type/Model               | Technical Data   | Standard | Marks of   |
|---|----------------------------------|--------------------------|--|----------|------------|
| No.   | trademark                        |                          |  |          | Conformity |
| 48.Capacitor<br>(C27)   | CARLI                            | PB105J3AF2QH050D<br>9R   | 1uF/1000VDC<br>±20%  | -        | -          |
| 49-Capacitor<br>(C33 C111<br>C114 C116<br>C118 C120<br>C122 C127) | YAGEO                            | CC1206KKX7R9BB47<br>5    | 4.7uF 50V ±10%<br>-55°C ~ 125°C  | -        | -          |
| 50-Capacitor<br>(C33 C111<br>C114 C116<br>C118 C120<br>C122 C127) | NCC                              | EKY-<br>160ETD471MH15D   | 470uF 16V ±20%   | -        | -          |
| 51-Capacitor<br>(C6 C83)  | SAMXON                           | EGT108M1CG16RRS<br>0P    | 1000uF 16V<br>±20%   | -        | -          |
| 52.Diode<br>(ZD1)   | JCET                             | BZT52C5V1                | 4.8V-5.4V  | -        | -          |
| 53-Diode<br>(D4 D7)   | DIODES                           | DFLS1100-7               | 100V 1A<br>55°C ~ 175°C  | -        | -          |
| 54-Diode<br>(D2)  | ON                               | MM5Z18VT1G               | 18V 200mW<br>-55°C ~ 150°C   | -        | -          |
| 55-Diode<br>(D5)  | BRIGHTEK                         | 1SA1206G71C0CA06         | 25mA<br>-40°C ~ 85°C   | -        | -          |
| 56.Inductor<br>(L2 L3)  | WE                               | 744232222                | CM Choke 2*2.2k<br>200mA 1206<br>SMD   | -        | -          |
| 57-Inductor<br>(L2 L3)  | WE                               | 744778122                | 22uH 0.119Ω<br>1.38A<br>7.3x7.3x3.2mm –<br>40 to +125                                | -        | -          |
| 58-DIP<br>Switch  | TACLEX<br>ELECTRONICS<br>CO.,LTD | NDS-<br>V(01,03,01B,03B) | Blue or red,<br>general<br>tolerances 10mm<br>over ±0.20mm,<br>10mm below<br>±0.10mm | -        | -          |
| 59-Transient<br>Voltage<br>Suppressors<br>(D3)                    | BrightKing                       | P6KE200A                 | 200V/600W<br>-55°C ~ 150°C   | -        | -          |

http://www.tuv-sud.cn



| Object/part   | Manufacturer/                                  | Type/Model   | Technical Data                    | Standard  | Marks of            |
|---|--|--|-----------------------------------|---|---------------------|
| No.   | trademark                                      |  |                                   |   | Conformity          |
| 60-Crystal<br>(Y1)  | CITIZEN  | CM200C32768HZFT  | 32.768K<br>±20ppm<br>-40°C ~ 85°C | -   | -                   |
| 61-Fuse<br>(F2 F3 F4)   | LF   | 0466.375NRHF   | 50A/125V AC/DC                    | EN 60127-7:<br>2016<br>IEC 60127-<br>7: 2015<br>EN 60127-1:<br>2006+A1+A2<br>IEC 60127-<br>1:<br>2006+A1+A2 | TUVRh<br>J 50518280 |
| 62-General<br>Purpose<br>Transistor<br>(Q8 Q9 Q11<br>Q12 Q15<br>Q16)            | ON   | MMBT3904LT1G   | 200mA/40V<br>-55°C ~ 150°C        | -   | -                   |
| 63-<br>Optocoupler<br>(U2 U4 U5<br>U8 U14 U16<br>U17 U21<br>U24 U26<br>U27 U41) | AVAGO  | HCPL-817-50BE  | 50mA/6V<br>−30°C ~ 100°C          | IEC 60747-5-<br>5:2007, IEC<br>60747-5-<br>5:2007/AMD1:<br>2013, EN<br>60747-5-<br>5:2011+A1:20<br>15       | VDE<br>40027351     |
| 64-Buzzer<br>(U25)  | Changzhou Cre-<br>sound electronics<br>co.,ltd | LEB9055B-05B-2.7-<br>5.0-R.  | 3V-6.5V<br>−40°C ~ 85°C           | -   | -                   |
| Electrical con  | nponents                                       |  |                                   |   |                     |
| 65-<br>Connector  | MELOX  | 1716920106<br>1716920102<br>1054110106<br>1054110102                       | 23A,600V, -40°C<br>~105°C         | UL 94   | UL E29179           |
|   |  | 901420020<br>901420018<br>901420006<br>901301320<br>901301318<br>901301306 | 3A,350V,-<br>55°C~105°C           |   |                     |
|   |  | 513531000<br>513530800<br>559171010<br>559170810                           | 3A,250V,-40°C<br>∼105°C           |   |                     |

http://www.tuv-sud.cn



| Data form for electrical and electronic Components (CDF) |   |                         |                         |                    |                        |  |  |  |
|--|---|-------------------------|-------------------------|--------------------|------------------------|--|--|--|
| Object/part<br>No.                                       | Manufacturer/<br>trademark              | Type/Model              | Technical Data          | Standard           | Marks of<br>Conformity |  |  |  |
|  |   | 511631200<br>511630900  | 3.5A,250V,-<br>40~105°C |                    |                        |  |  |  |
|  |   | 511630800               |                         |                    |                        |  |  |  |
|  |   | 511630600<br>511630400  |                         |                    |                        |  |  |  |
|  |   | 511630300               |                         |                    |                        |  |  |  |
|  |   | 511630200               |                         |                    |                        |  |  |  |
|  |   | 533751210               |                         |                    |                        |  |  |  |
|  |   | 533750910               |                         |                    |                        |  |  |  |
|  |   | 533750810               |                         |                    |                        |  |  |  |
|  |   | 533750610               |                         |                    |                        |  |  |  |
|  |   | 533750410               |                         |                    |                        |  |  |  |
|  |   | 533750310<br>533750210  |                         |                    |                        |  |  |  |
|  |   | 436450400               | 2.5A,250V,-             | -                  |                        |  |  |  |
|  |   | 436450300               | 40~105°C                |                    |                        |  |  |  |
|  |   | 436450200               |                         |                    |                        |  |  |  |
|  |   | 436500418               |                         |                    |                        |  |  |  |
|  |   | 436500318               |                         |                    |                        |  |  |  |
| CC Deley   | Ducamana                                | 436500218               | 4004/750\/DC            |                    |                        |  |  |  |
| 66-Relay   | Bussmann                                | EVC-HBANB-100S-E        | 100A/750VDC<br>-40~85°C | UL 60947-4-1       | UL E521360             |  |  |  |
| 67-Break   | PROJOY                                  | PEBS-H                  | 750VDC/40A<br>-30~70°C  | EN60947-<br>2:2017 | TUVRh<br>R50426346     |  |  |  |
| 68-Fuse  | ADLER<br>Elektrotechnik<br>Leipzig GmbH | AE3 EV                  | 50A,850V                | UL 248             | UL E506668             |  |  |  |
| 69-B+,B-<br>Cable  | 3Q WIRE &<br>CABLE CO LTD               | UL10269,10AWG           | 1000V,105°C             | UL 758             | UL E341104             |  |  |  |
| 70-Voltage sampling line                                 | 3Q WIRE &<br>CABLE CO LTD               | UL1569,24AWG            | 300V,105°C              | UL 758             | UL E341104             |  |  |  |
| 71-Wiring for connecting                                 | 3Q WIRE &<br>CABLE CO LTD               | UL1569,16AWG,24A<br>WG  | 300V,105°C              | UL 758             | UL E341104             |  |  |  |
| 72-HV  | Amphenol                                | 3.6mm SURLOK            | 50A,1500VDC             | IEC                | TUVRh                  |  |  |  |
| connector<br>(plug)                                      | Technology(Zhuh<br>ai) Co., Ltd.        | PLUS PLUG               | -40°C ~125°C            | 62852:2014         | R 50481661             |  |  |  |
| 73-HV  | Amphenol                                | 3.6mm SURLOK            | 50A ,1500VDC            | IEC                | TÜV SÜD                |  |  |  |
| connector<br>(socket)                                    | Technology(Zhuh<br>ai) Co., Ltd.        | PLUS RECEPTACLE         | -40 °C ~125°C           | 62852:2014         | R50388083              |  |  |  |
| 74-RJ45  | Amphenol LTW                            | SPRJS-5EPFFJ-<br>TC7002 | 300V,105°C              | -                  | -                      |  |  |  |

.....End of test report.....

http://www.tuv-sud.cn

TÜV SÜD Certification and Testing (China) Co., Ltd. Guangzhou Branch, TÜV SÜD Group